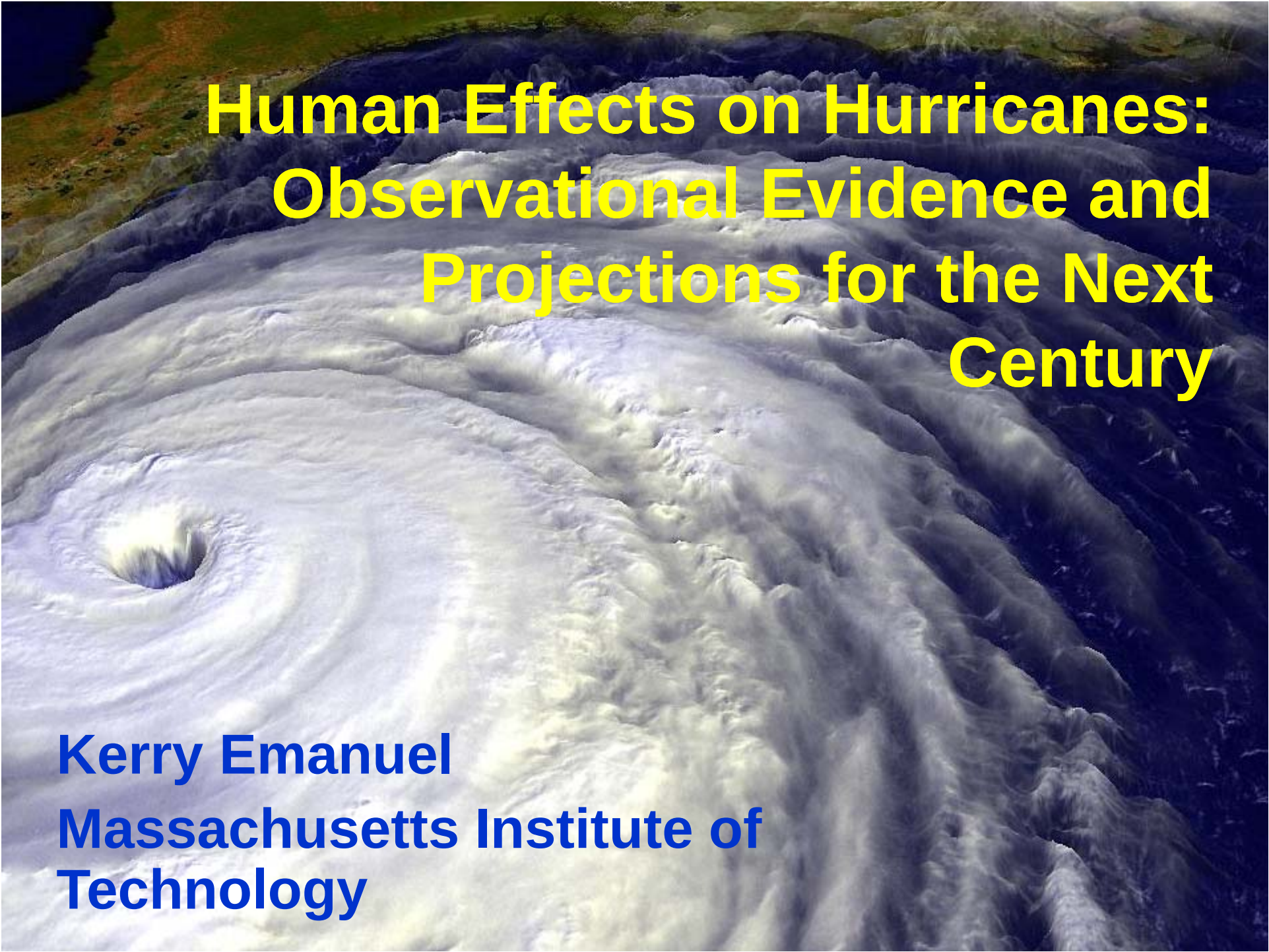


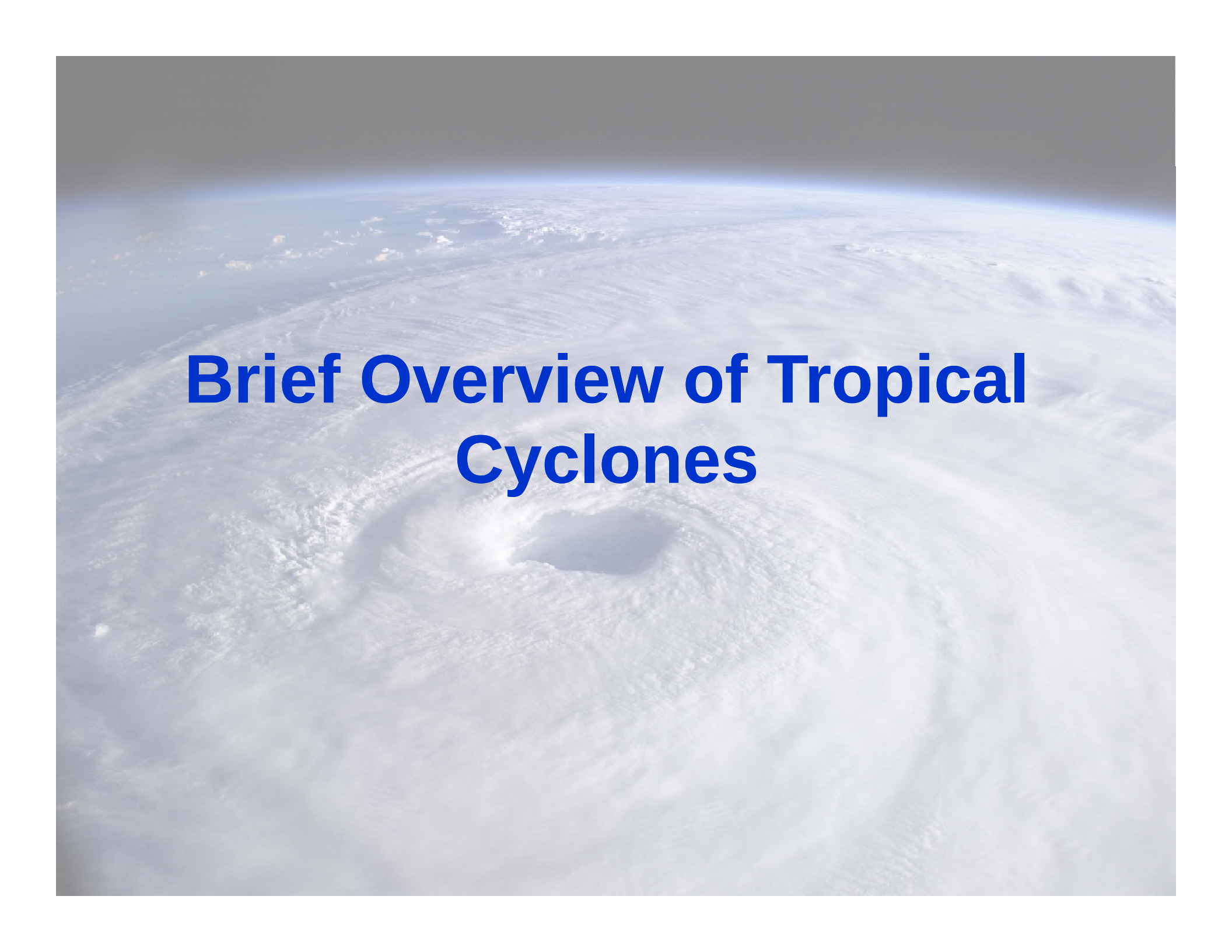


Human Effects on Hurricanes: Observational Evidence and Projections for the Next Century

**Kerry Emanuel
Massachusetts Institute of
Technology**

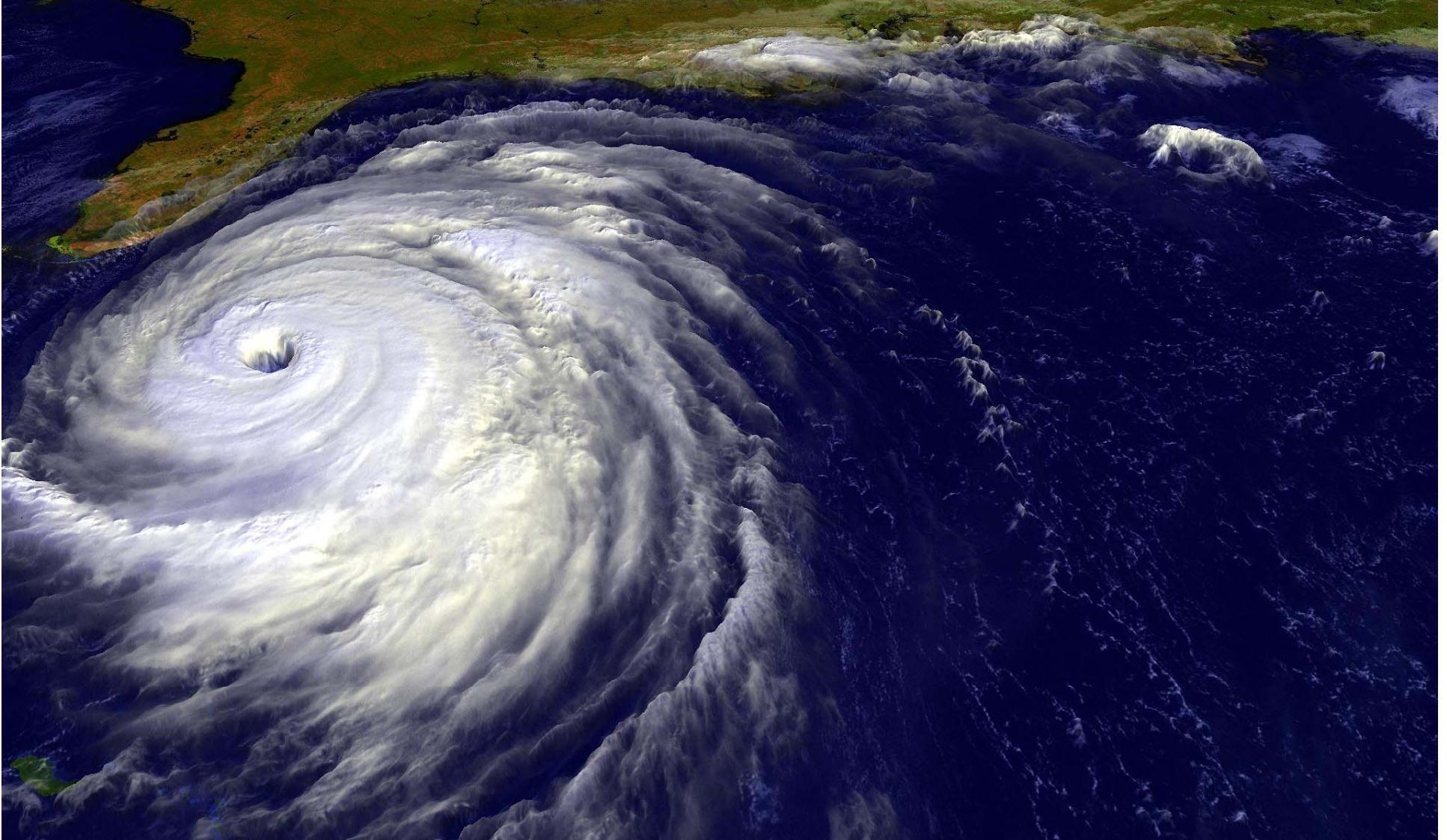
Issues

- **What processes control rates of formation of tropical cyclones?**
- **What processes control the actual and “potential” intensities of TCs?**
- **What have TCs been like in the past, and how will they be affected by global warming?**

A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over the ocean. The text "Brief Overview of Tropical Cyclones" is overlaid in the center in a large, bold, blue font.

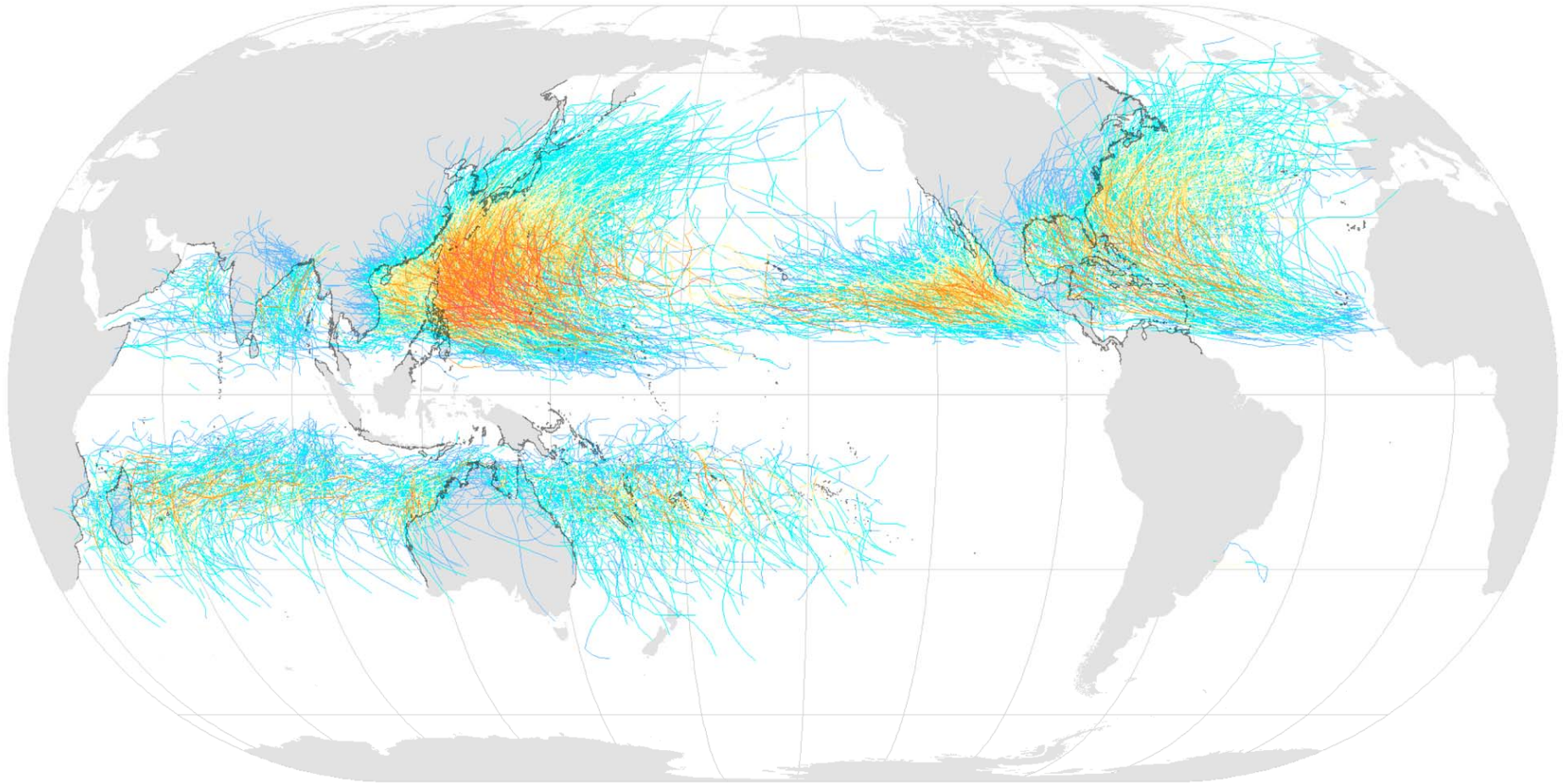
Brief Overview of Tropical Cyclones

The View from Space





Tropical Cyclones, 1945–2006



Saffir-Simpson Hurricane Scale:

tropical
depression

tropical
storm

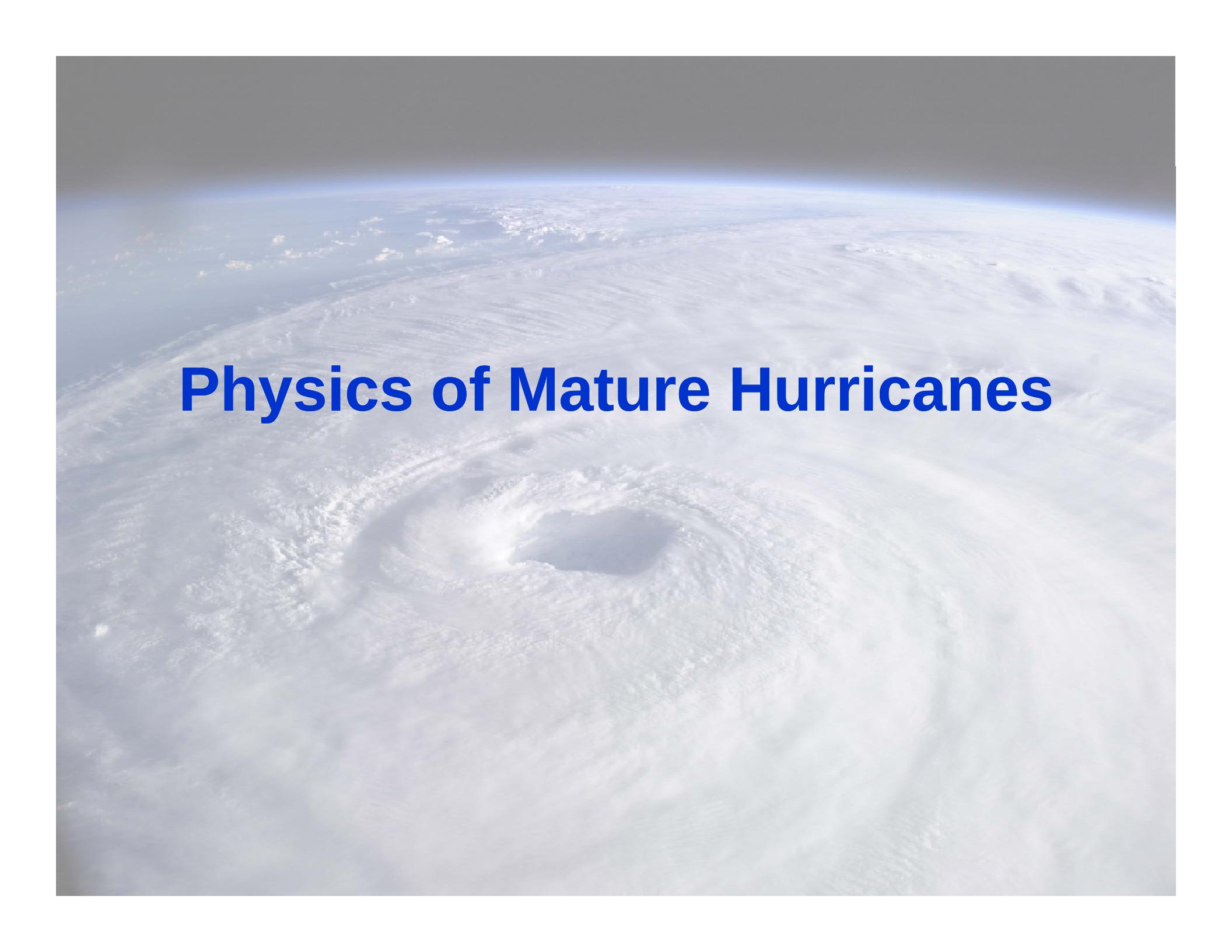
hurricane
category 1

hurricane
category 2

hurricane
category 3

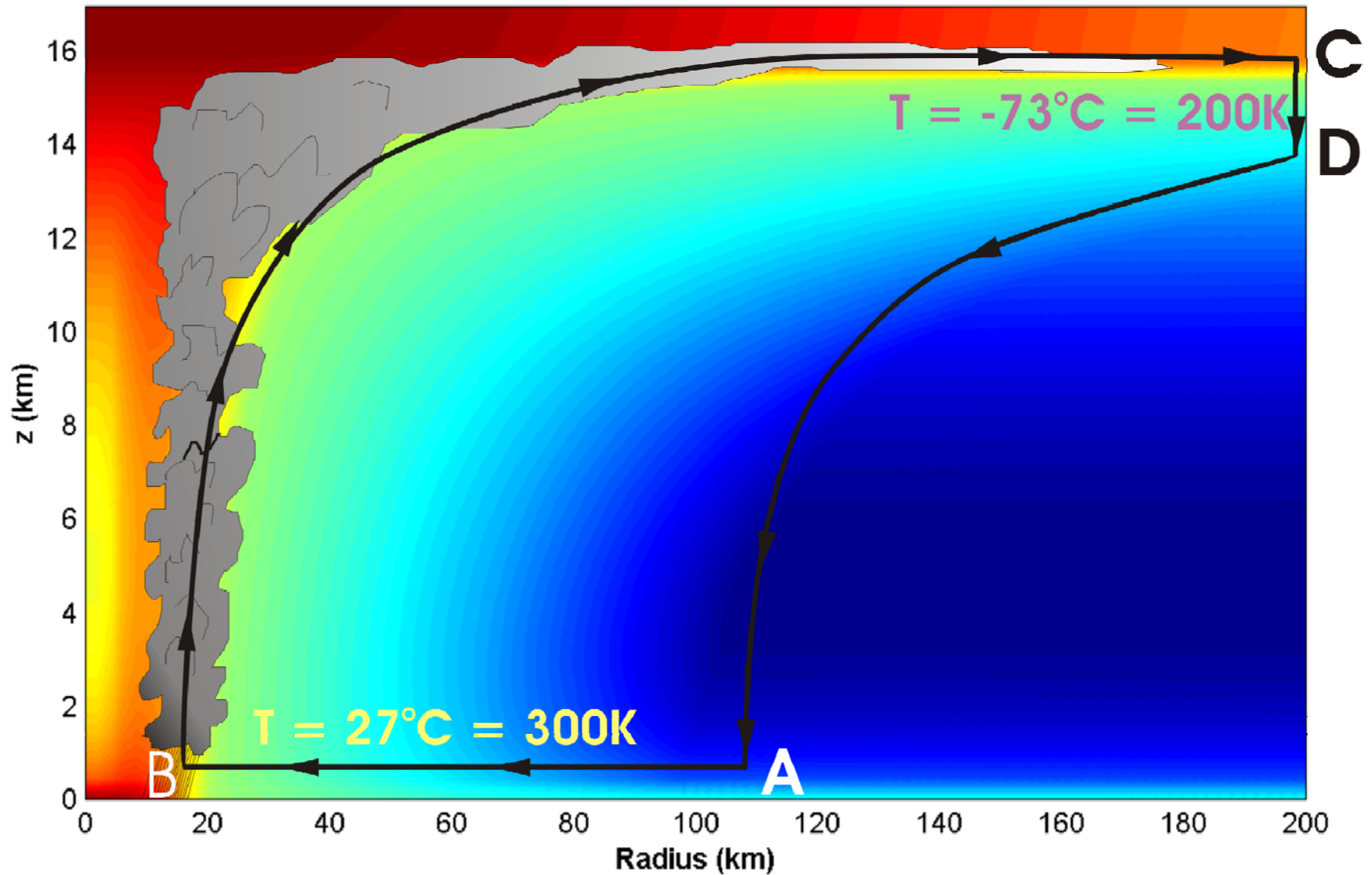
hurricane
category 4

hurricane
category 5

A satellite image of a mature hurricane, showing a well-defined eye and spiral cloud bands. The eye is a dark, circular region in the center of the storm, surrounded by a thick, white ring of clouds. The spiral bands extend outwards, covering a large area of the ocean. The text "Physics of Mature Hurricanes" is overlaid in the center of the image.

Physics of Mature Hurricanes

Energy Production



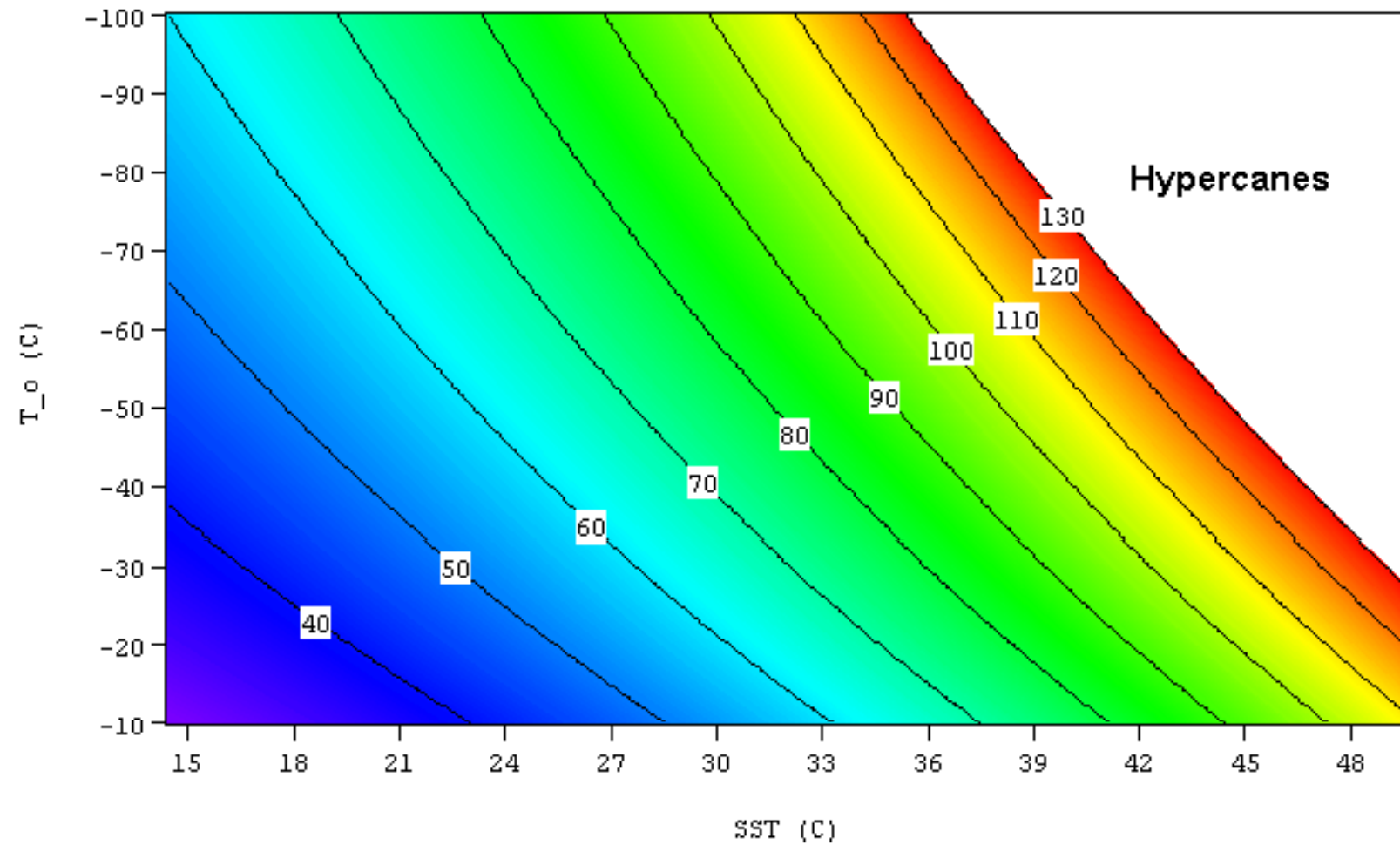
Theoretical Upper Bound on Hurricane Maximum Wind Speed:

$$|V_{pot}|^2 \cong \frac{C_k}{C_D} \frac{T_s - T_o}{T_o} \left(k_0^* - k \right)$$

Surface temperature
 Outflow temperature
 Ratio of exchange coefficients of enthalpy and momentum
 Air-sea enthalpy disequilibrium

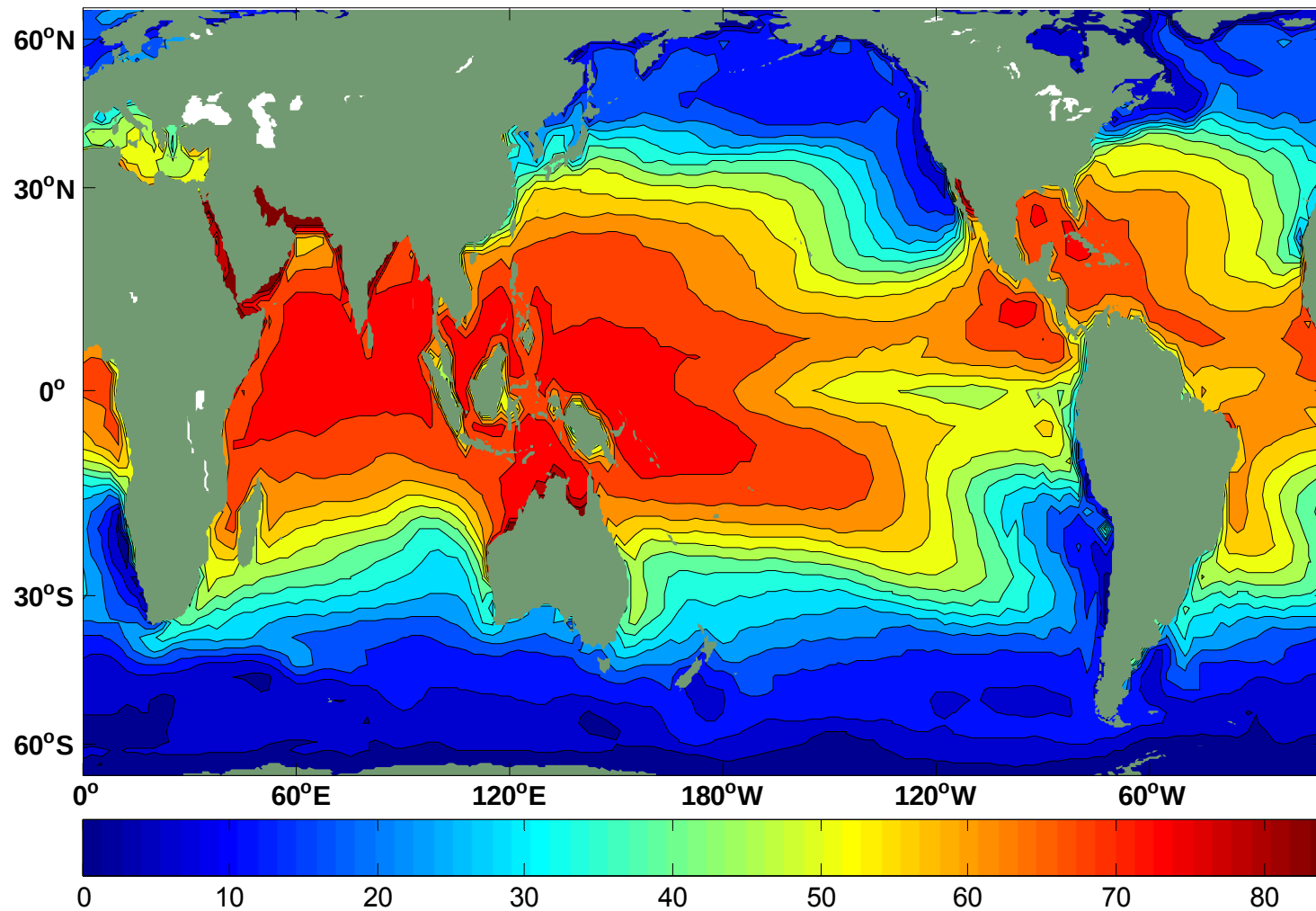
The diagram shows the equation $|V_{pot}|^2 \cong \frac{C_k}{C_D} \frac{T_s - T_o}{T_o} (k_0^* - k)$. Three green labels with arrows point to specific parts of the equation: 'Surface temperature' points to T_s , 'Outflow temperature' points to T_o , and 'Ratio of exchange coefficients of enthalpy and momentum' points to the fraction $\frac{C_k}{C_D}$. A fourth green label, 'Air-sea enthalpy disequilibrium', is positioned below the term $(k_0^* - k)$ without a direct arrow.

Maximum Wind Speed (m/s)

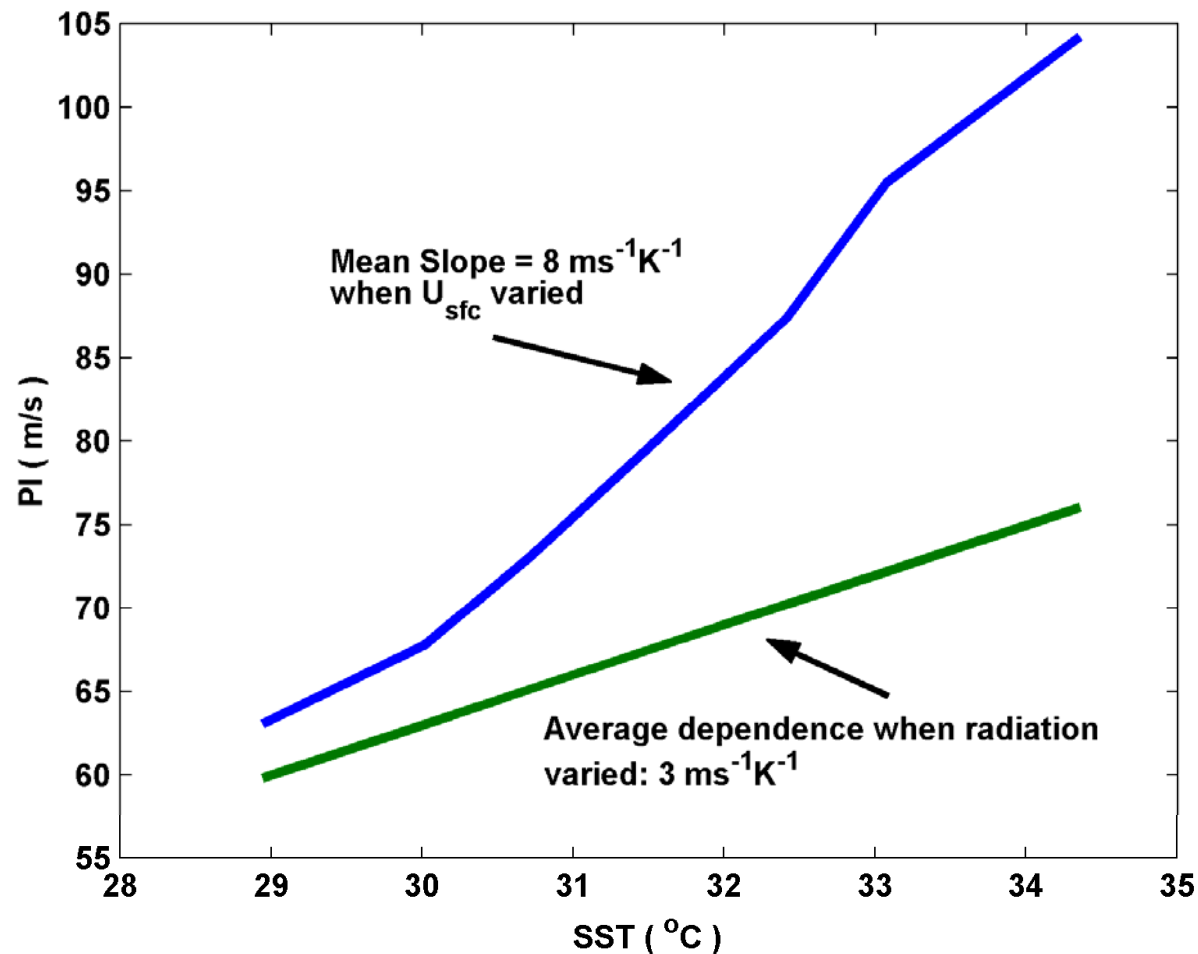


$$\mathcal{H} = 0.75 \quad C_k/C_D = 1.2$$

Annual Maximum Potential Intensity (m/s)



Potential Intensity is not a function of SST *per se*

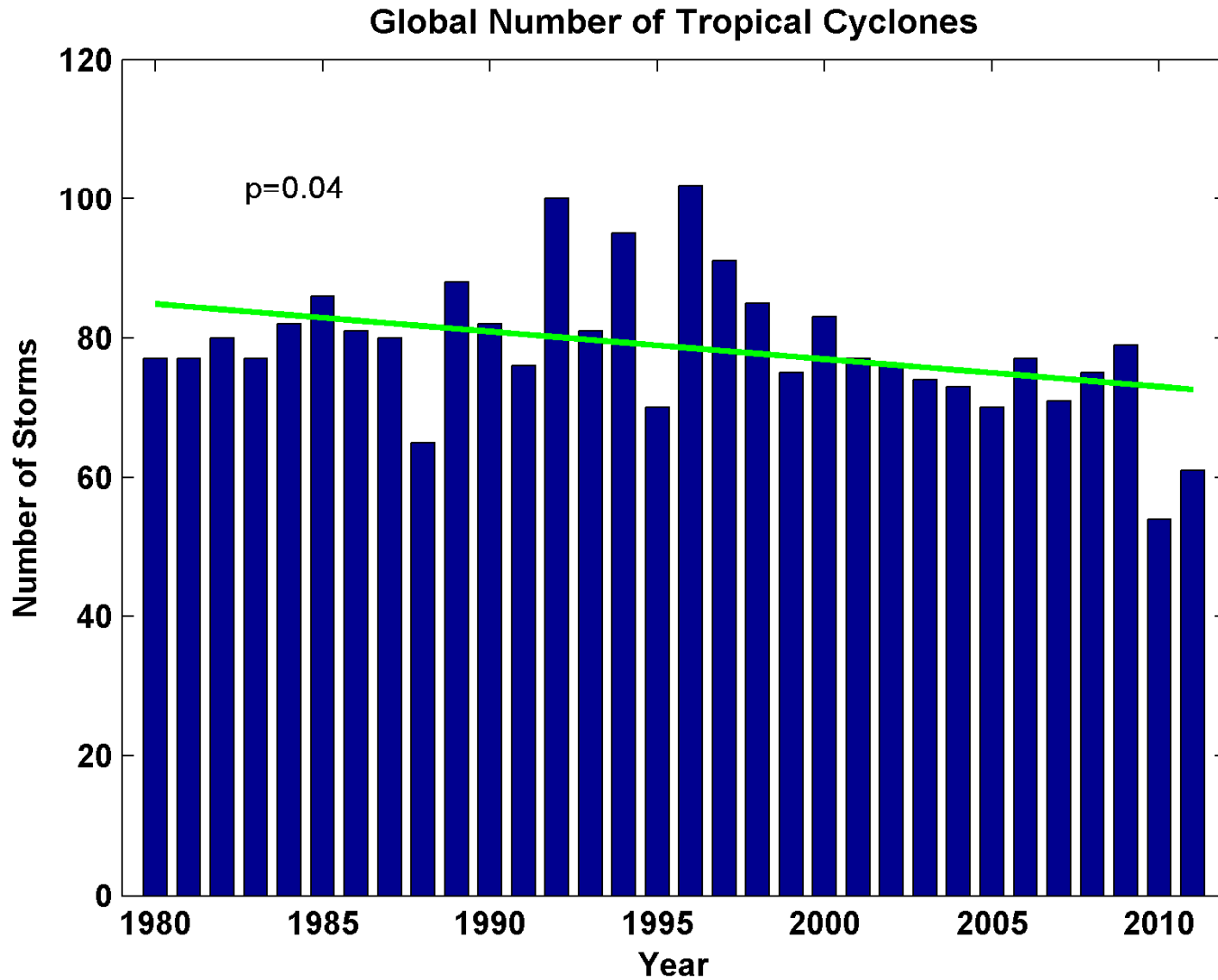


Showing potential intensity vs. SST, varying mean surface wind (blue) and CO₂ content (green)

The Genesis Puzzle



Global Tropical Cyclone Frequency, 1980-2011



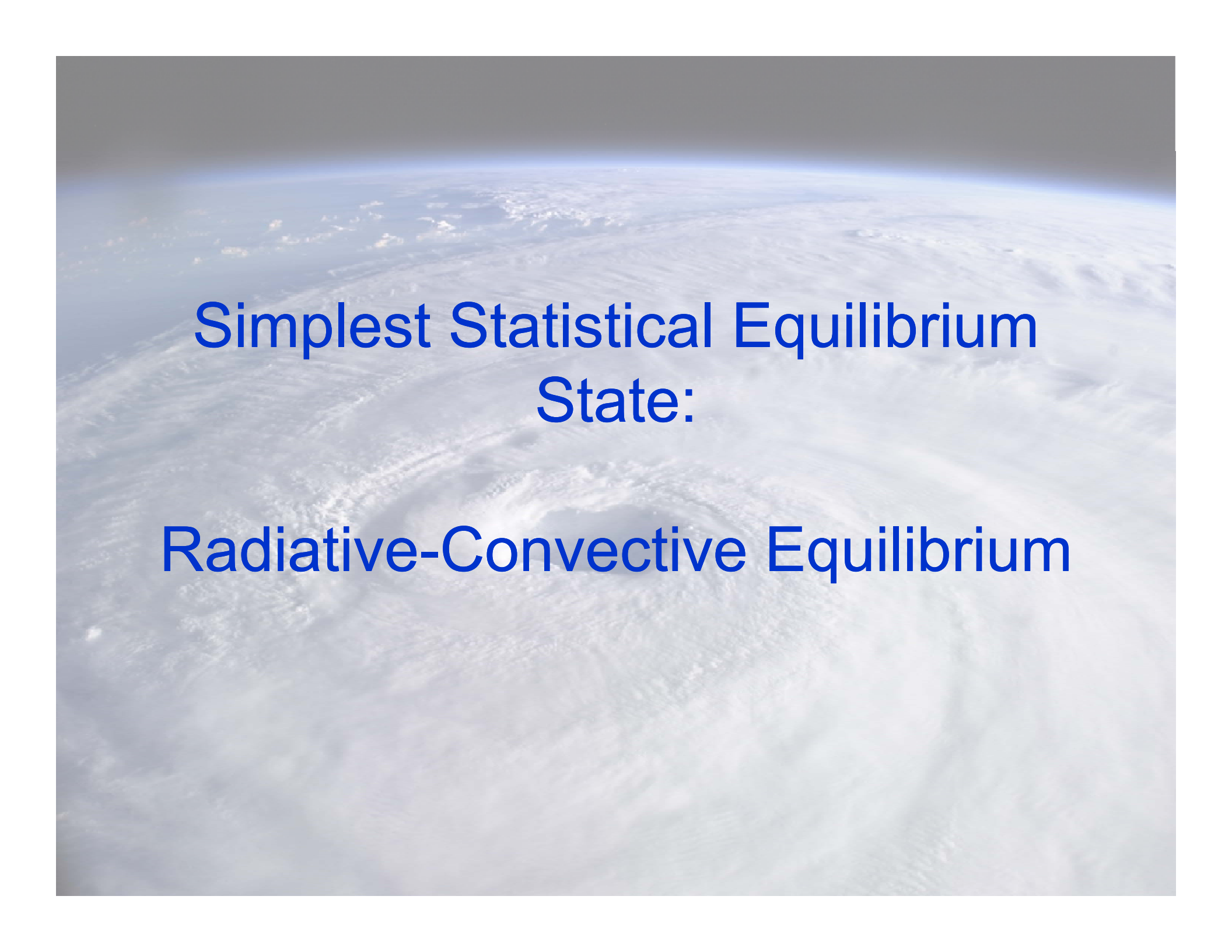
Data Sources: NOAA/TPC and NAVY/JTWC

A satellite image of Earth from space, showing a vast expanse of the ocean with intricate cloud patterns. The clouds are white and wispy, swirling in a way that suggests the formation of a tropical cyclone. The horizon of the Earth is visible at the top, with a thin blue line representing the atmosphere. The overall tone is blue and white, with a slight gradient from the horizon to the foreground.

**Tropical Cyclones Often Develop
from Cloud Clusters:
When/Why Does Convection
Form Clusters?**



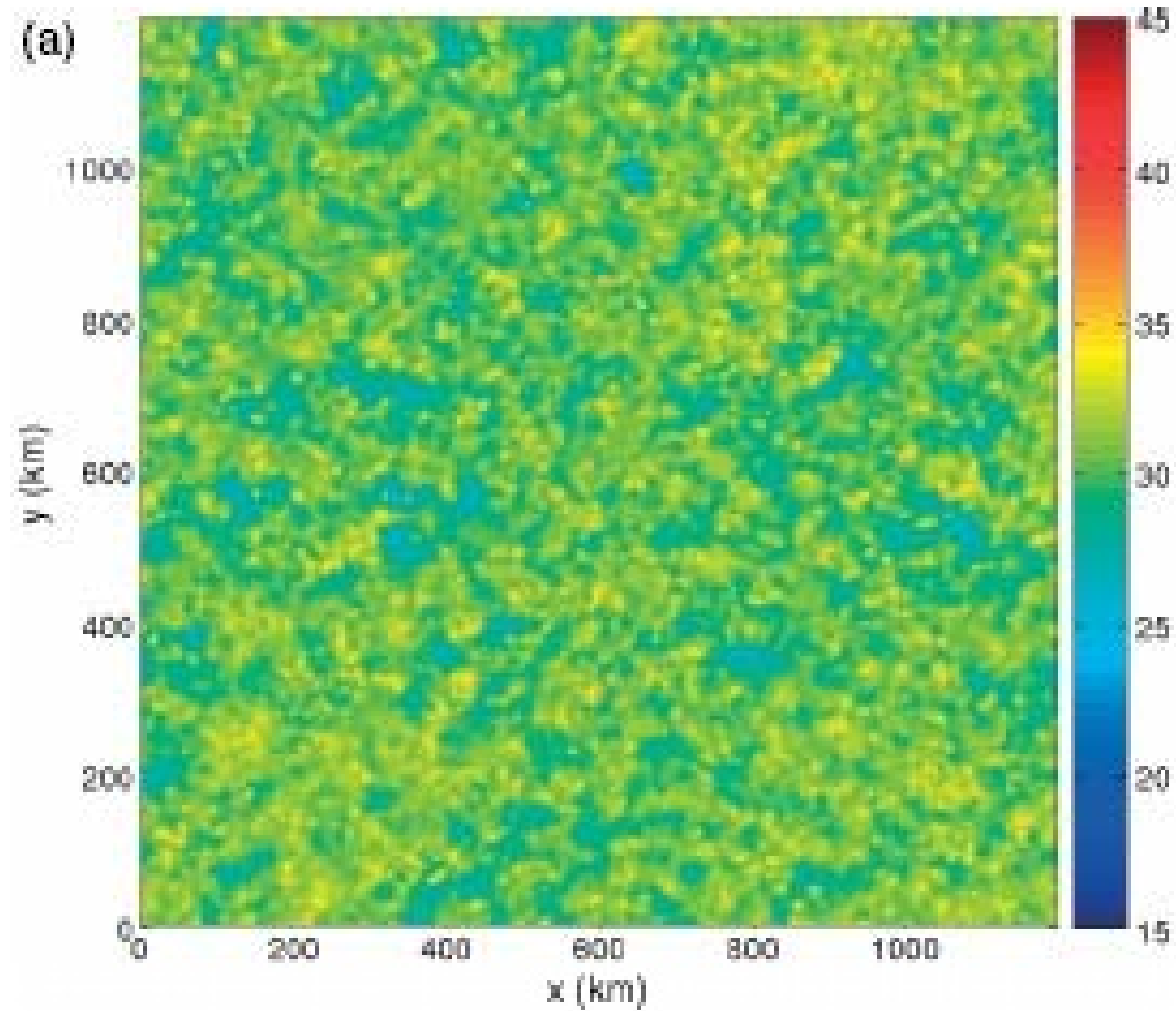
Monsoonal Thunderstorms, Bangladesh and India July 1985

A satellite image of Earth from space, showing a vast expanse of white clouds over a blue ocean. The horizon is visible at the top of the frame, with a thin blue line representing the atmosphere. The text is overlaid on the image in a blue, sans-serif font.

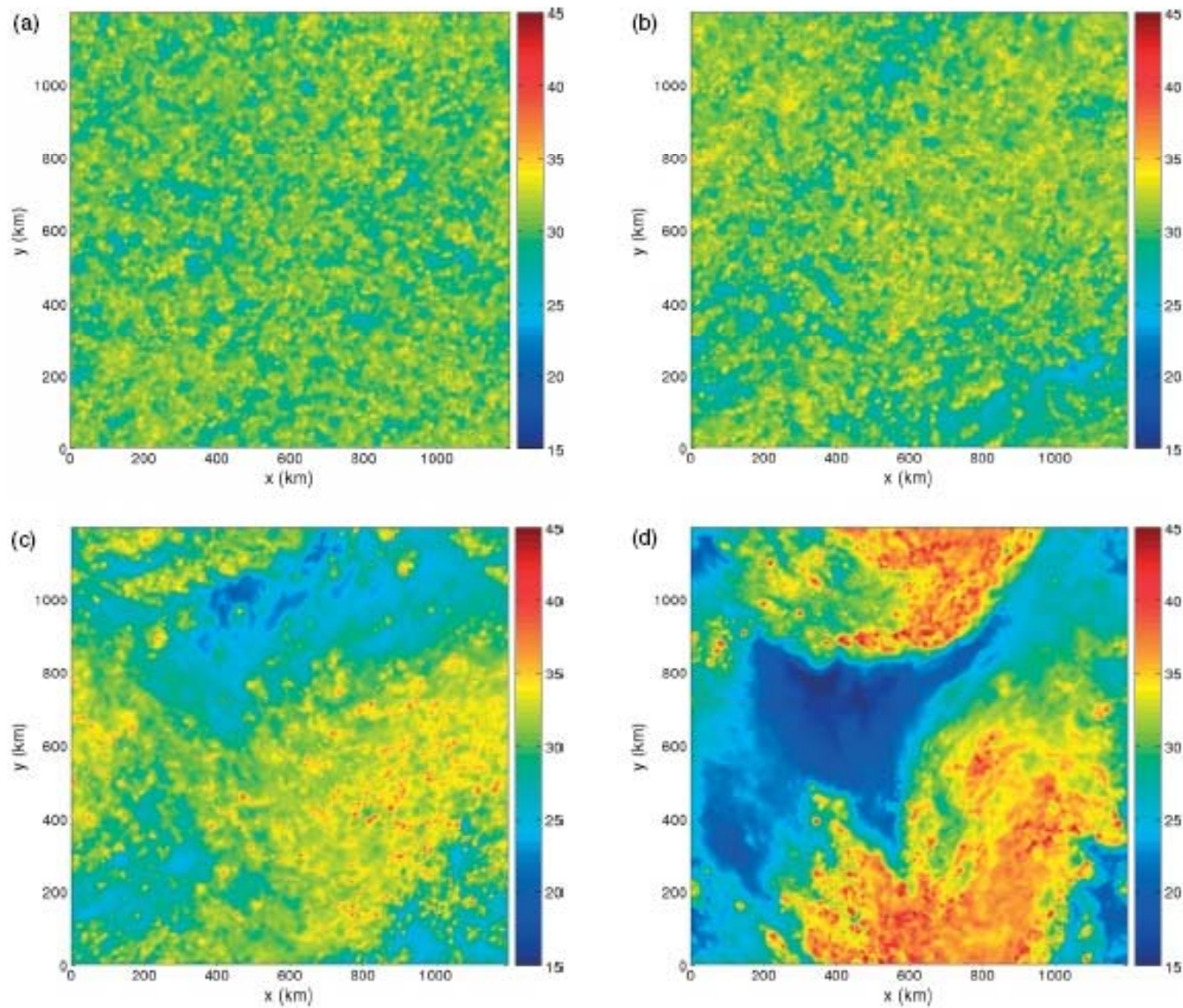
Simplest Statistical Equilibrium
State:

Radiative-Convective Equilibrium

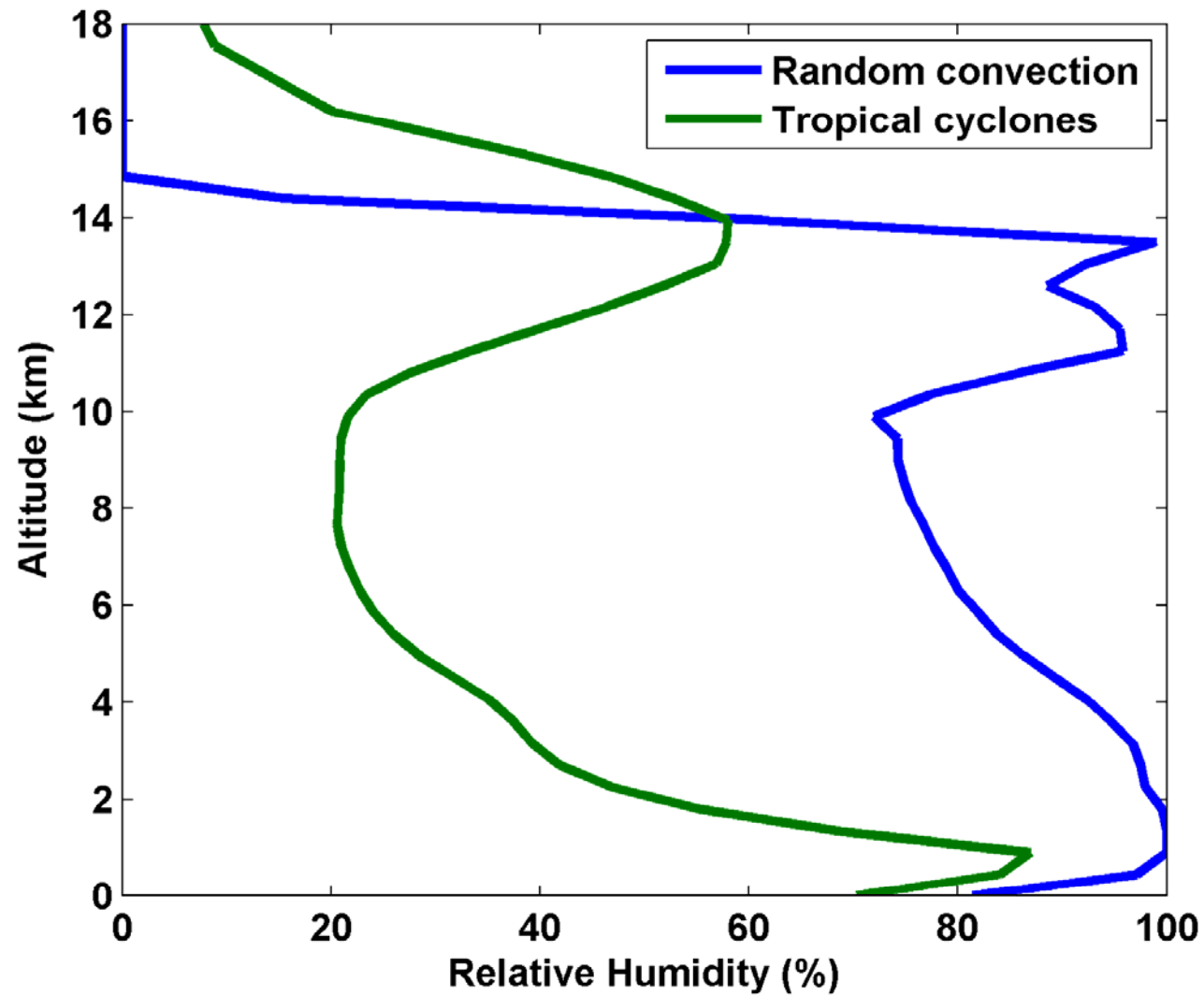
Vertically integrated water vapor at 4 days (Nolan et al., QJRMS, 2007)



Vertically integrated water vapor at 4 (a), 6 (b), 8 (c), and 10 (d) days (Nolan et al., QJRMS, 2007)

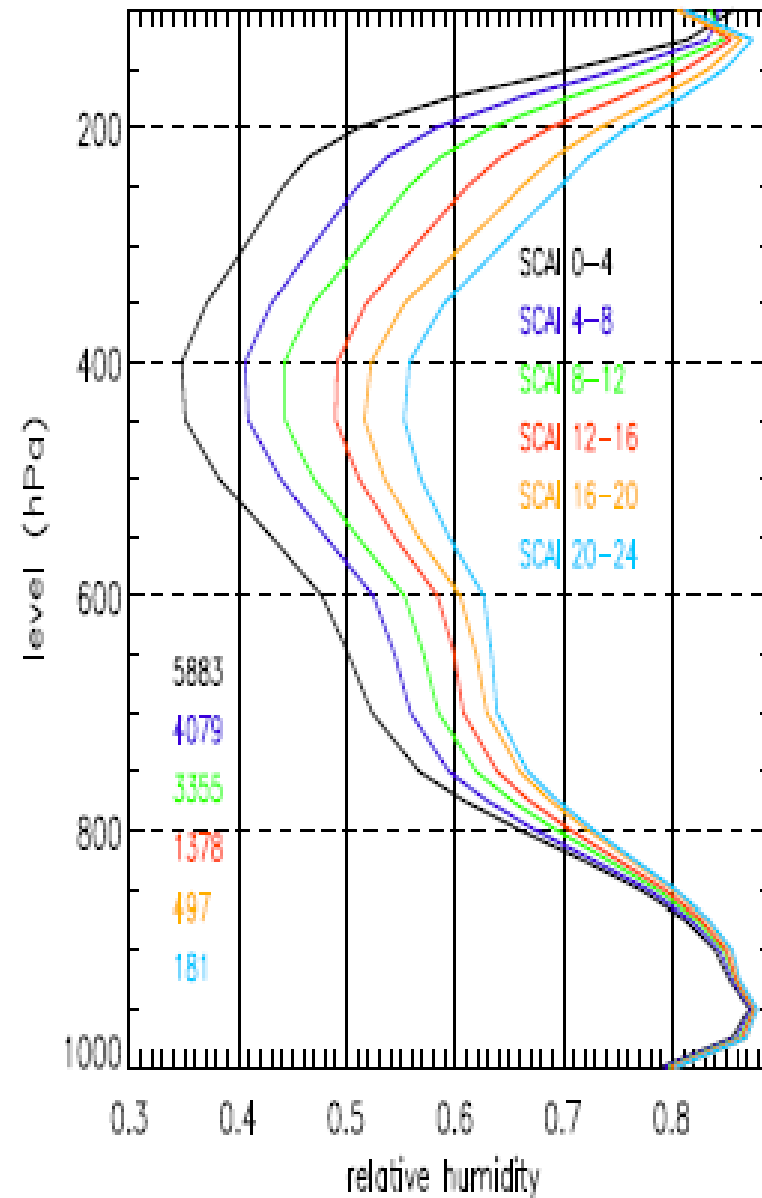


Nolan et al., QJRMS, 2007



Variation of tropical
relative humidity profiles
with a Simple Convective
Aggregation Index
(SCAI).

*Courtesy Isabelle Tobin,
Sandrine Bony, and
Remy Roca*

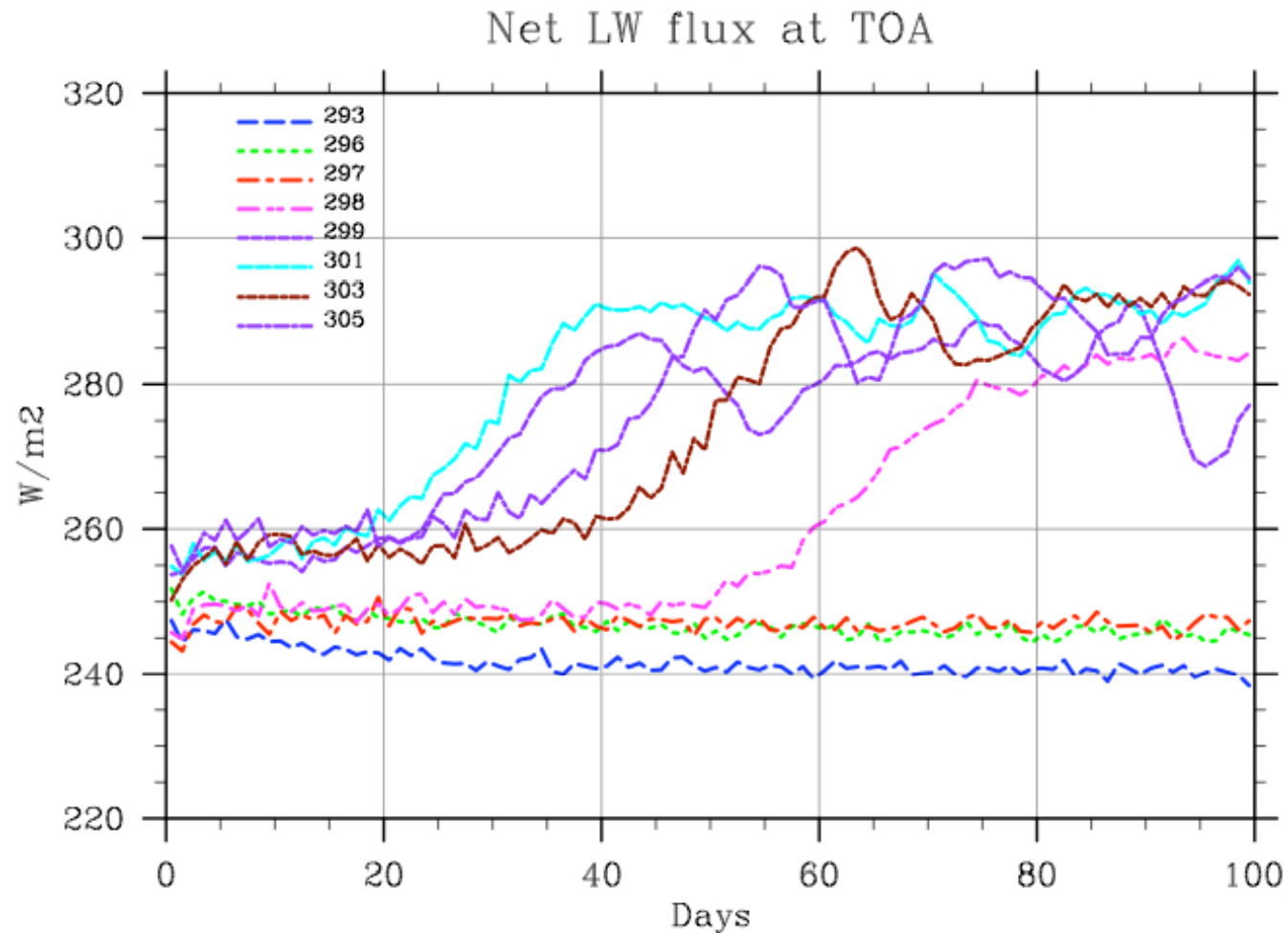


Empirical Necessary Conditions for Self-Aggregation (after Held et al., 1993; Bretherton et al., 2005; Nolan et al.; 2007)

- Small vertical shear of horizontal wind
- Interaction of radiation with clouds and/or water vapor
- Feedback of convective downdraft surface winds on surface fluxes
- Sufficiently high surface temperature

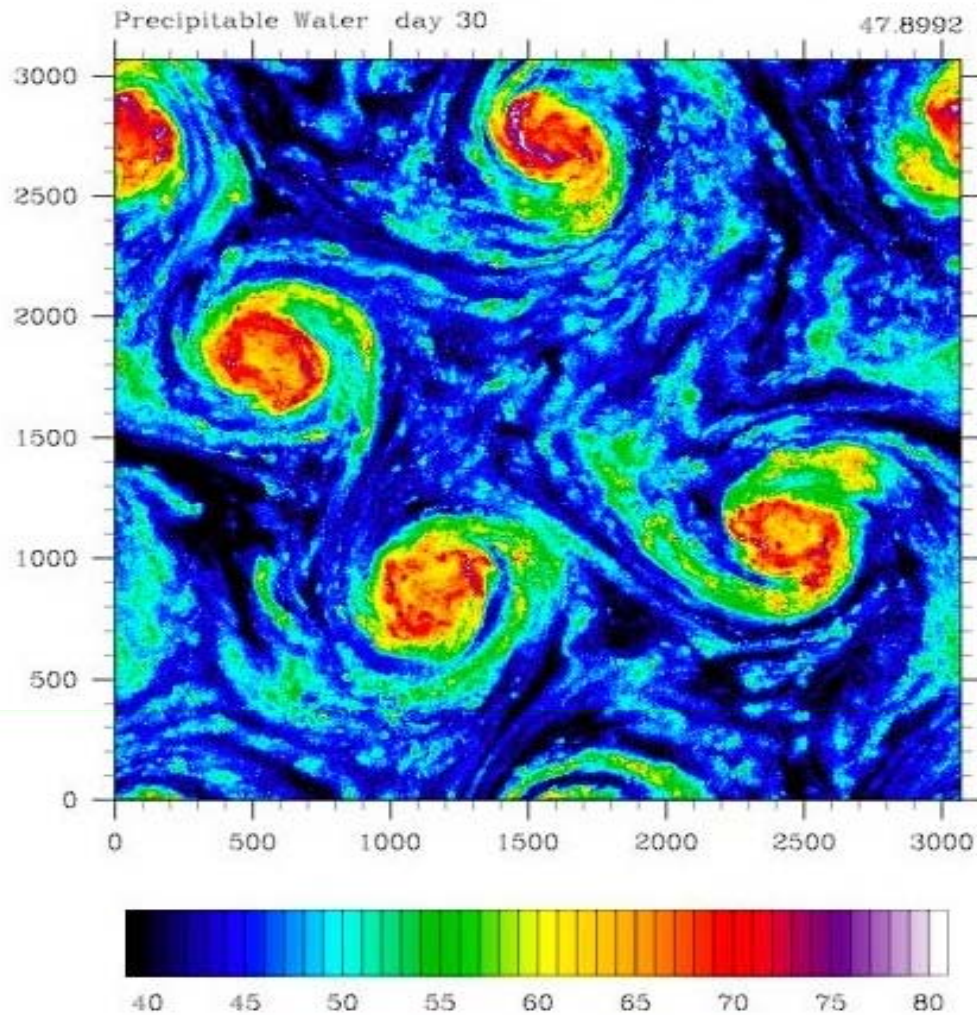
Self-Aggregation is Temperature-Dependent

(Nolan et al., 2007; Emanuel and Khairoutdinov, in preparation, 2012)



Extension to Rotating Planet

Distance
between
vortex
centers
scales as
 V_{pot}/f



TC-World Scaling

- Frequency $\sim \frac{f^2}{V_{pot}^2}$
- Intensity $\sim V_{pot}$
- Power Dissipation $\sim V_{pot} f^2$

Hypothesis

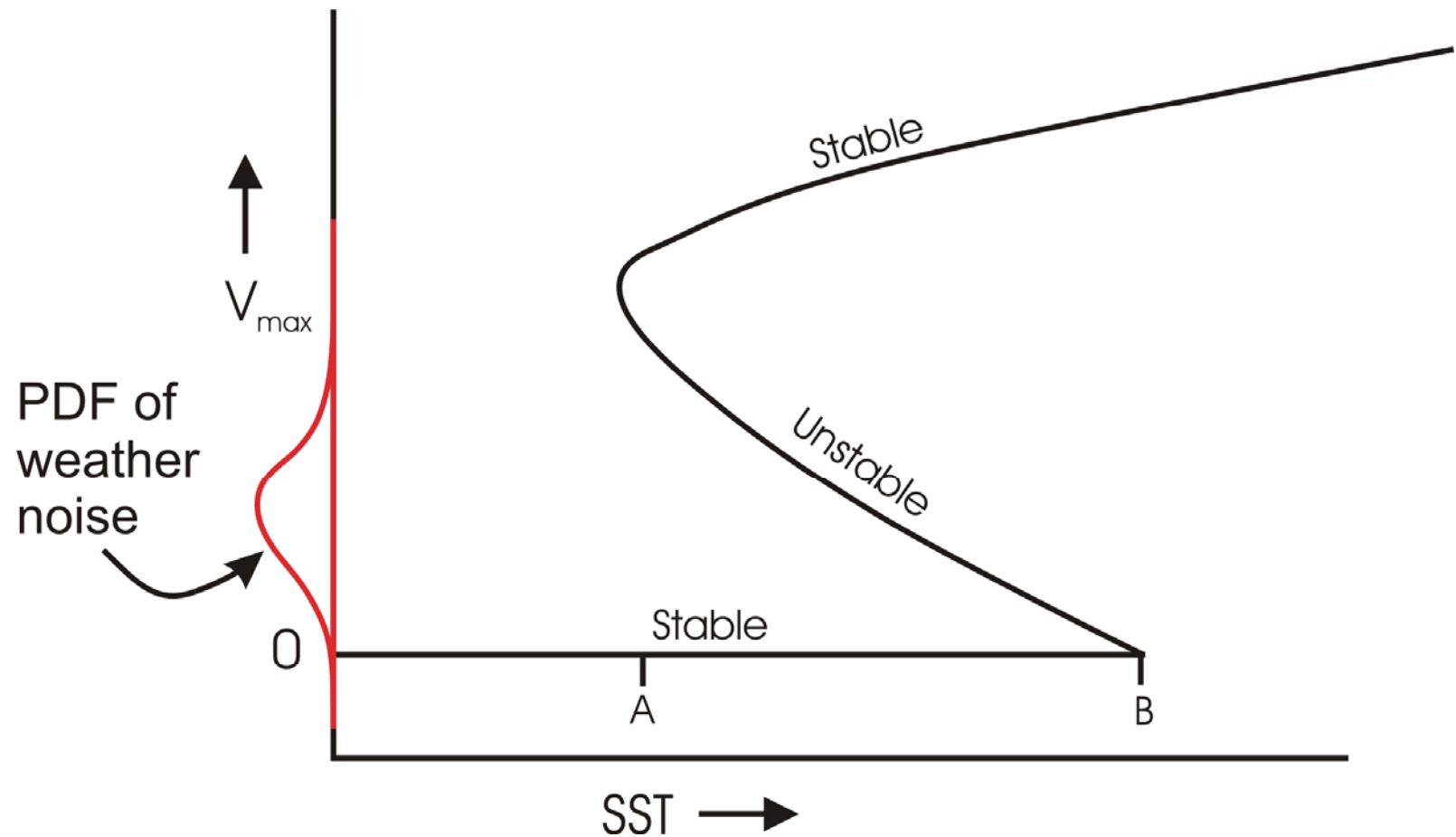
- At high temperature, convection self-aggregates
- →Horizontally averaged humidity drops dramatically
- →Reduced greenhouse effect cools system
- →Convection disaggregates
- →Humidity increases, system warms
- →System wants to be near phase transition to aggregated state

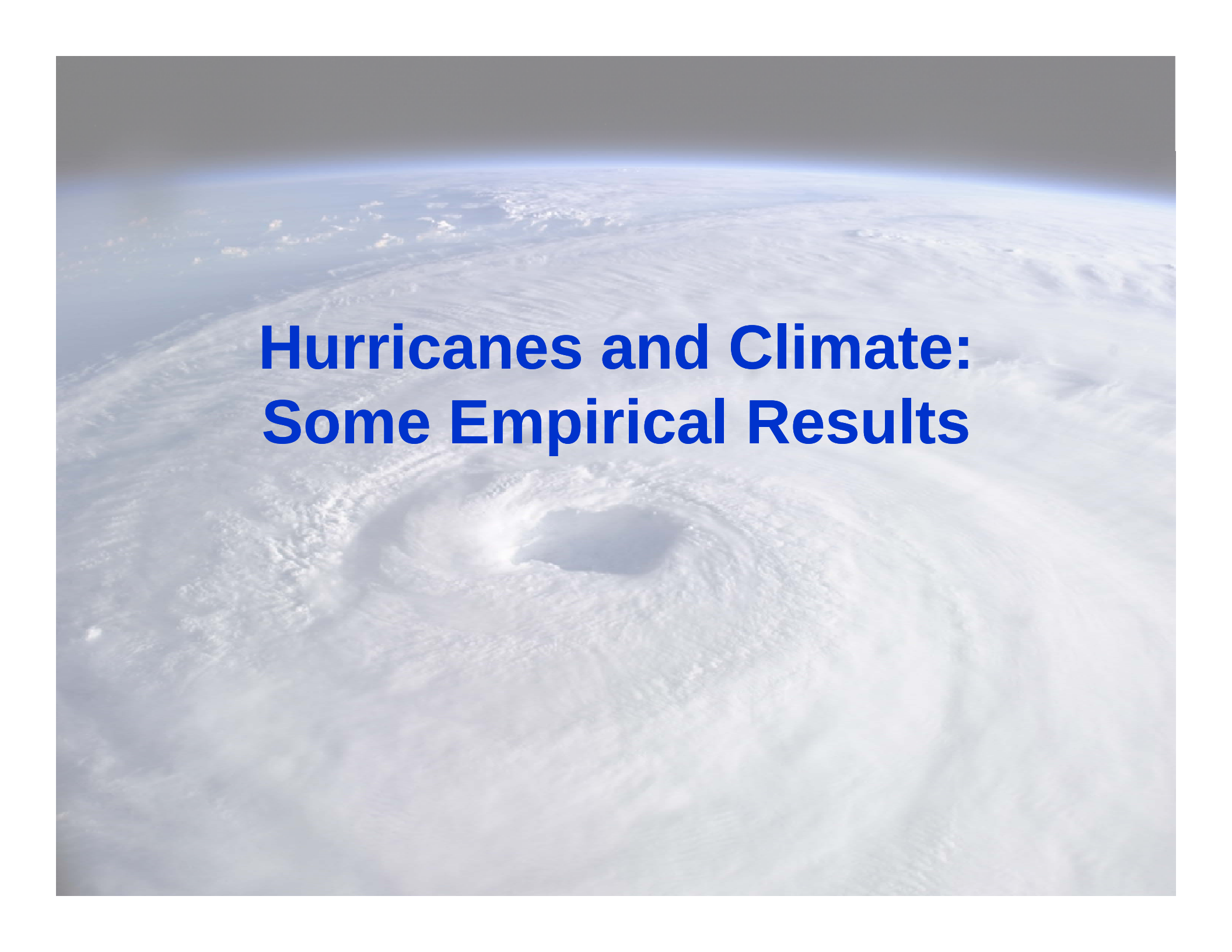
Recipe for Self-Organized Criticality

(First proposed by David Neelin, but by different mechanism)

- System should reside near critical threshold for self-aggregation
- Convective cluster size should follow power law distribution

Hypothetical Subcritical Bifurcation



A satellite image of a hurricane over the ocean. The hurricane has a well-defined eye and a dense, swirling cloud structure. The ocean surface is visible as a dark blue area in the center of the eye. The surrounding clouds are white and spiral outwards. The horizon of the Earth is visible at the top of the image.

Hurricanes and Climate: Some Empirical Results

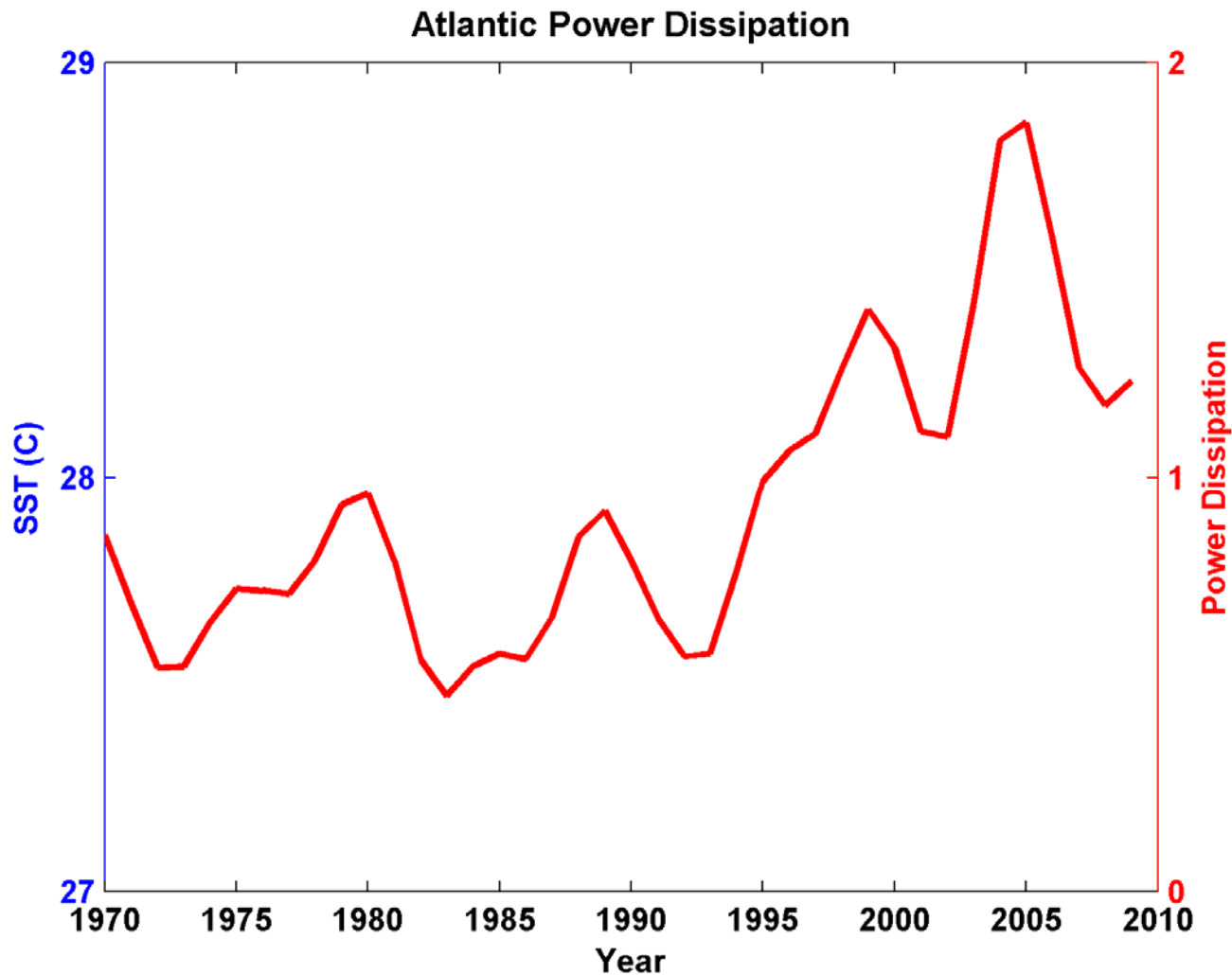
Intensity Metric:

Hurricane Power (Power Dissipation Index)

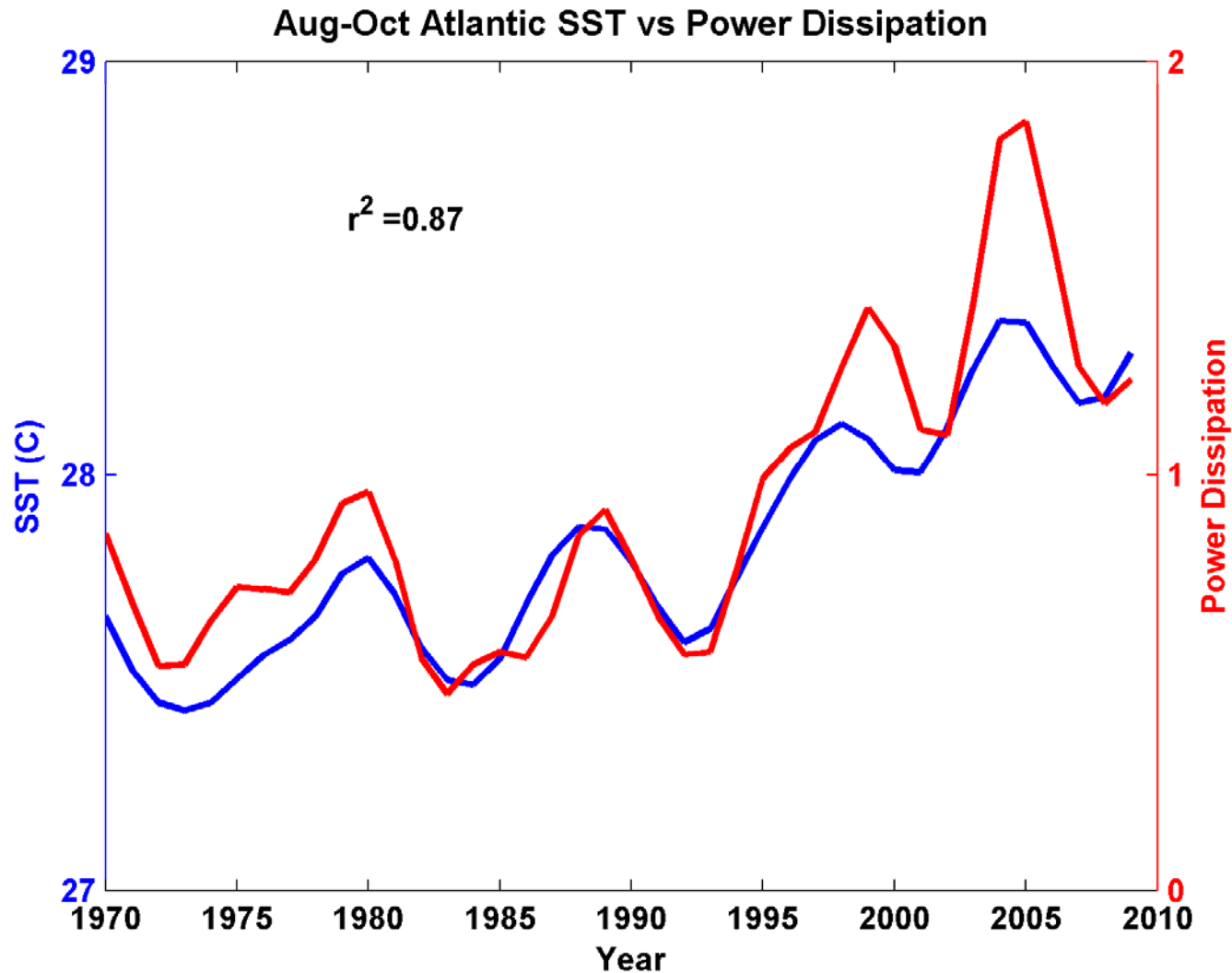
$$PDI \equiv \int_0^{\tau} V_{max}^3 dt$$

A measure of the total frictional dissipation of kinetic energy in the hurricane boundary layer over the lifetime of the storm

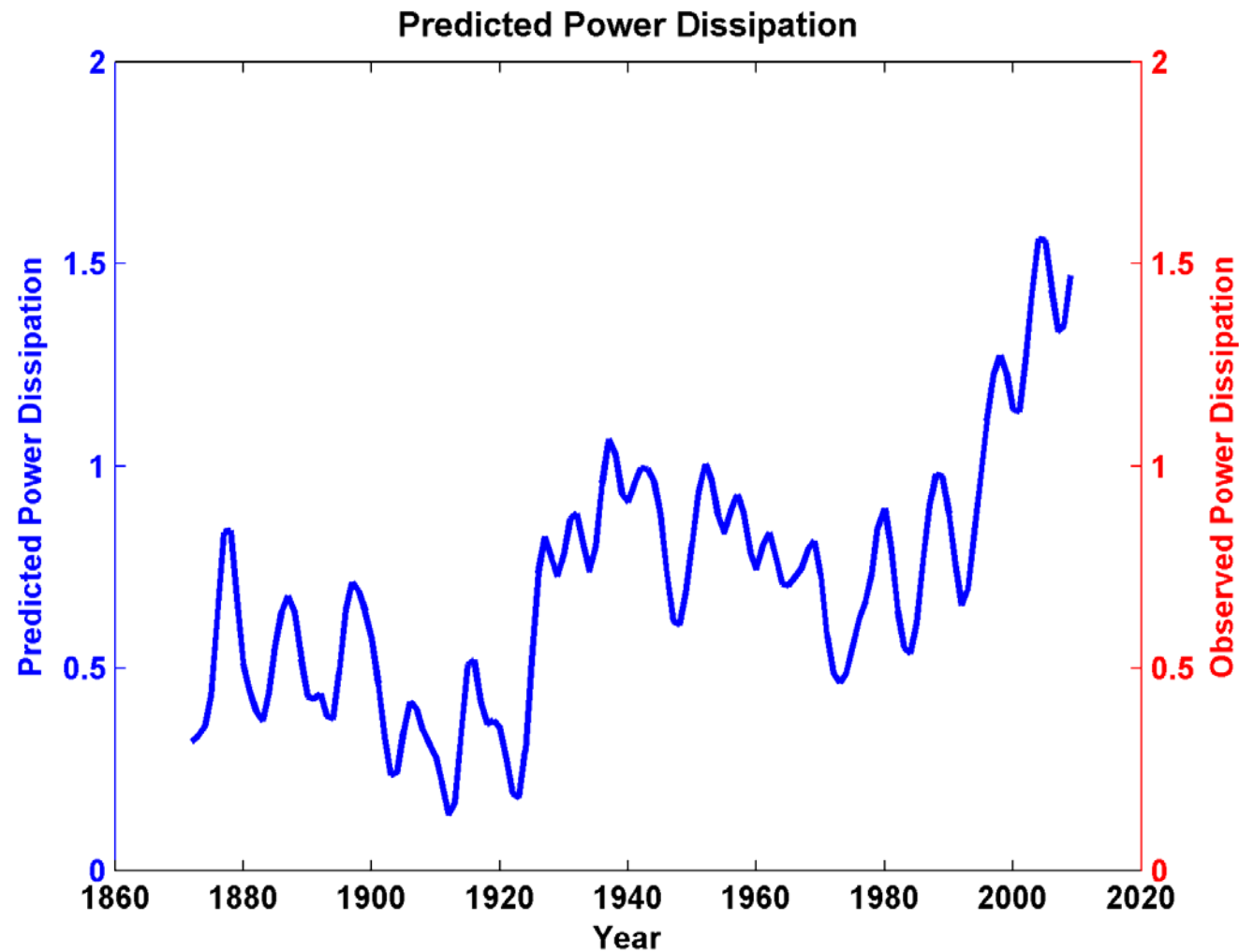
Atlantic Tropical Cyclone Power Dissipation during an era of high quality measurements, 1970-2011 (smoothed with 1-3-4-3-1 filter)



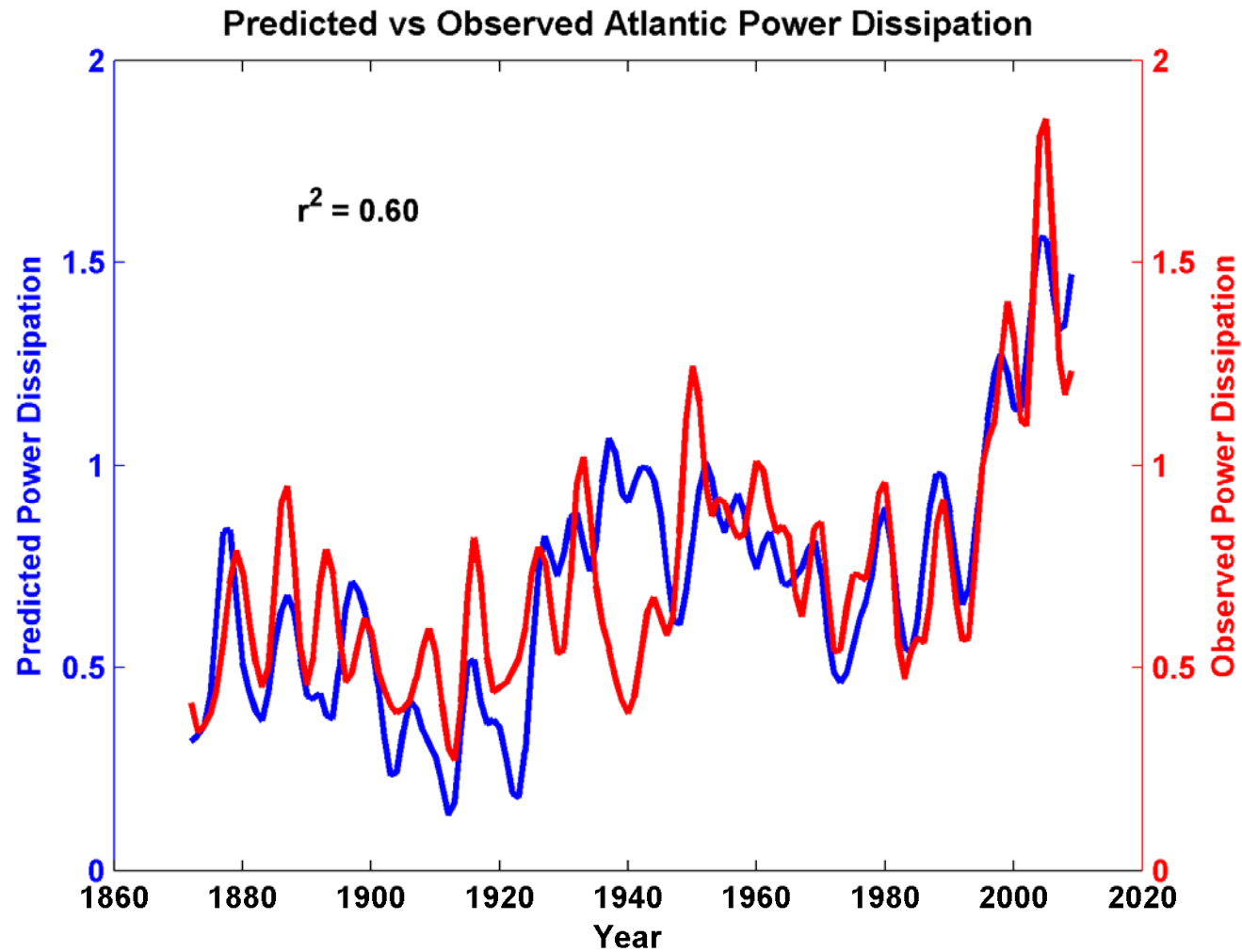
Atlantic Tropical Cyclone Power Dissipation and Sea Surface Temperature during an era of high quality measurements, 1970-2011 (smoothed with 1-3-4-3-1 filter)

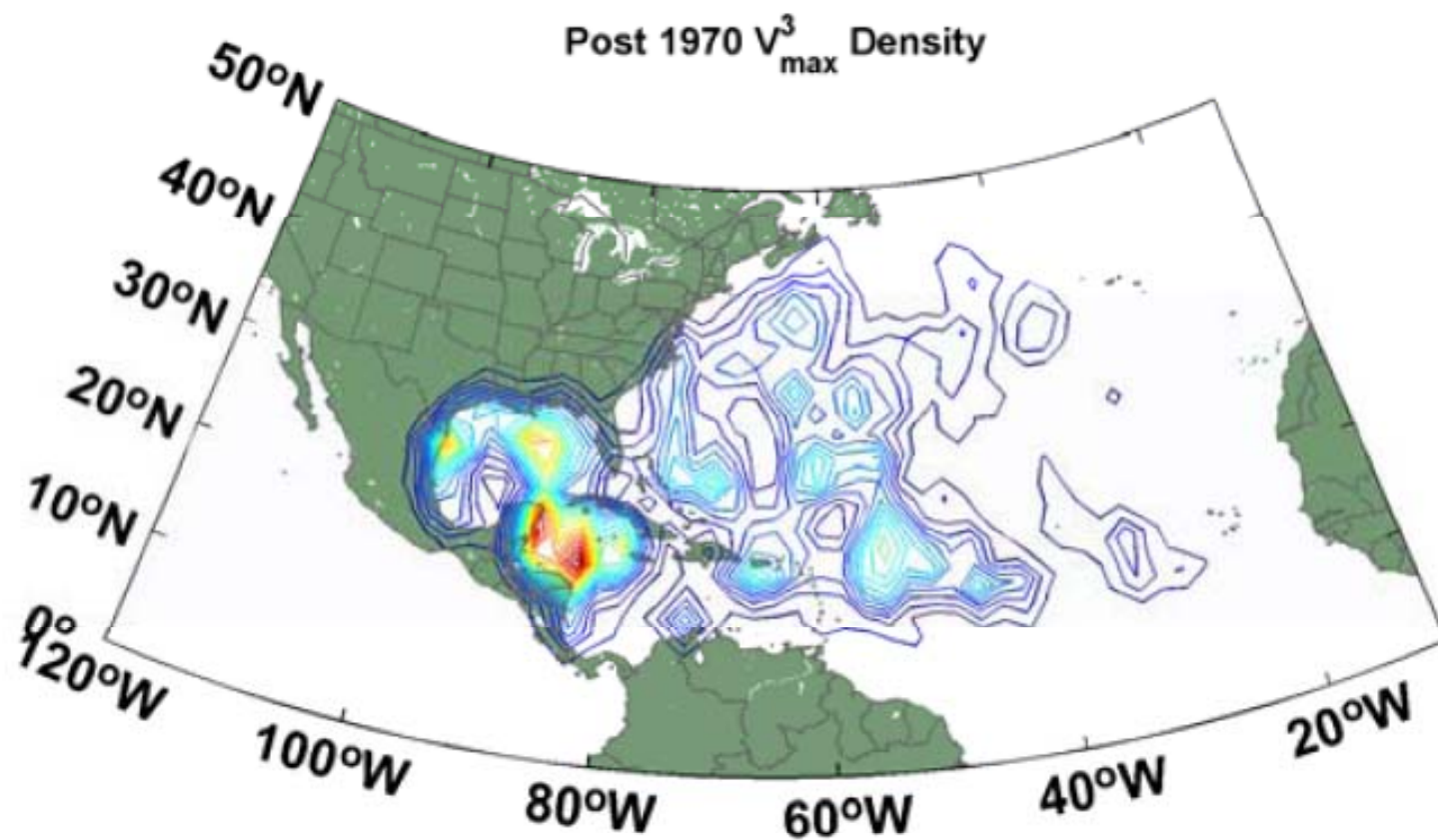


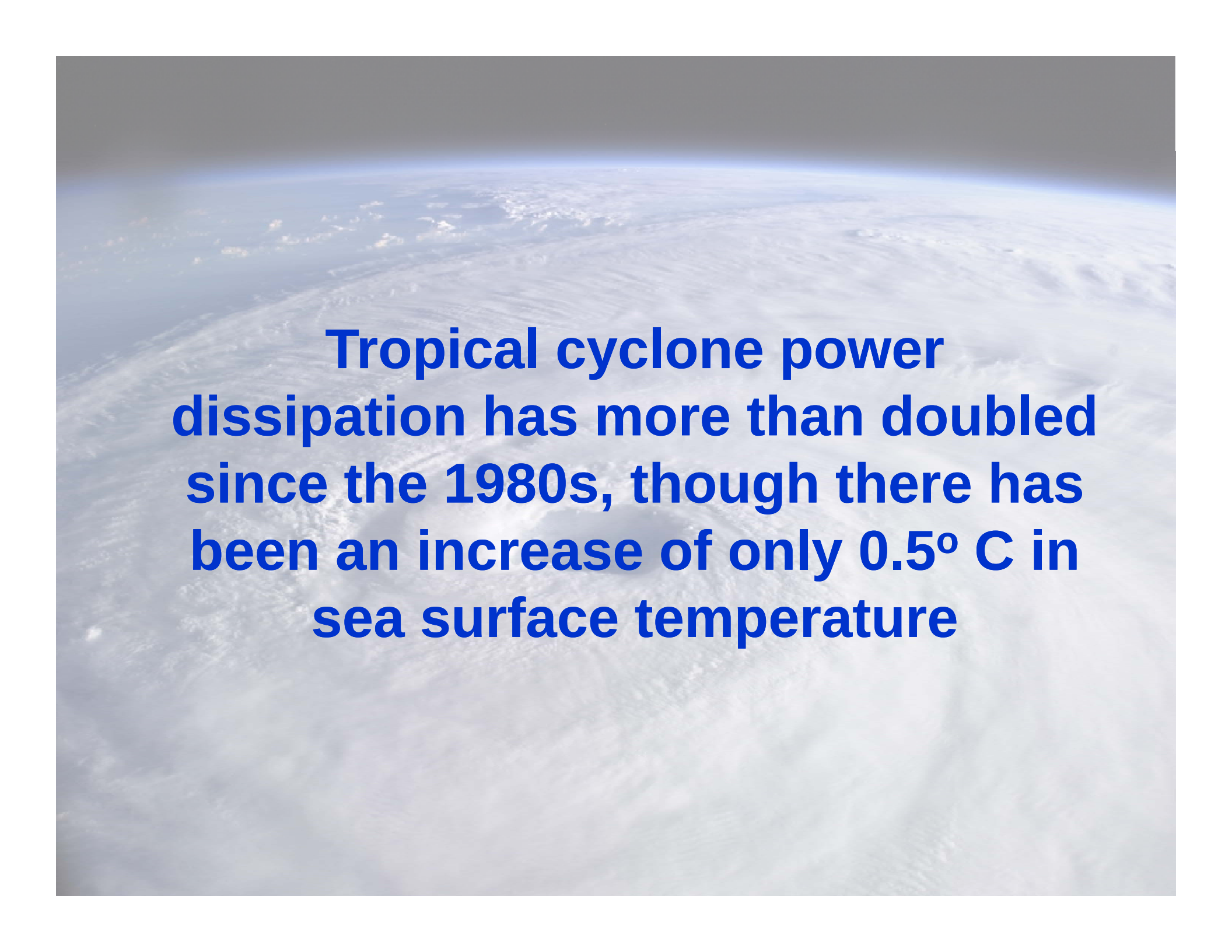
Use Linear Regression to Predict Power Dissipation back to 1870 based on SST:



Now Compare to Observed Power Dissipation

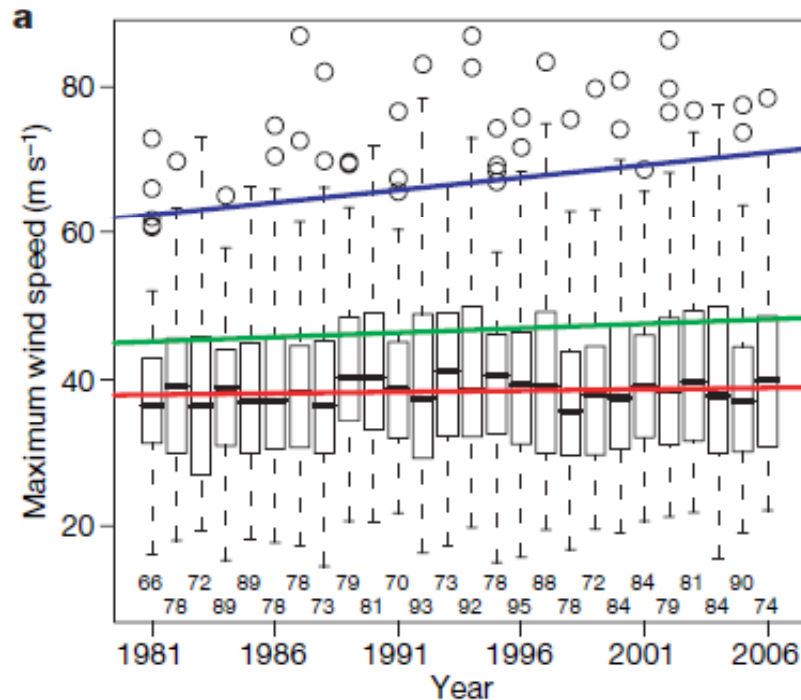




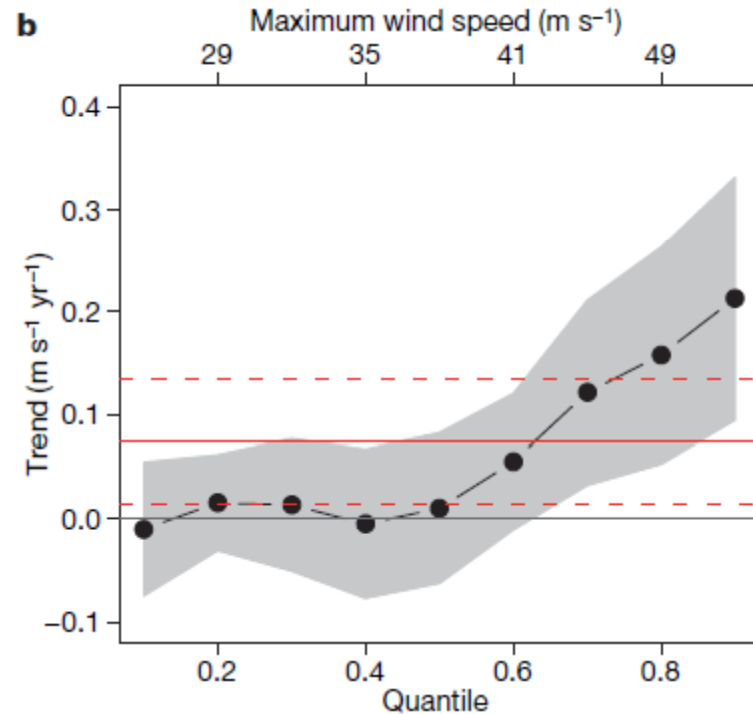
A satellite image of Earth from space, showing a vast expanse of white clouds over a blue ocean. The horizon is visible in the upper third of the image, with a thin blue line representing the atmosphere. The text is overlaid in the center of the image.

**Tropical cyclone power
dissipation has more than doubled
since the 1980s, though there has
been an increase of only 0.5° C in
sea surface temperature**

Analysis of satellite-derived tropical cyclone lifetime-maximum wind speeds

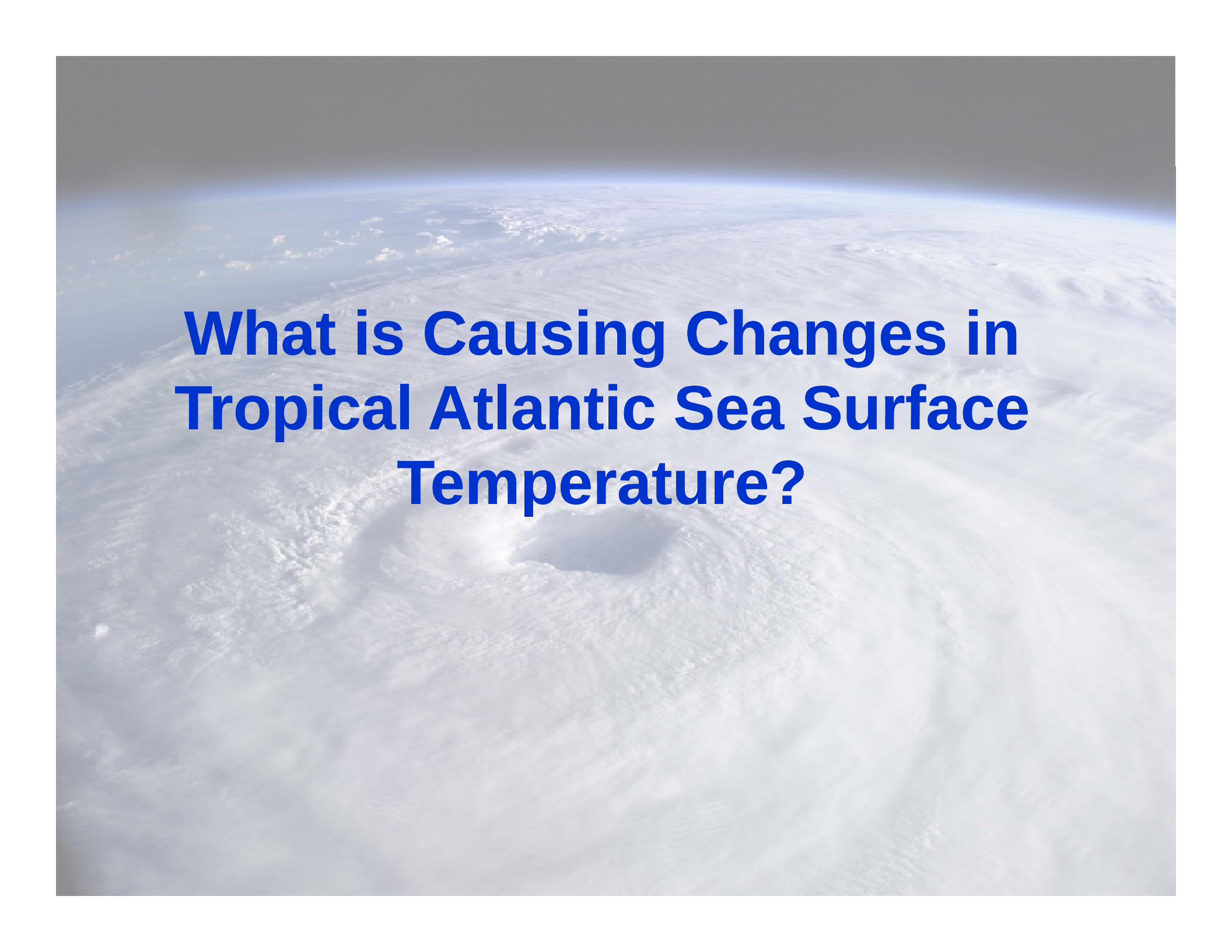


Box plots by year. Trend lines are shown for the median, 0.75 quantile, and 1.5 times the interquartile range



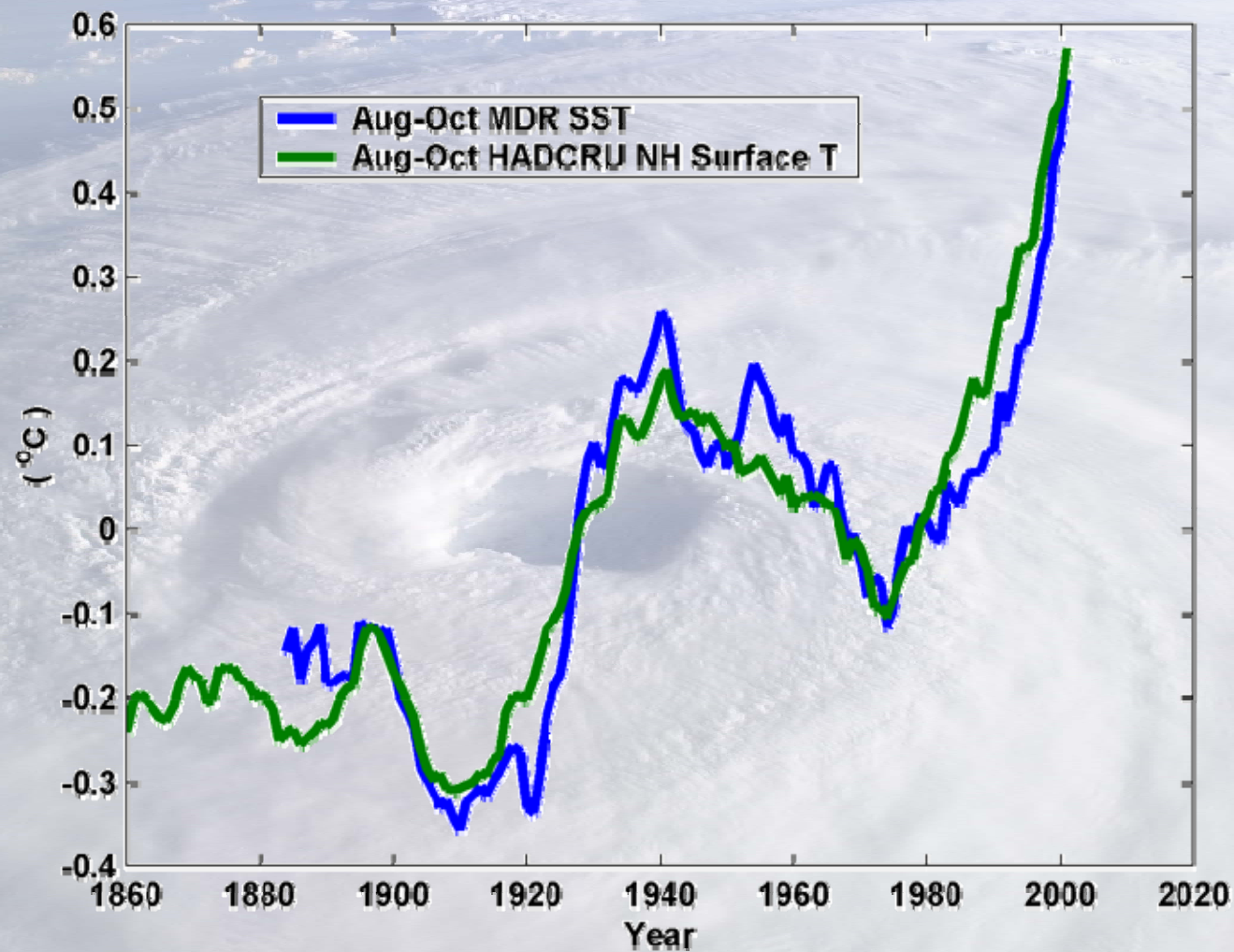
Trends in global satellite-derived tropical cyclone maximum wind speeds by quantile, from 0.1 to 0.9 in increments of 0.1.

Elsner, Kossin, and Jagger, *Nature*, 2008

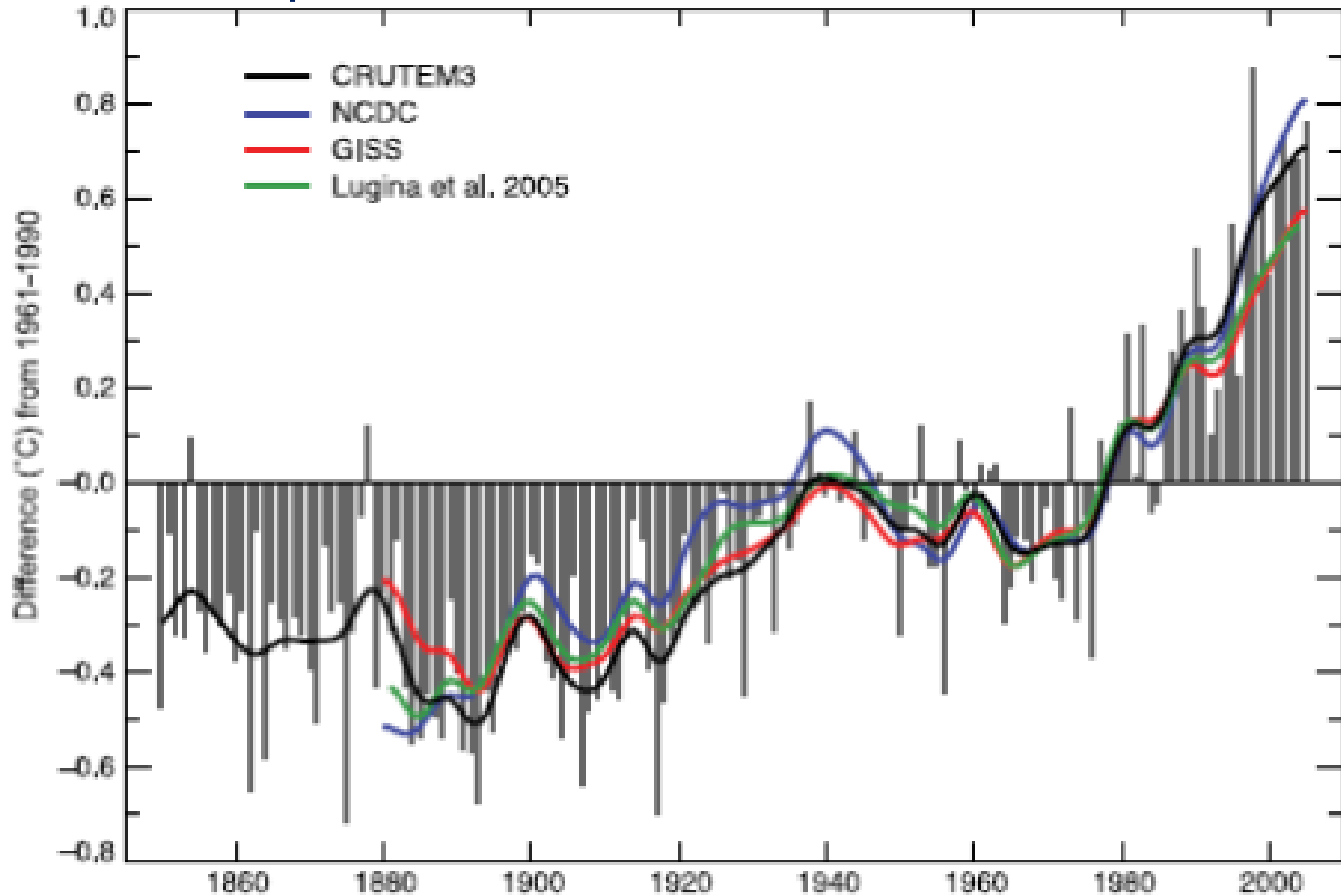
A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a vast expanse of the ocean. The image is taken from space, showing the curvature of the Earth and the horizon. The text is overlaid on the center of the image.

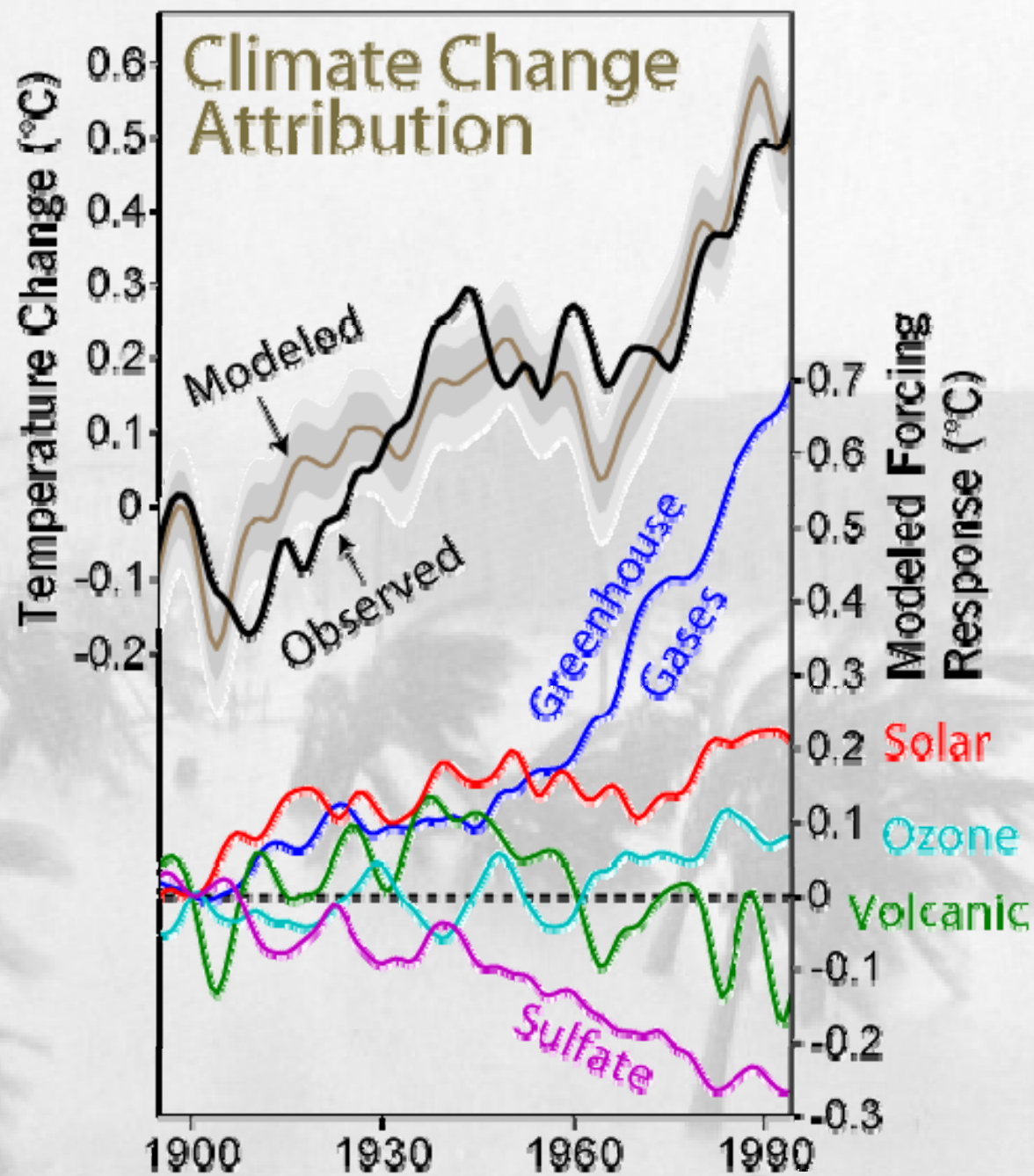
What is Causing Changes in Tropical Atlantic Sea Surface Temperature?

10-year Running Average of Aug-Oct Northern Hemisphere Surface Temp and Hurricane Region Ocean Temp

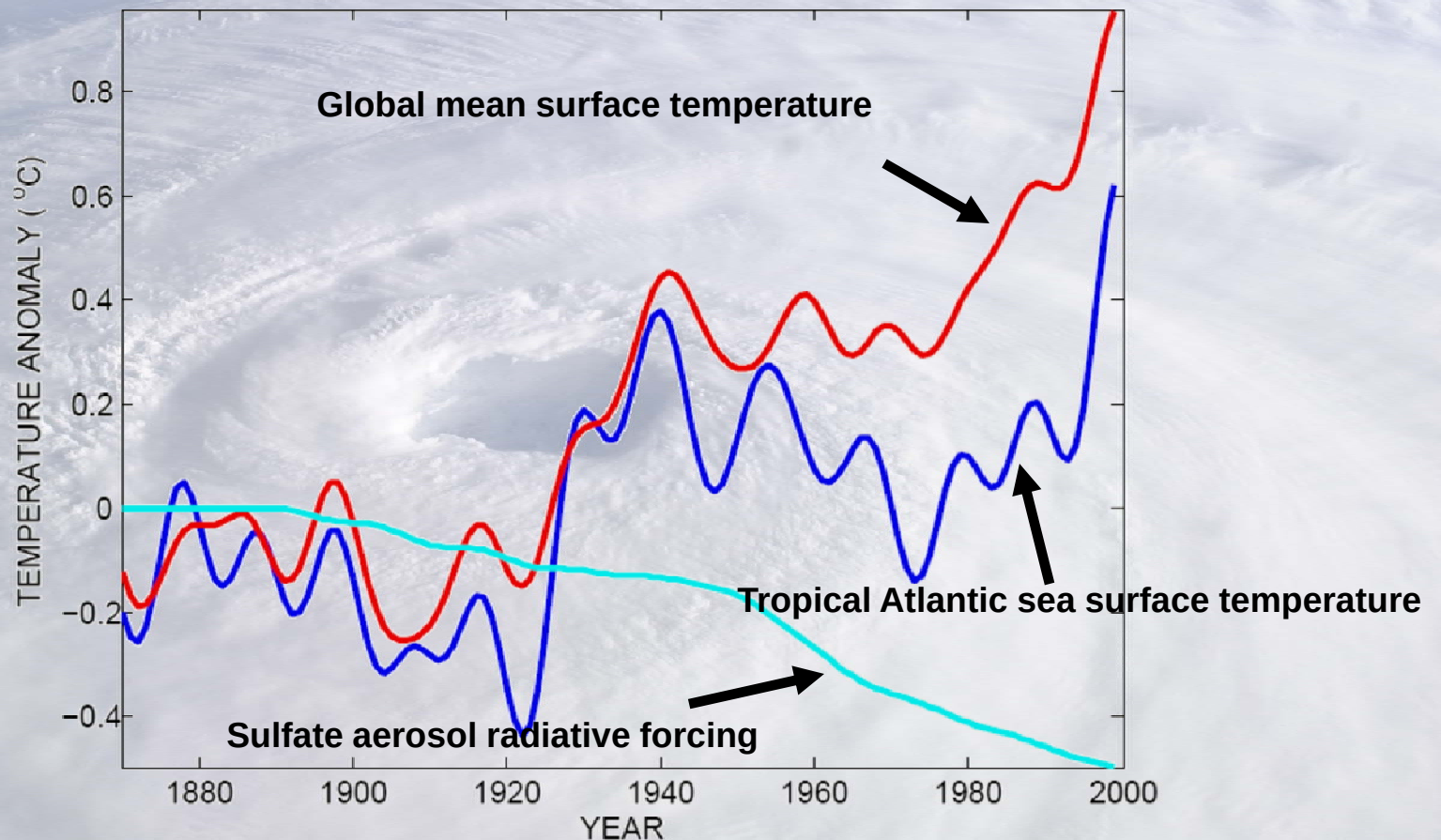


Estimates of Global Mean Surface Temperature from the Instrumental Record



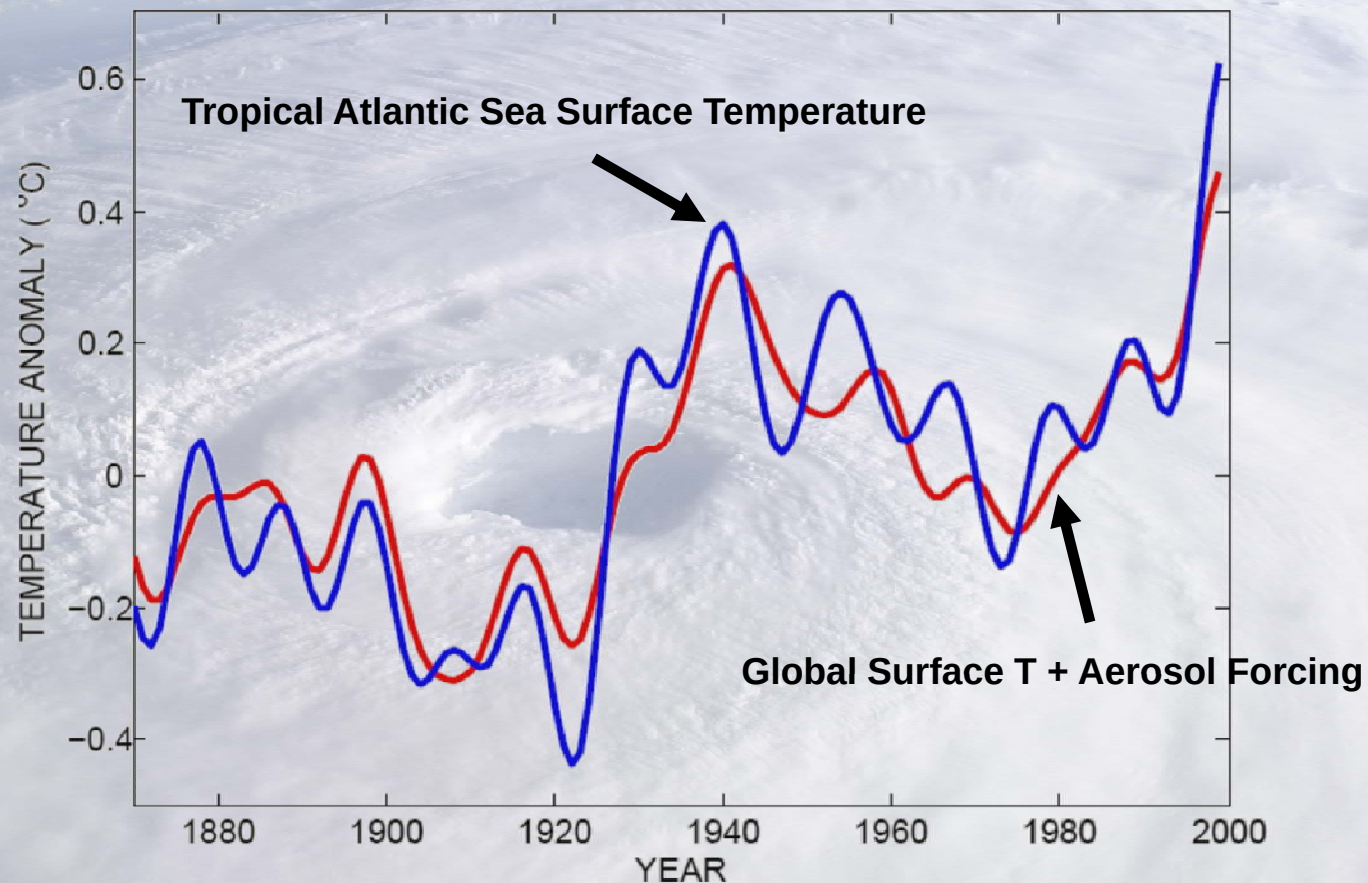


Tropical Atlantic SST(blue), Global Mean Surface Temperature (red), Aerosol Forcing (aqua)



Mann, M. E., and K. A. Emanuel, 2006. Atlantic hurricane trends linked to climate change. EOS, 87, 233-244.

Best Fit Linear Combination of Global Warming and Aerosol Forcing (red) versus Tropical Atlantic SST (blue)

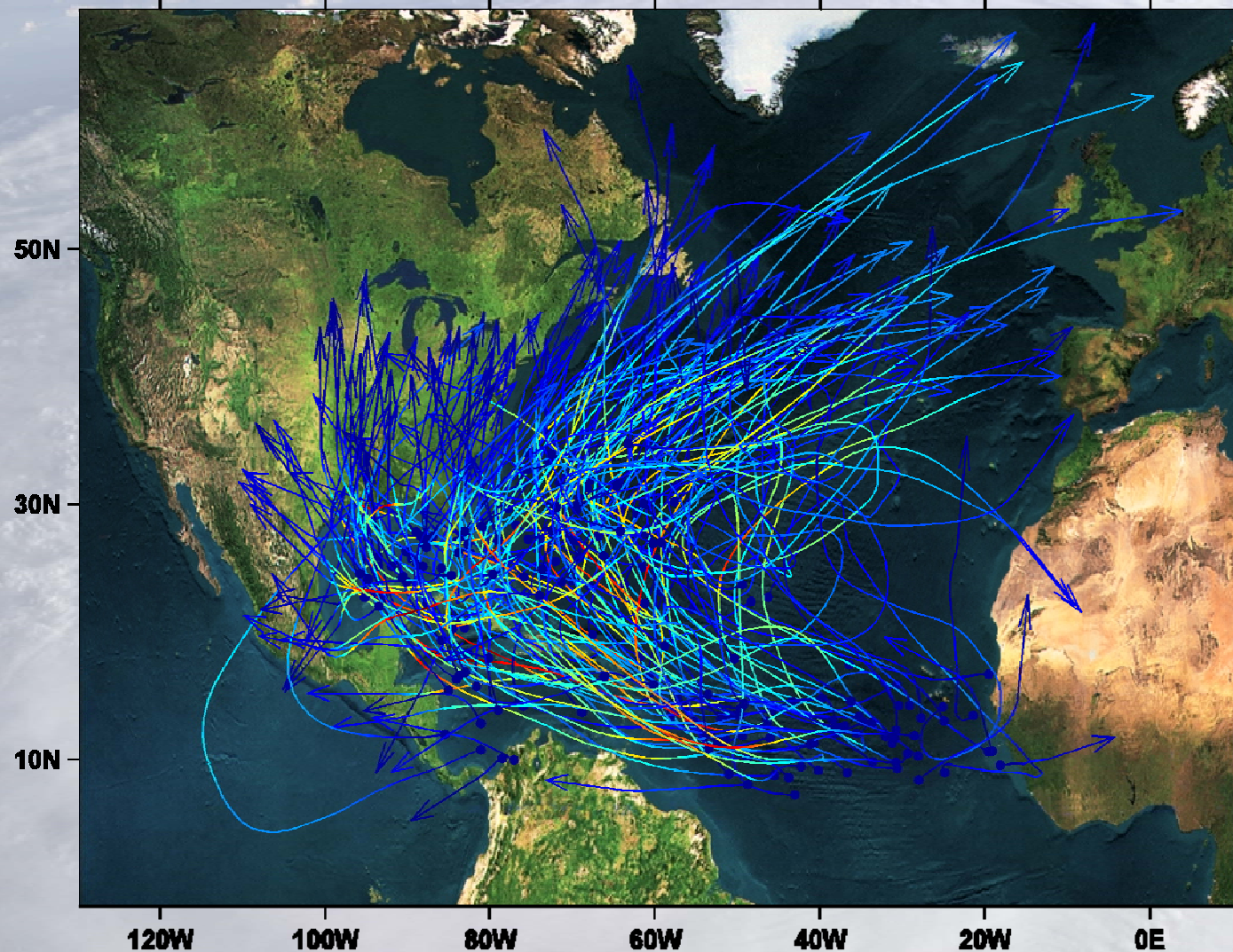


Mann, M. E., and K. A. Emanuel, 2006. Atlantic hurricane trends linked to climate change. EOS, 87, 233-244.

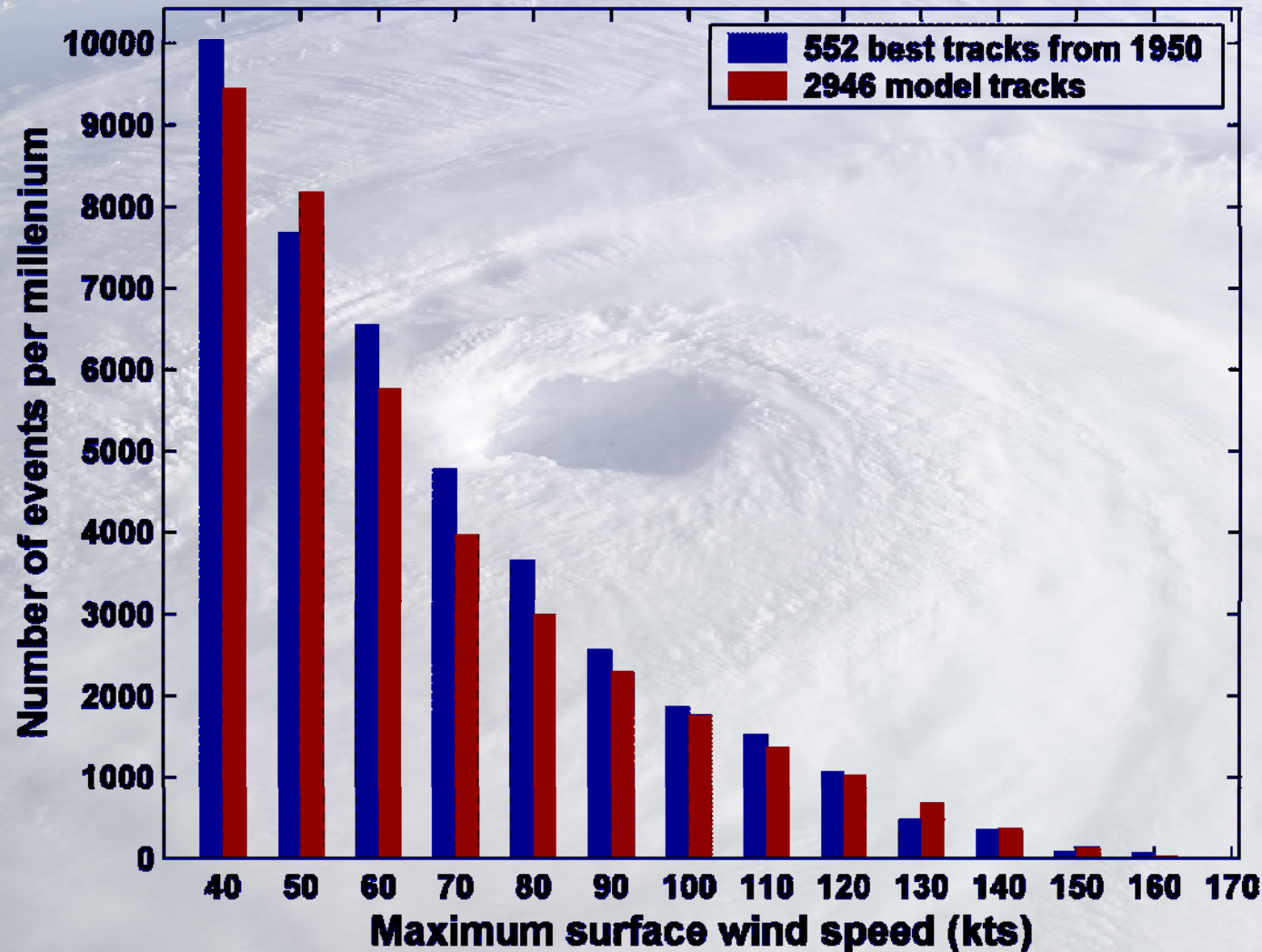
Our Approach to Downscaling Tropical Cyclones from Climate Models

- **Step 1:** Seed each ocean basin with a very large number of weak, randomly located vortices
- **Step 2:** Vortices are assumed to move with the large scale atmospheric flow in which they are embedded
- **Step 3:** Run a coupled, ocean-atmosphere computer model for each vortex, and note how many achieve at least tropical storm strength; discard others
- **Step 4:** Using the small fraction of surviving events, determine storm statistics.

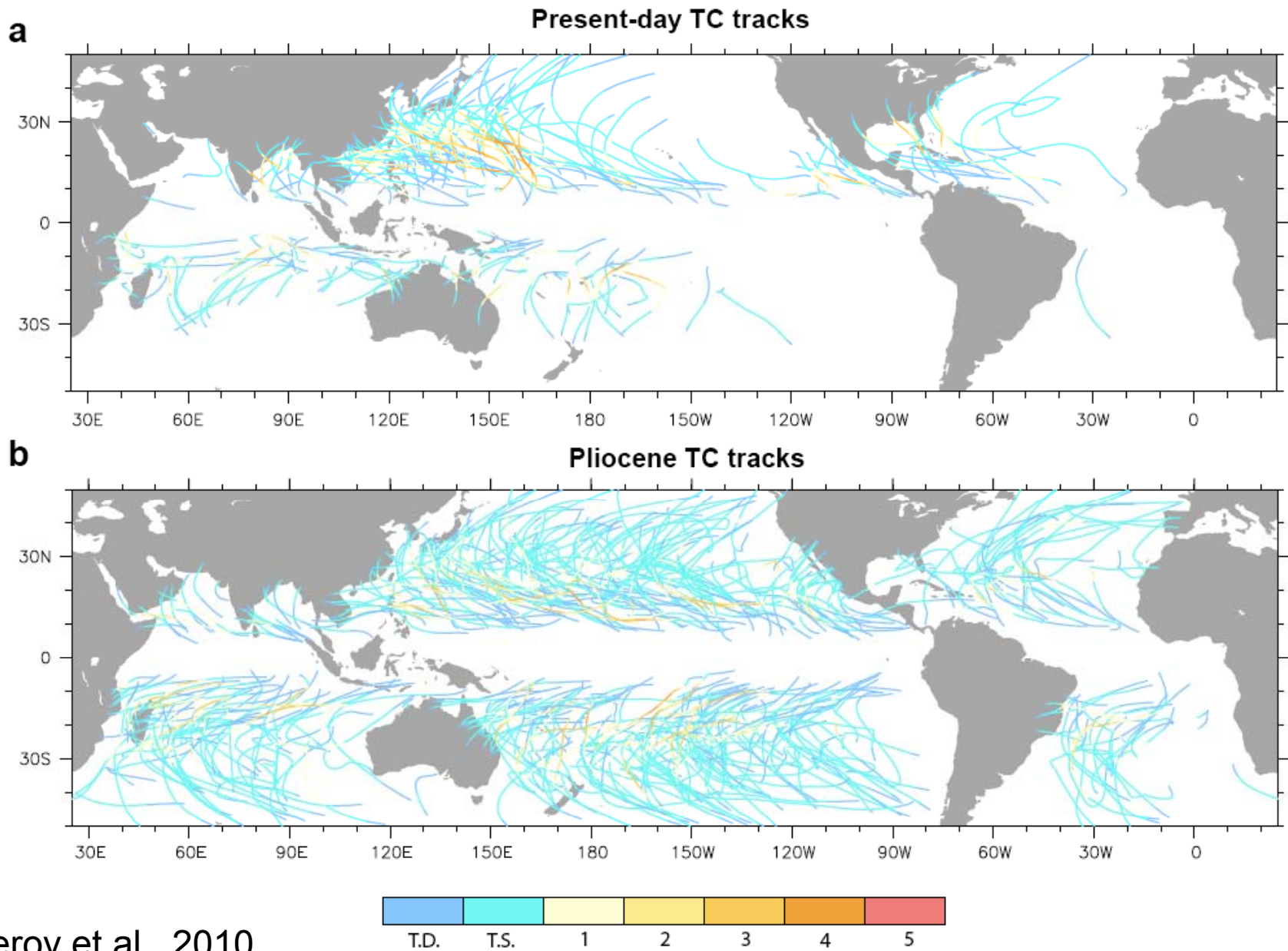
New Downscaling Technique: 200 Synthetic U.S. Landfalling tracks (color coded by S-S Scale)



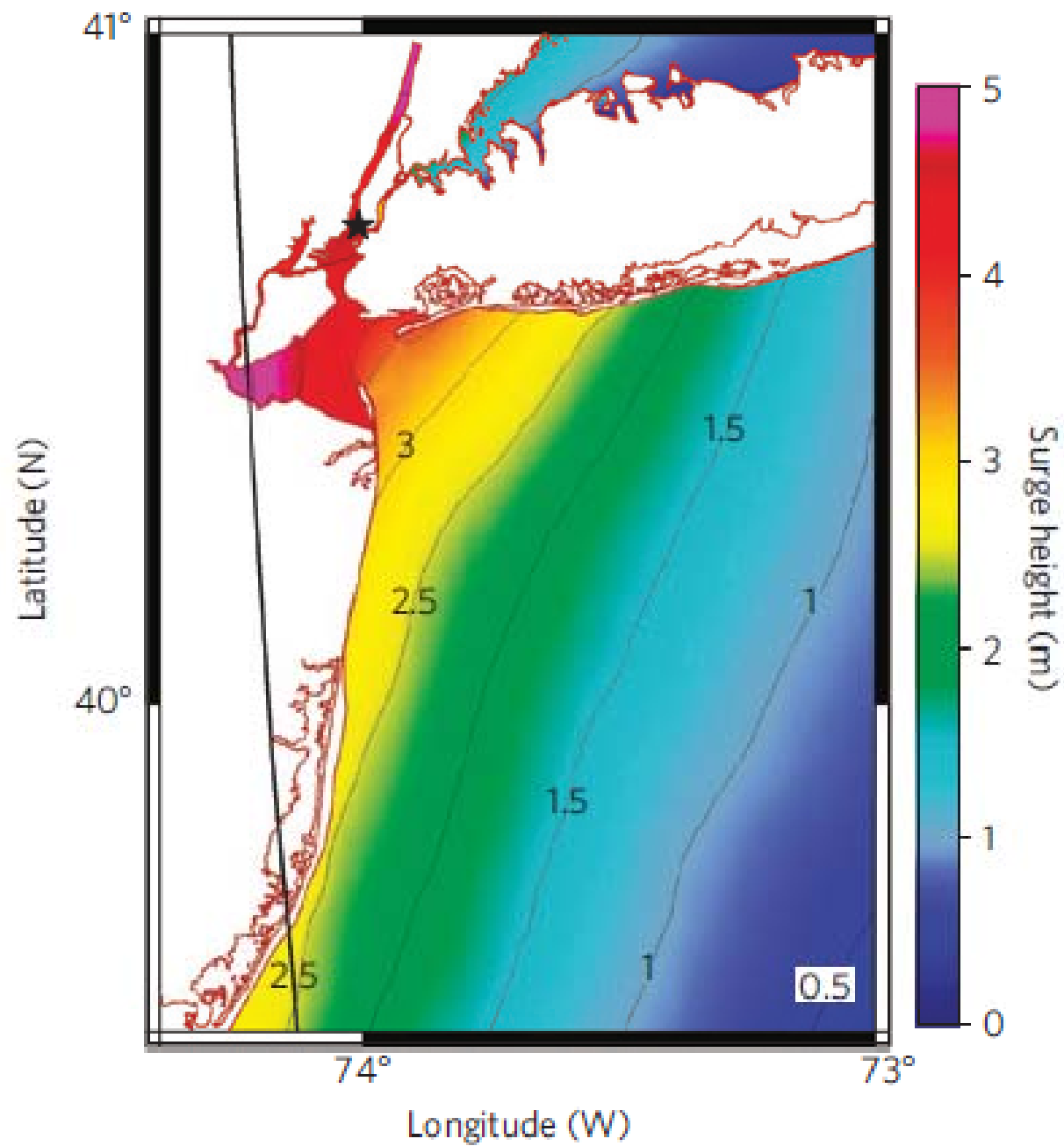
Cumulative Distribution of Storm Lifetime Peak Wind Speed, with Sample of 2946 Synthetic Tracks



Application to Other Climates



Federov et al., 2010

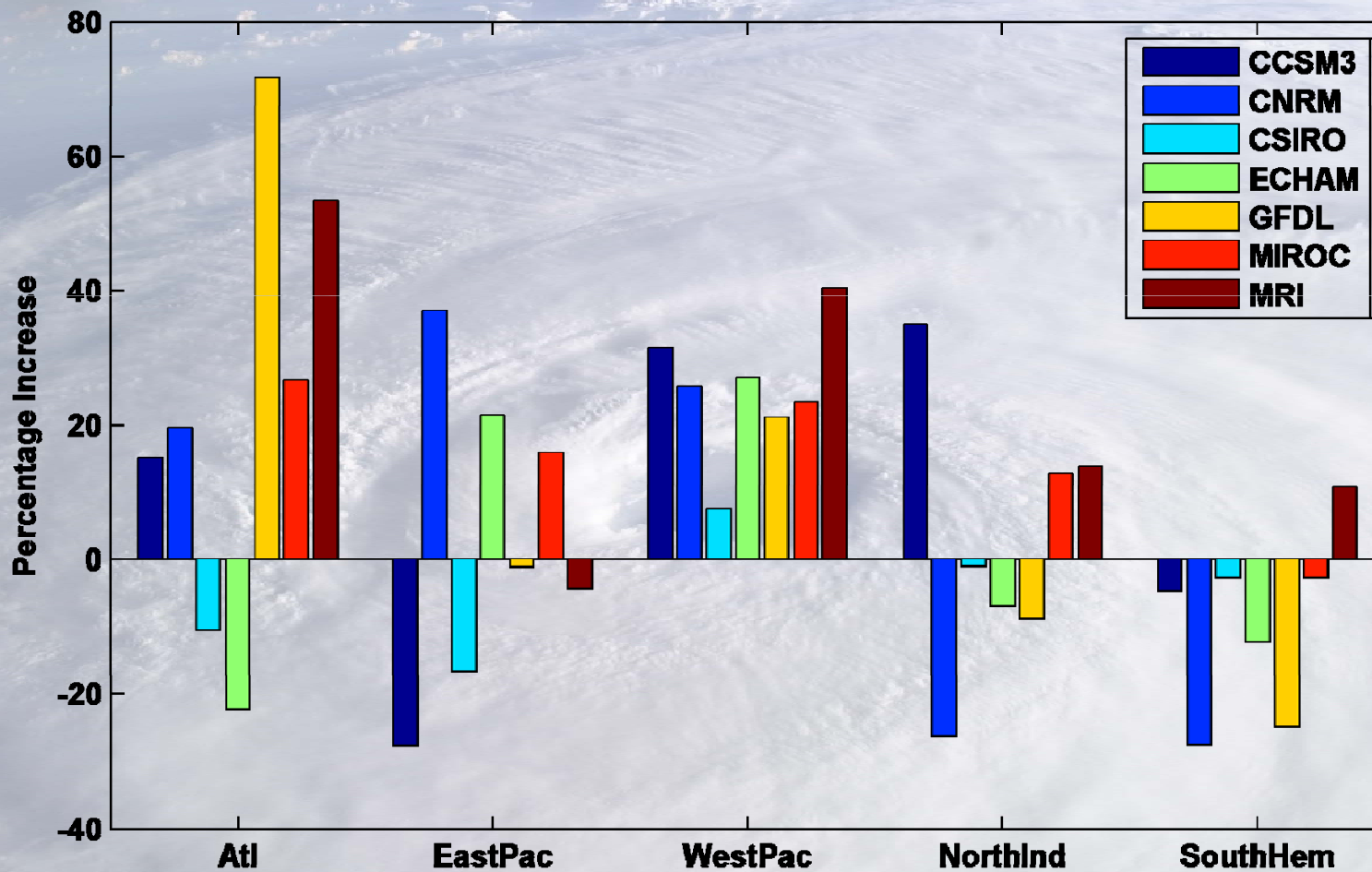


Lin et al., *Nature Climate Change*, 2012

A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a dark ocean. The text "Looking Ahead" is overlaid in the center in a bold blue font.

Looking Ahead

Basin-Wide Percentage Change in Power Dissipation

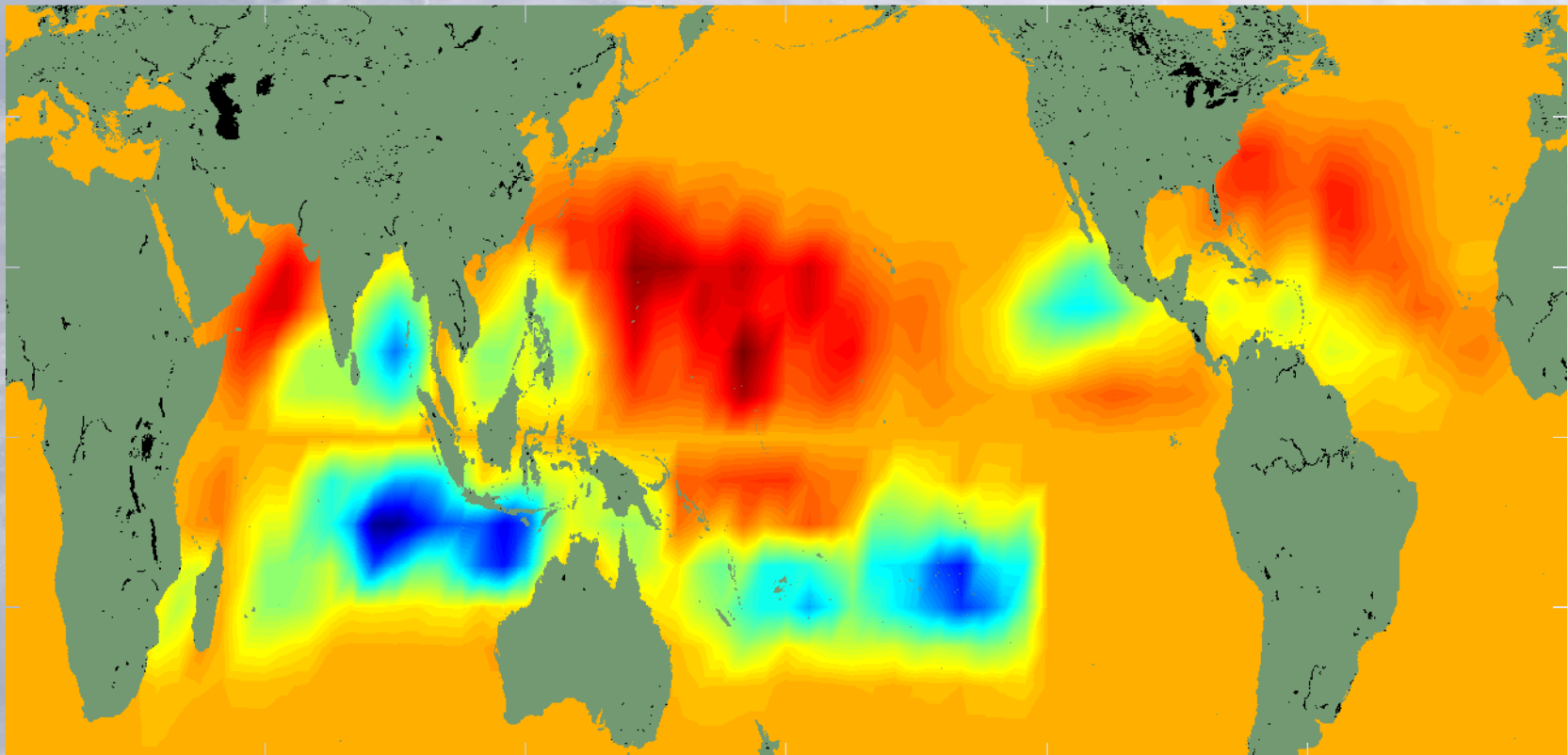


Different
Climate
Models



7 Model Consensus Change in Storm Frequency

7-Model Consensus Change in Genesis Density



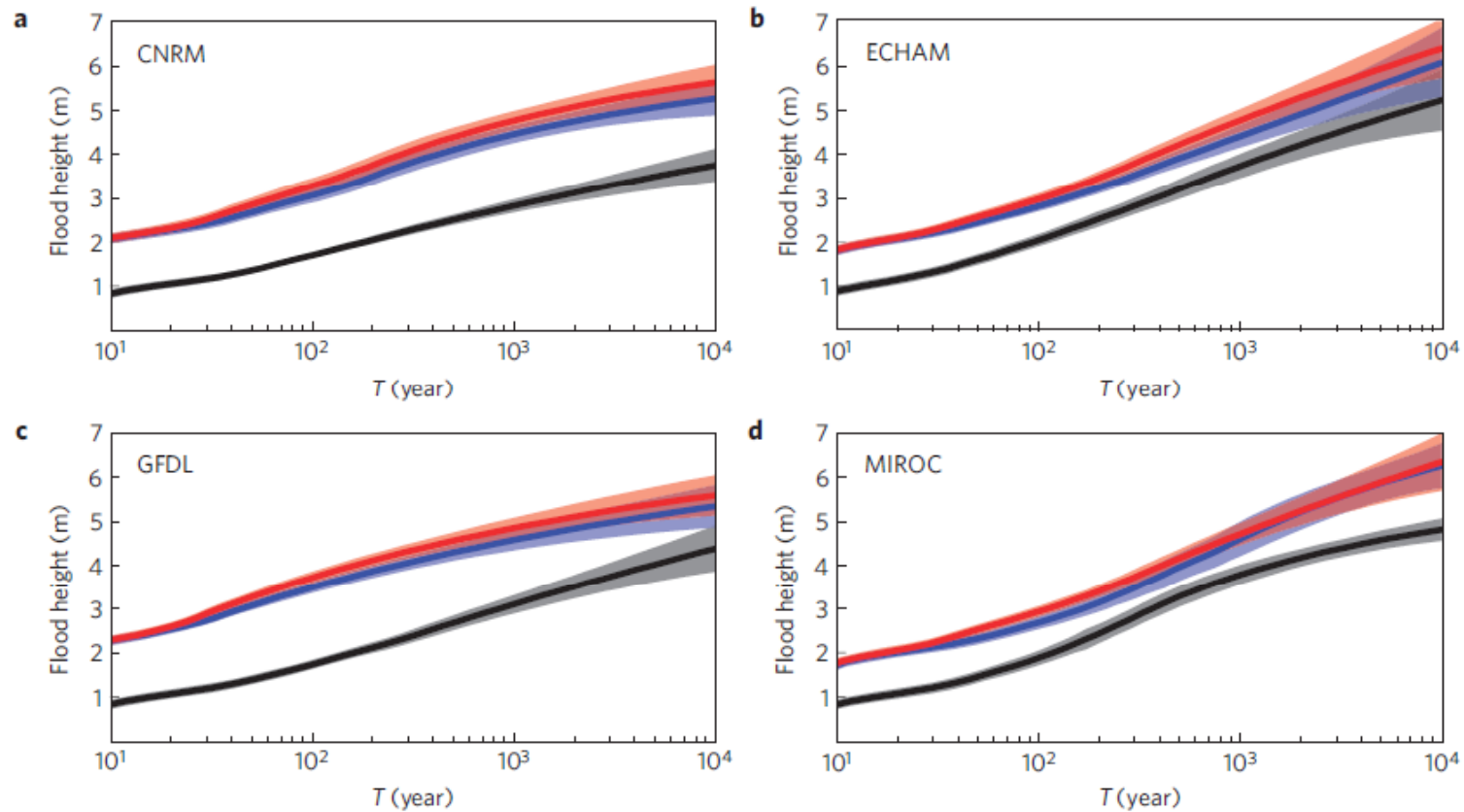
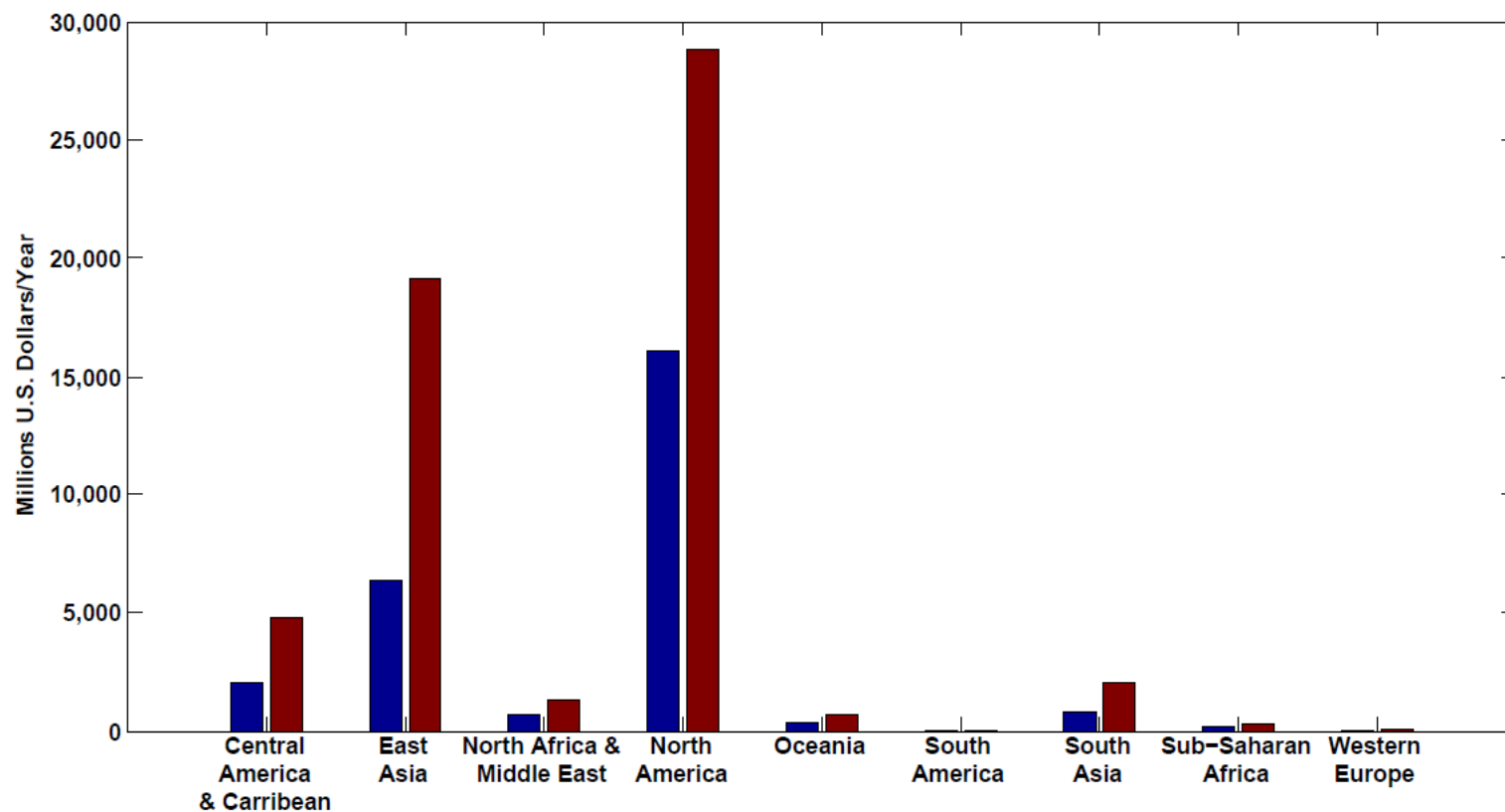


Figure 4 | Estimated flood return levels for the Battery, predicted with each of the four climate models. The black is for the present climate, the blue is for the IPCC A1B climate and the red is for the IPCC A1B climate with R_0 increased by 10% and R_m increased by 21%. The shade shows the 90% confidence interval. The SLR for the A1B climate is assumed to be 1 m.

Integrated Assessments

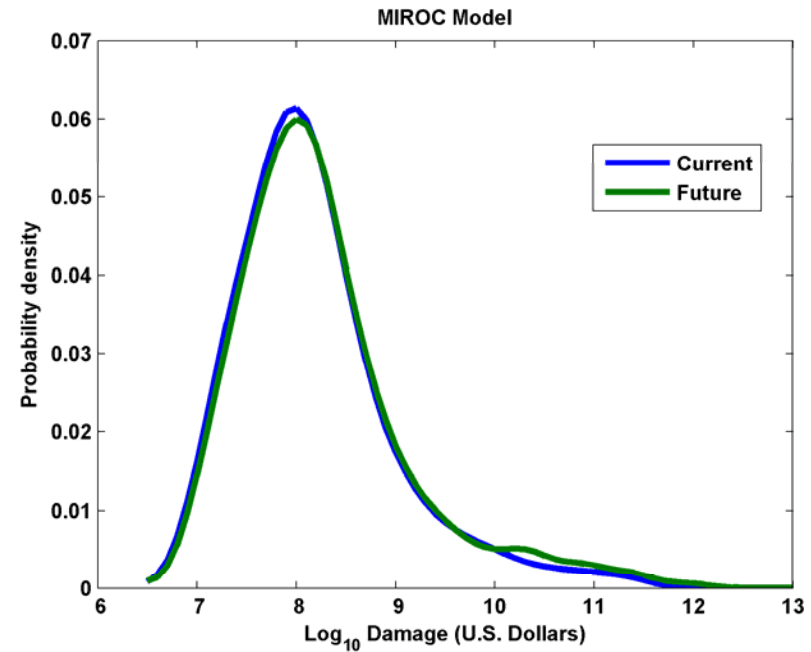
with Robert Mendelsohn, Yale



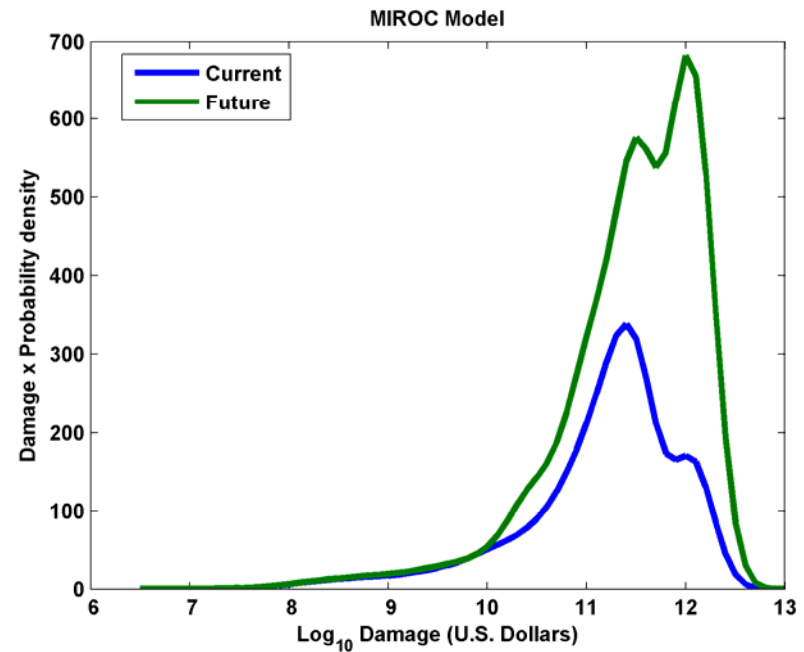
Present and future baseline tropical cyclone damage by region.

Changes in income will increase future tropical cyclone damages in 2100 in every region even if climate does not change. Changes are larger in regions experiencing faster economic growth, such as East Asia and the Central America–Caribbean region.

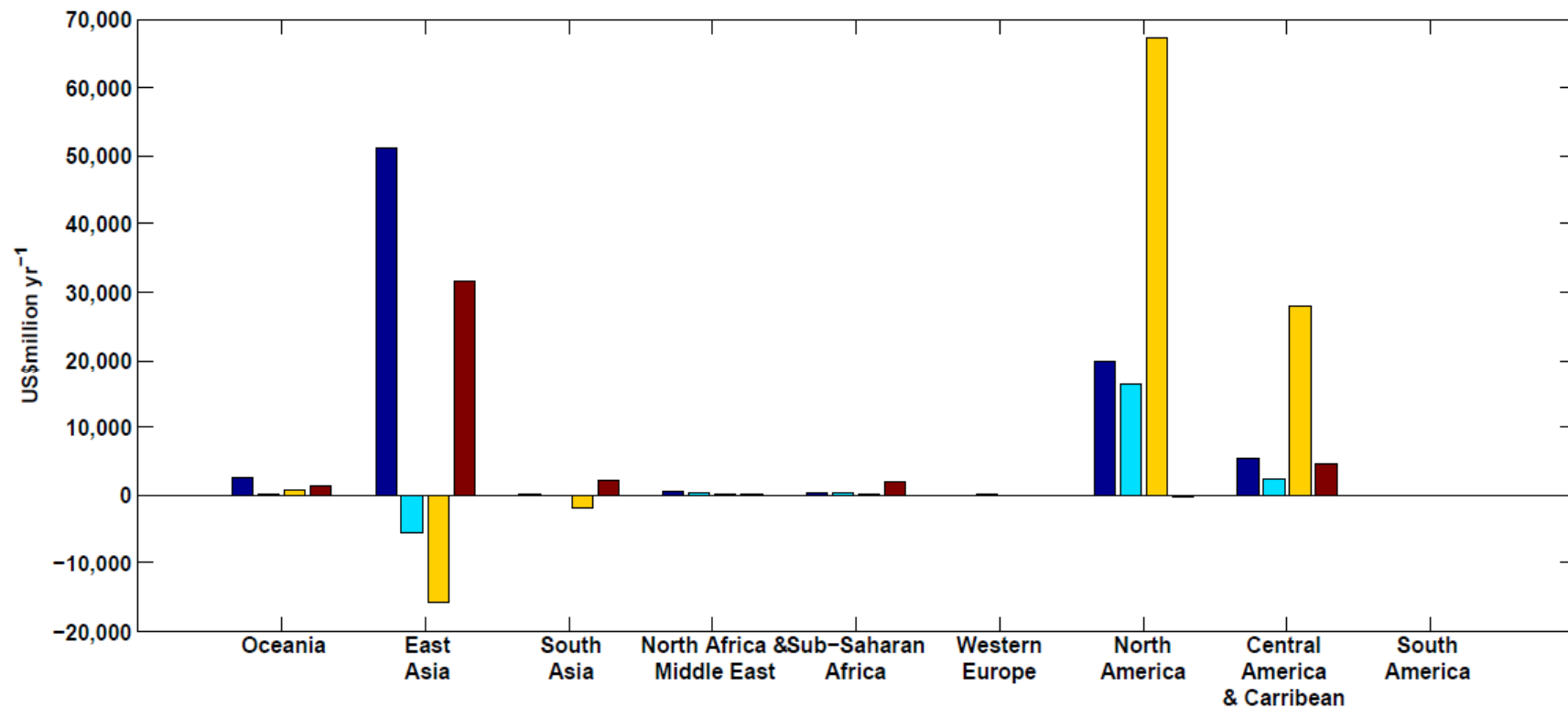
Probability Density of
TC Damage, U.S.
East Coast



Damage Multiplied by
Probability Density of
TC Damage, U.S.
East Coast



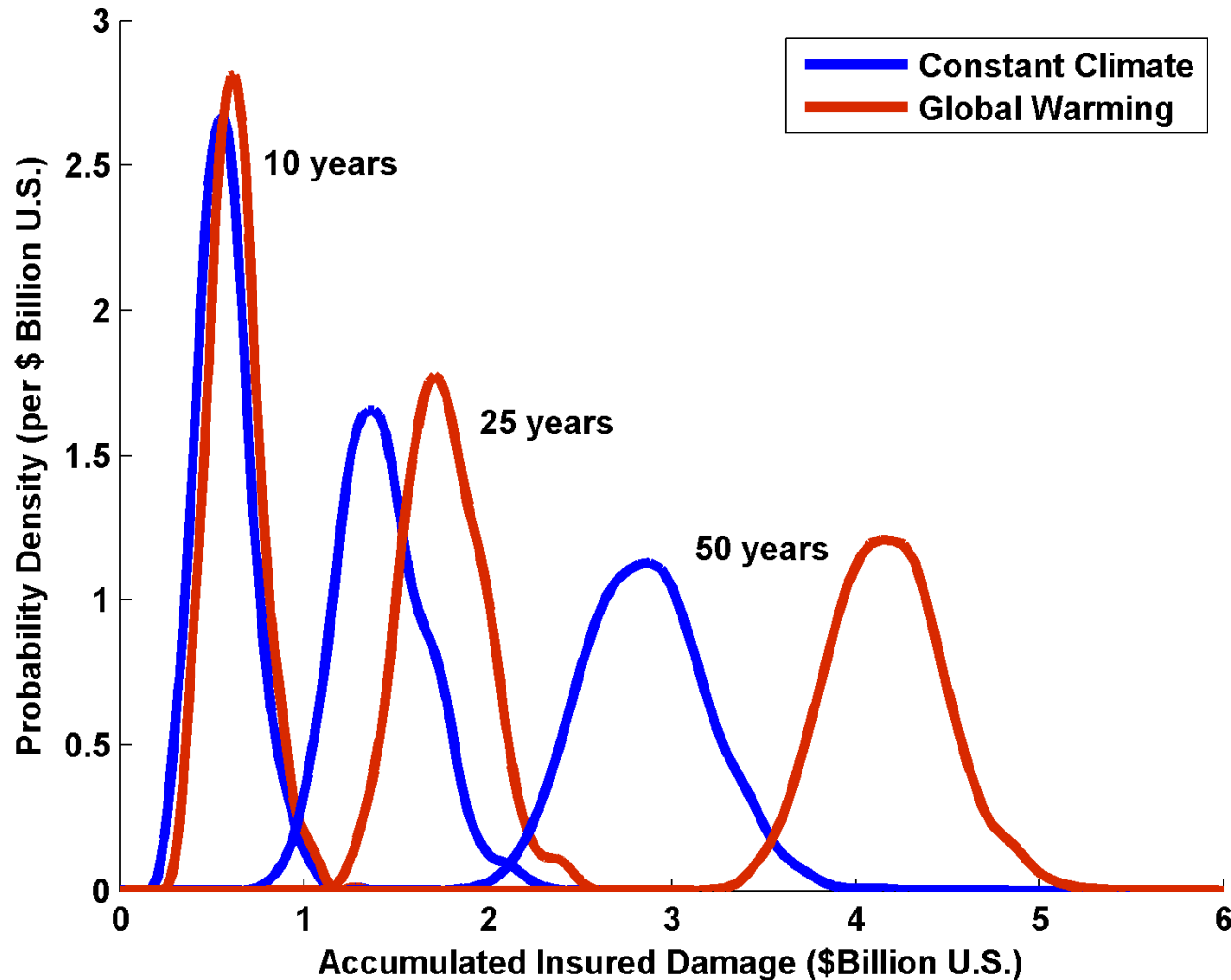
Mendelsohn et al., 2012



Climate change impacts on tropical cyclone damage by region in 2100. Damage is concentrated in North America, East Asia and Central America–Caribbean. Damage is generally higher in the CNRM and GFDL climate scenarios.

Projections of U.S. Insured Damage

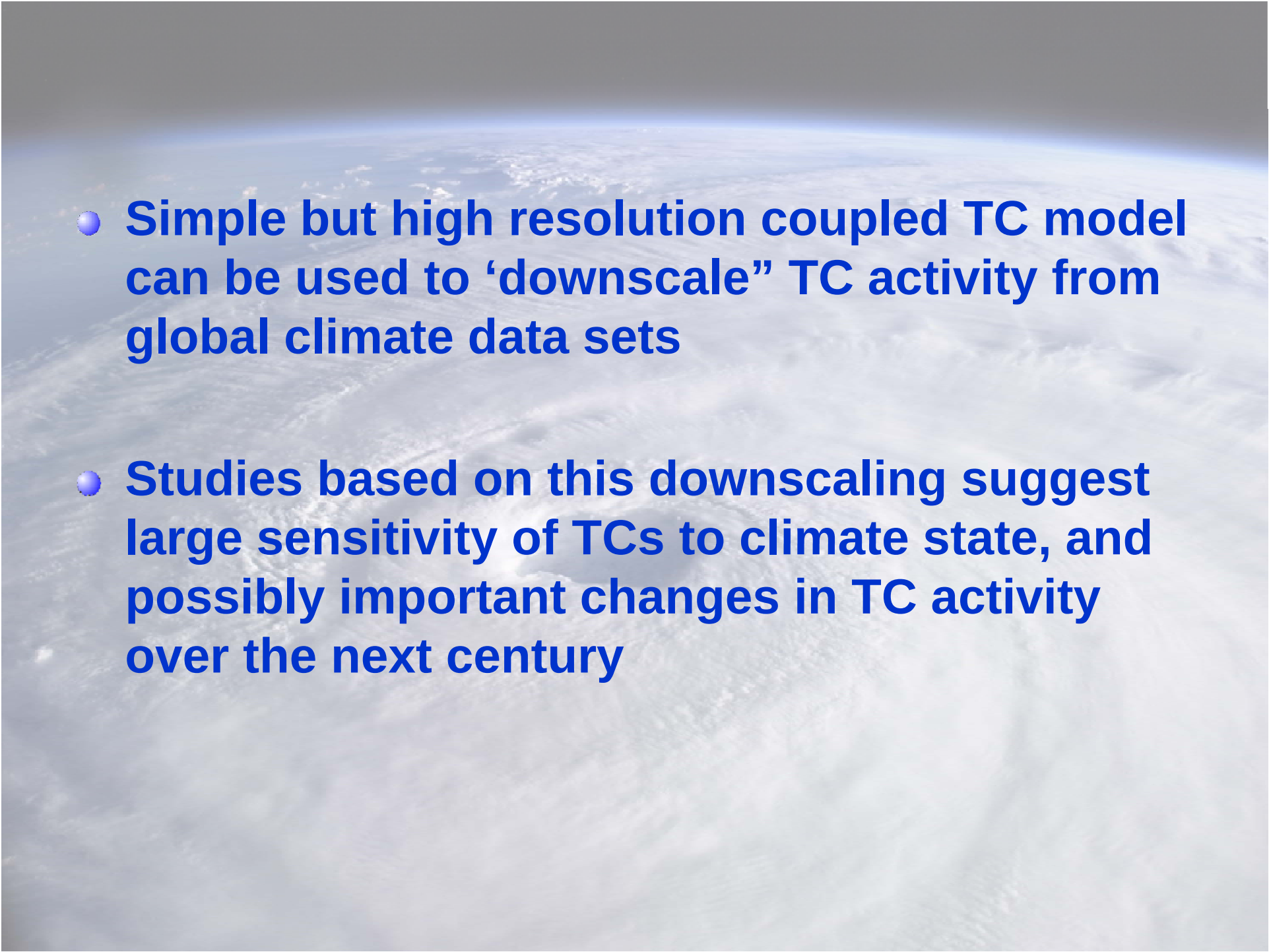
GFDL CM2.0

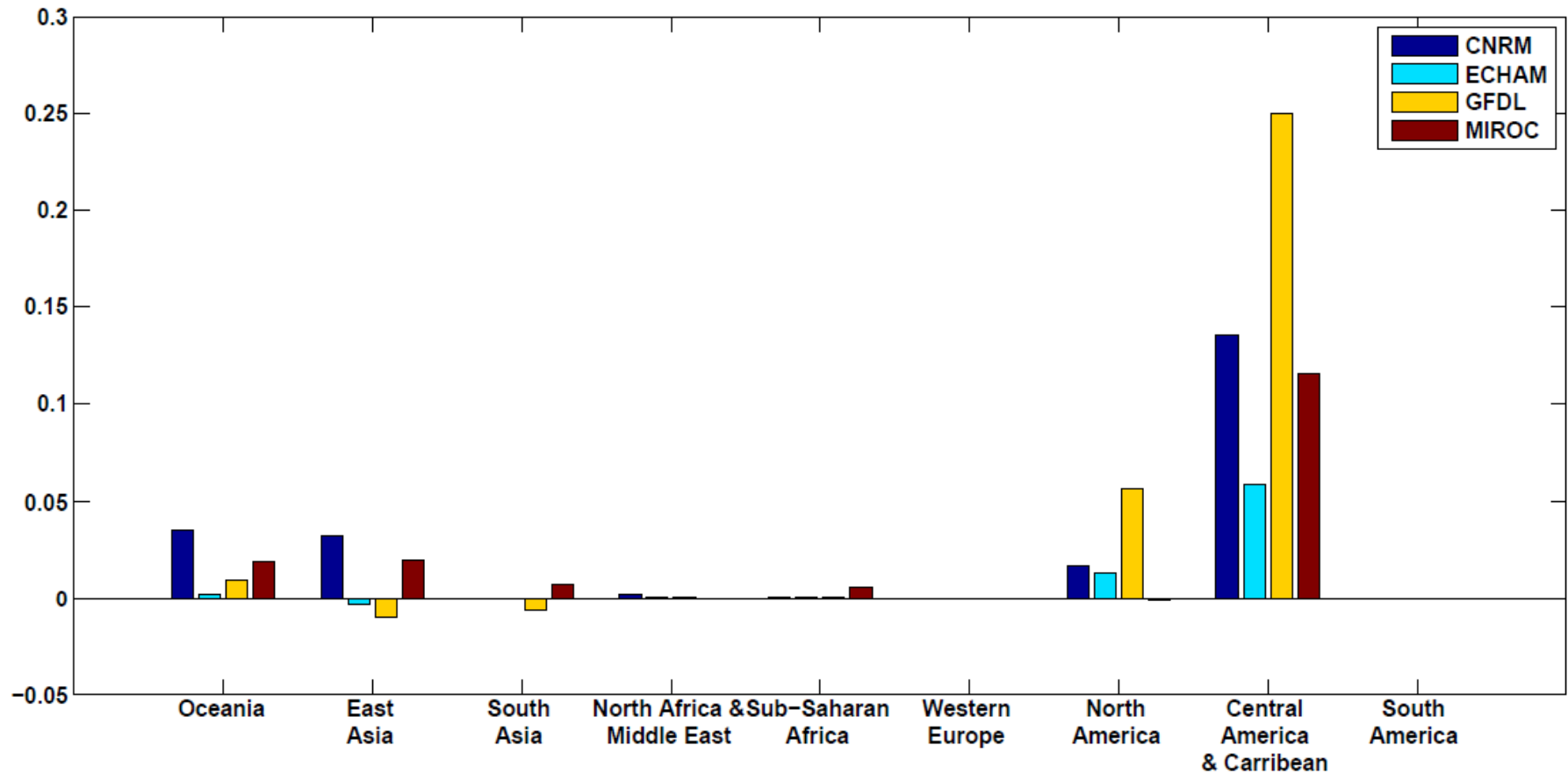


Emanuel, K. A., 2012, *Weather, Climate, and Society*

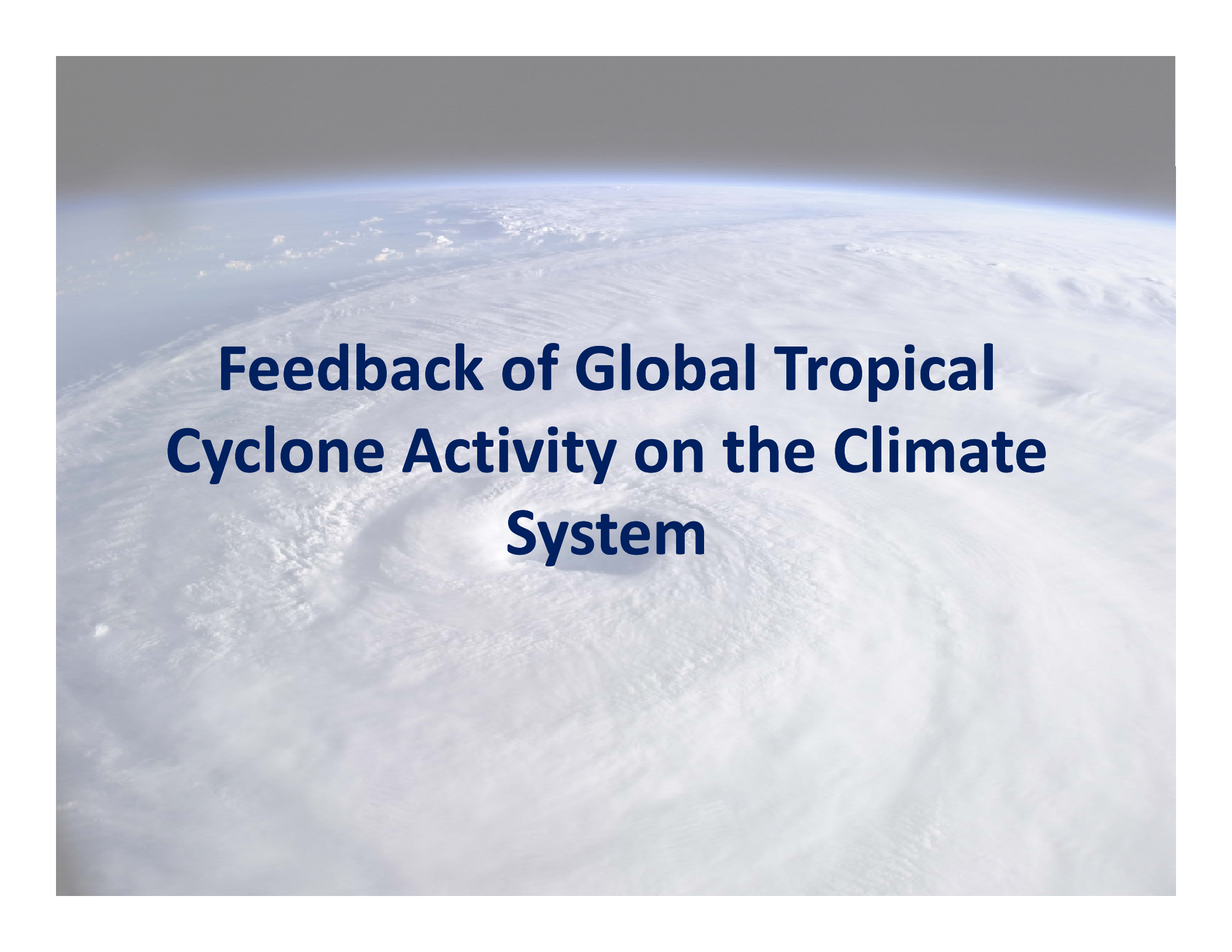
Summary

- Hurricane activity is sensitive to climate
- Hurricanes and cloud clusters in general may help to regulate tropical climate, drying the atmosphere and cooling the surface when it becomes too warm

- 
- **Simple but high resolution coupled TC model can be used to ‘downscale’ TC activity from global climate data sets**
 - **Studies based on this downscaling suggest large sensitivity of TCs to climate state, and possibly important changes in TC activity over the next century**

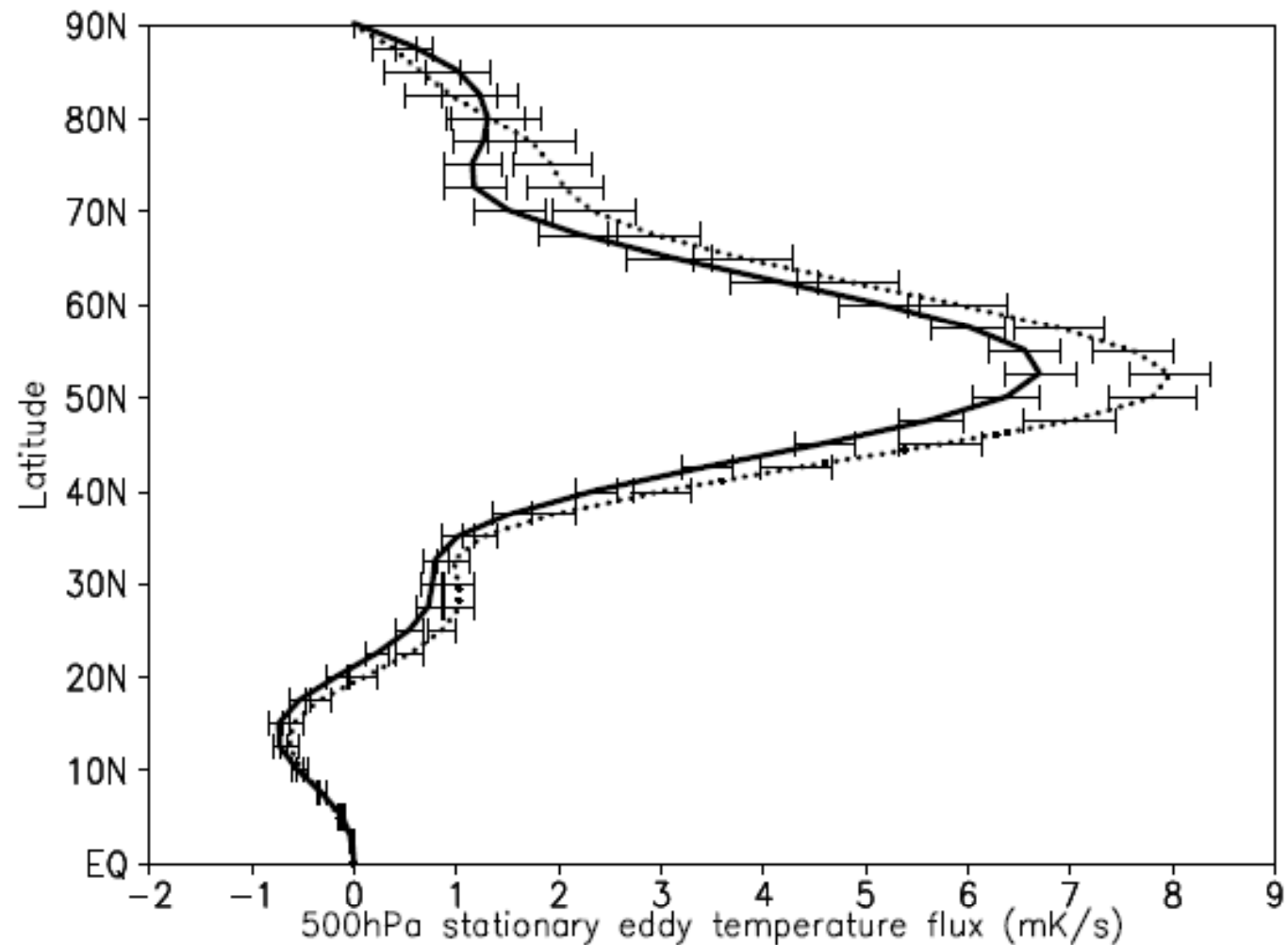


Climate change impacts on tropical cyclone damage divided by GDP by region in 2100. The ratio of damage to GDP is highest in the Caribbean–Central American region but North America, Oceania and East Asia all have above-average ratios.

A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a dark ocean. The image is used as a background for the title text.

Feedback of Global Tropical Cyclone Activity on the Climate System

Hart, 2010

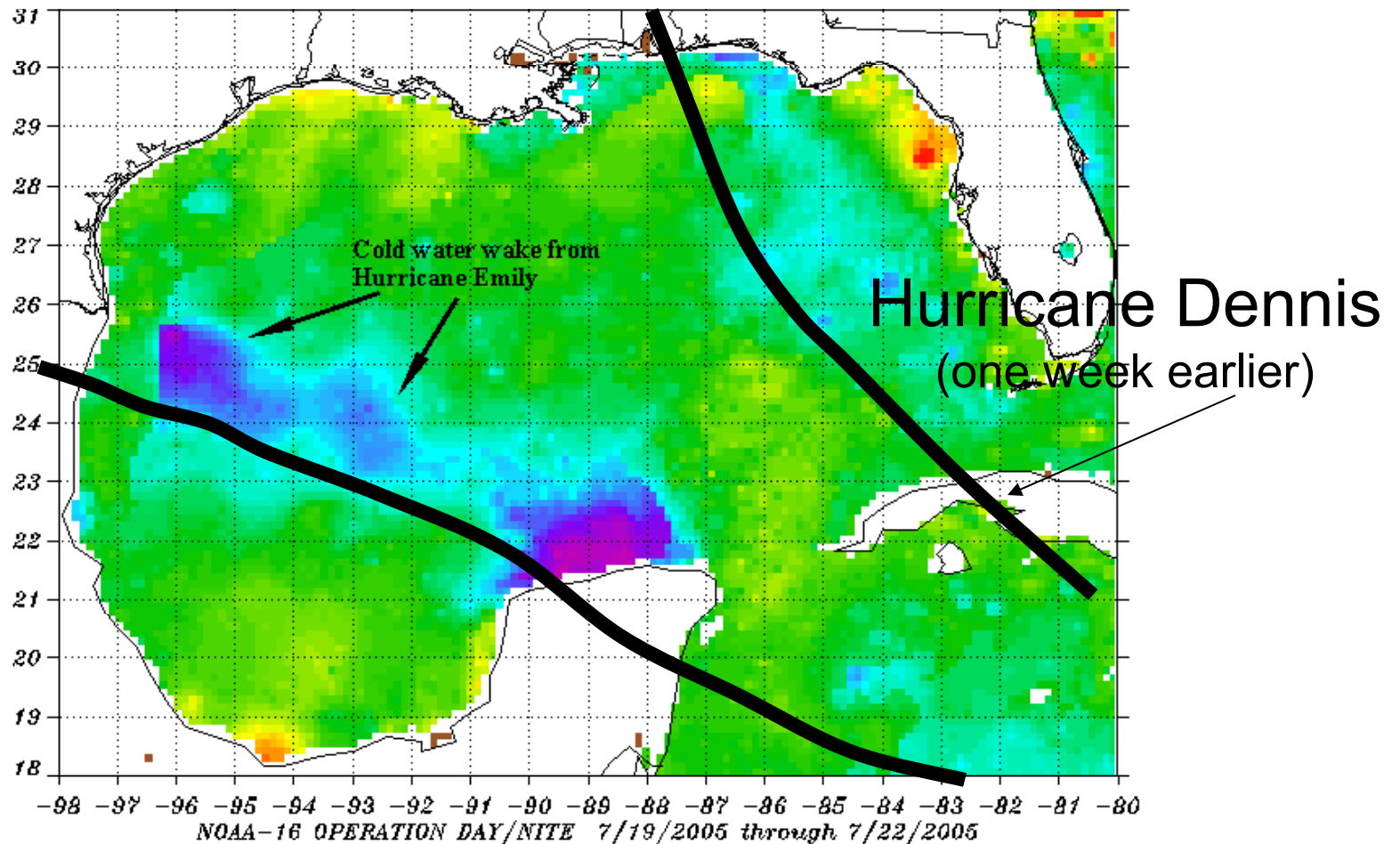


500hPa zonal mean meridional temperature flux (mK/s) of the stationary eddies for January through March. The dotted (solid) curve represents the composite mean of the winters following inactive (active) northern hemisphere TC seasons. Error bars represent the standard error of the mean for datasets of size varying from N=9 to 13.

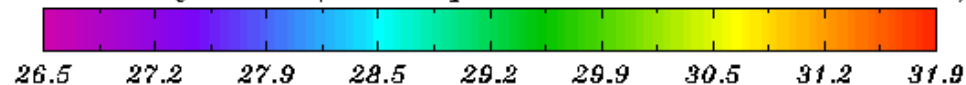
Flux calculated using NCAR/NCEP reanalysis for the period 1960-2008

The wake of Hurricane Emily (July 2005)

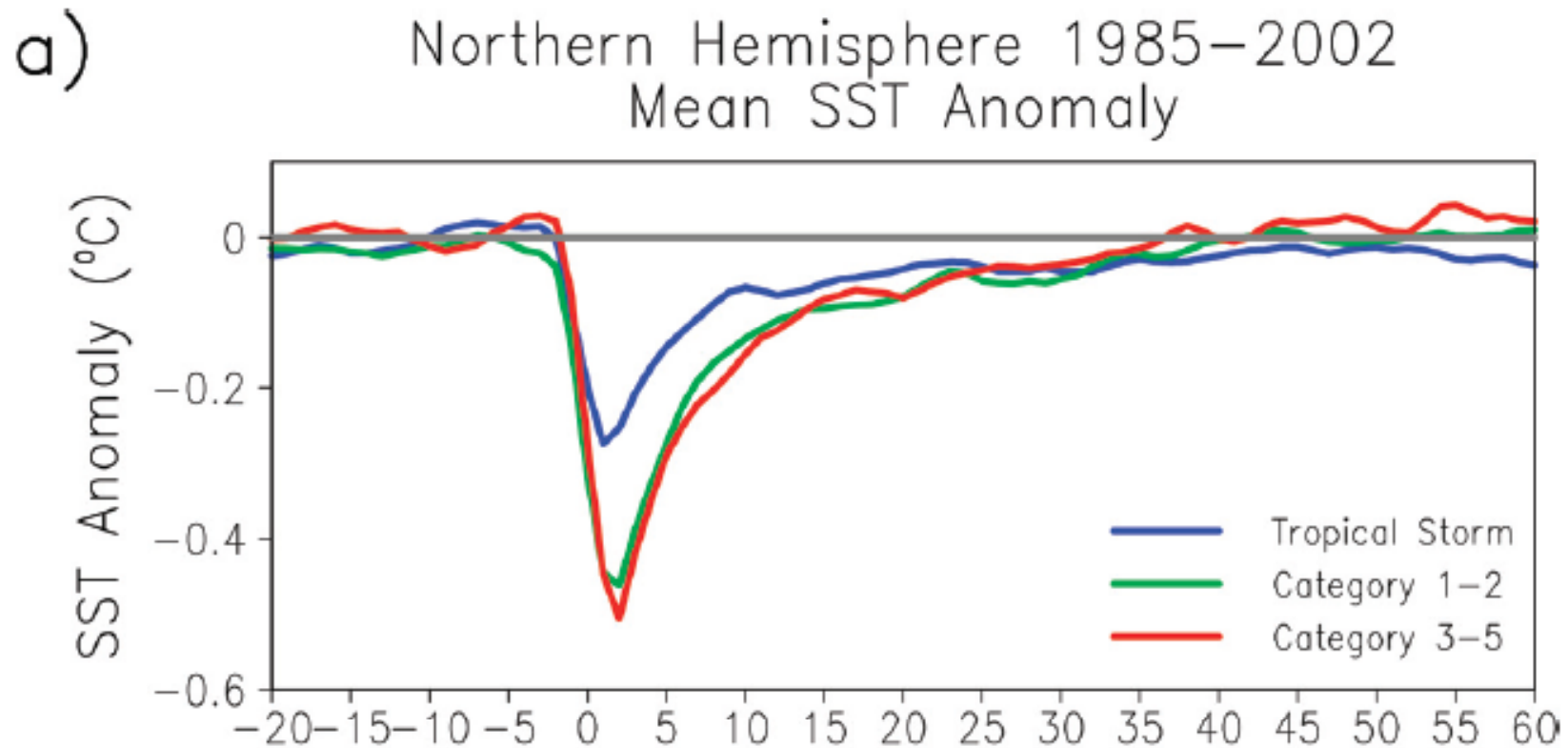
Sea Surface
Temperature
in the Wakes
of Hurricanes



SST in degrees C (brown pixels are old and unreliable)



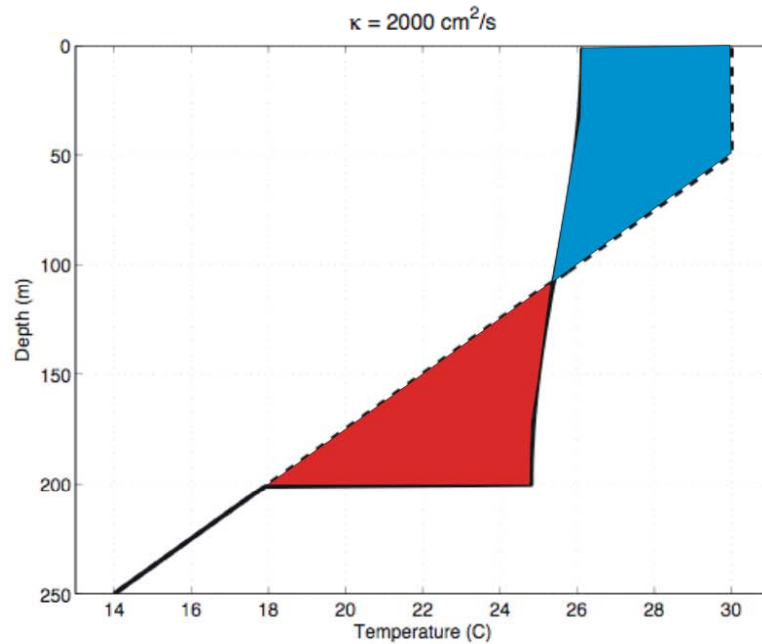
Wake Recovery



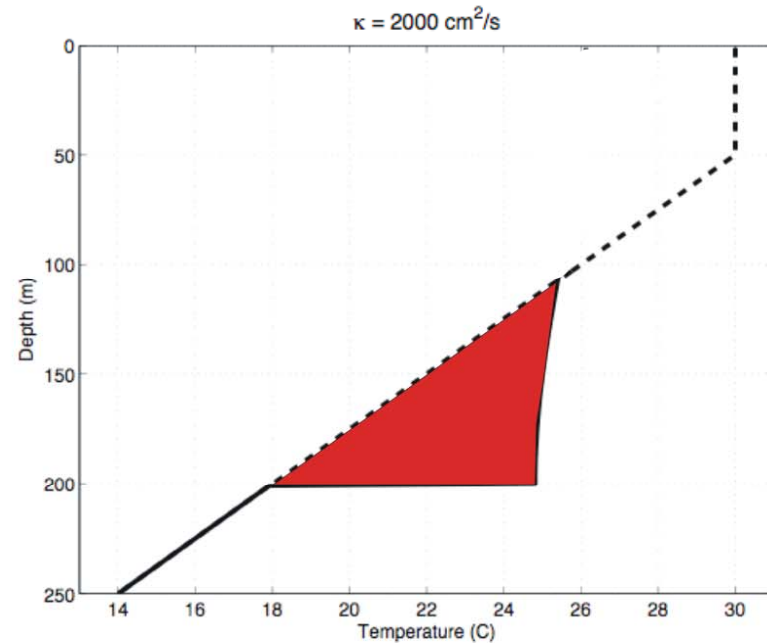
Hart, Maue, and Watson, *Mon. Wea. Rev.*, 2007

Direct mixing by tropical cyclones

Stage 1:
Enthalpy-conserving mixing

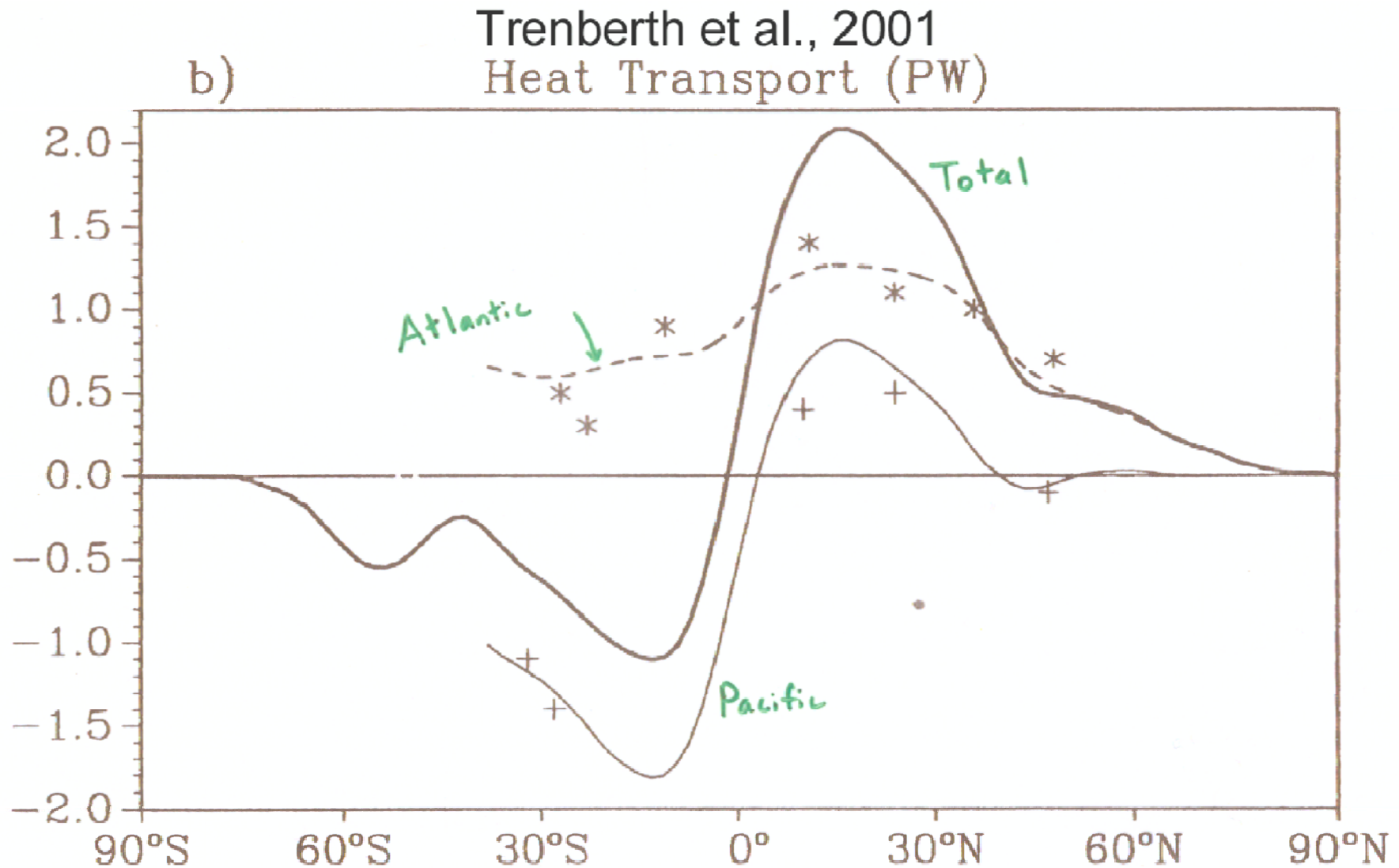


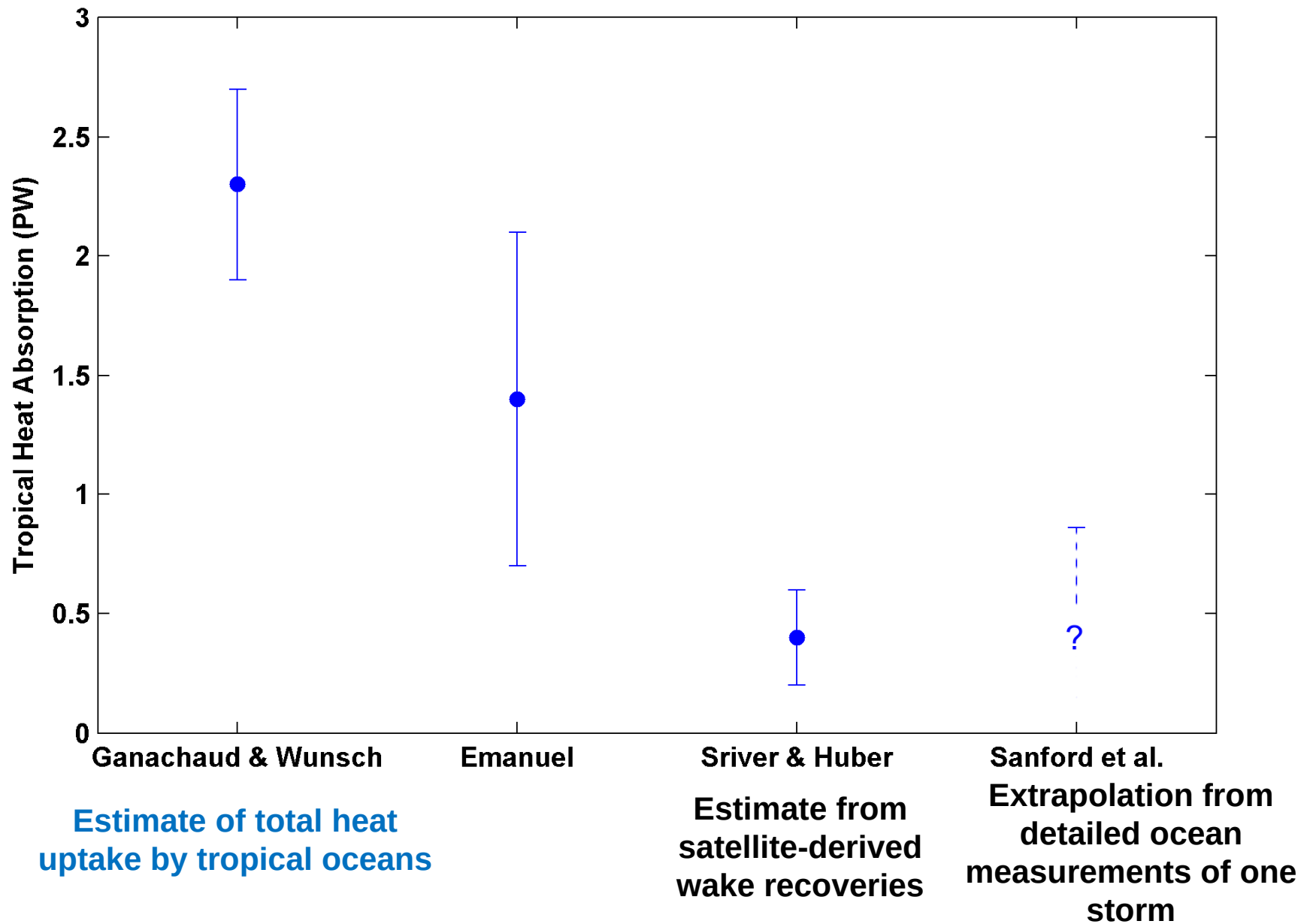
Stage 2:
Wake recovery

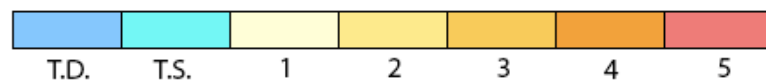
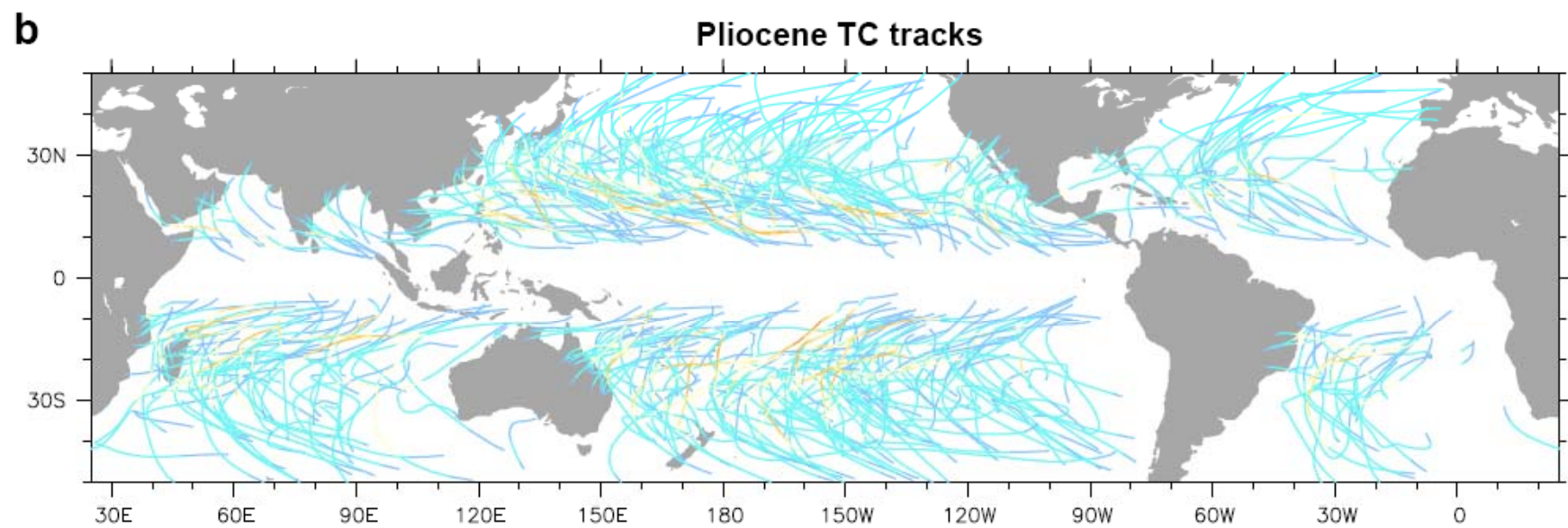
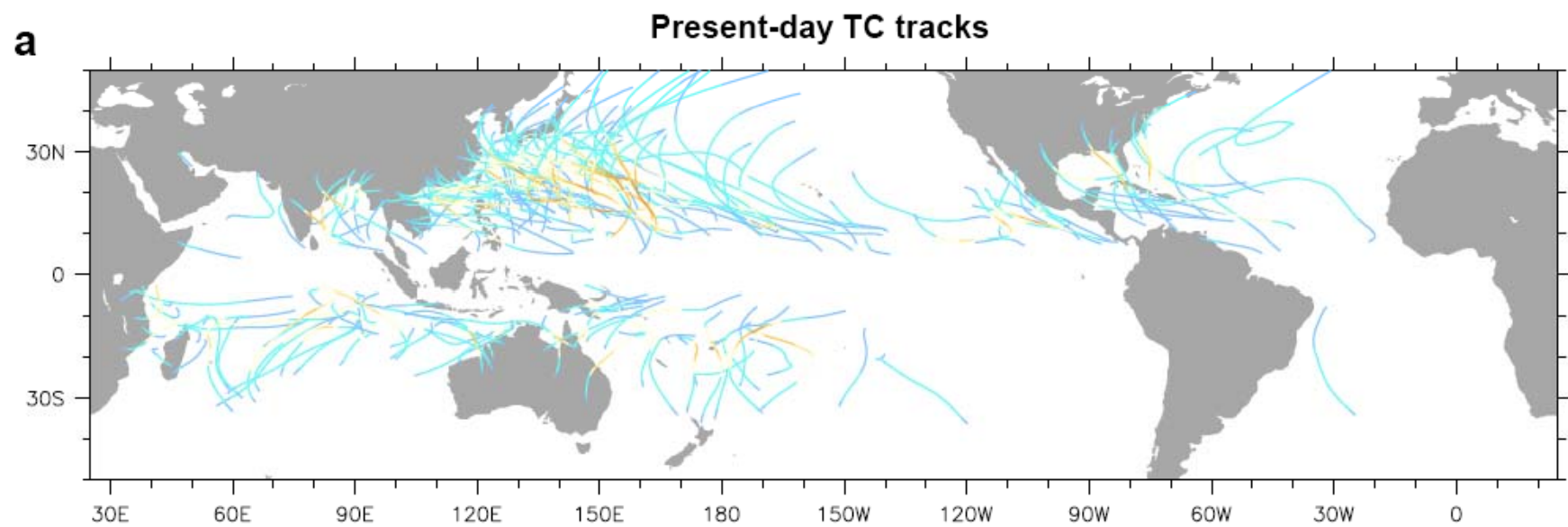


Emanuel (2001) estimated global rate of heat input as 1.4×10^{15} Watts

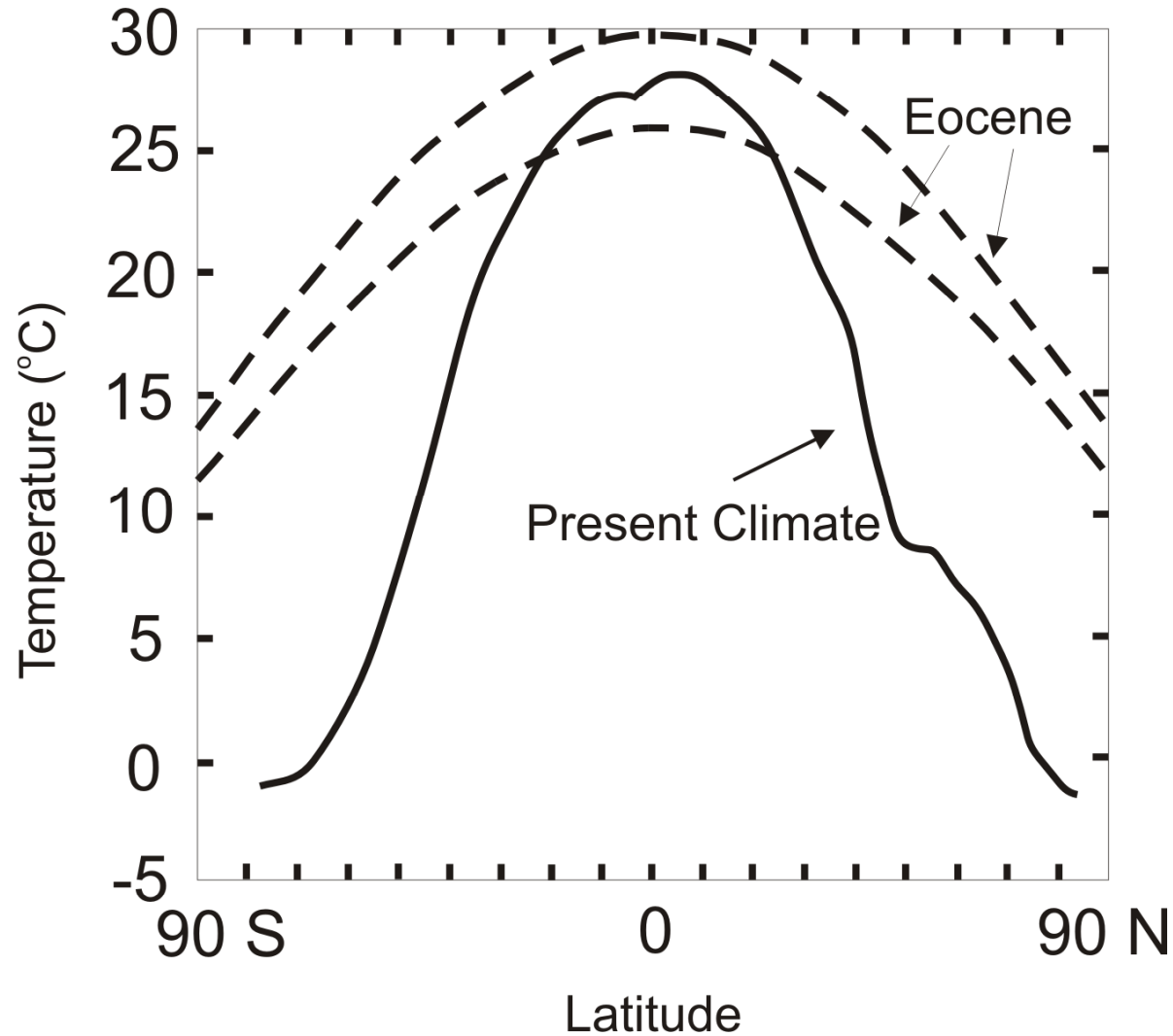
TC Mixing May Induce Much or Most of the Observed Poleward Heat Flux by the Oceans







TC-Mixing may be Crucial for High-Latitude Warmth and Low-Latitude Moderation During Warm Climates, such as that of the Eocene



Depiction of central North America, ~60 million years ago

Our future?

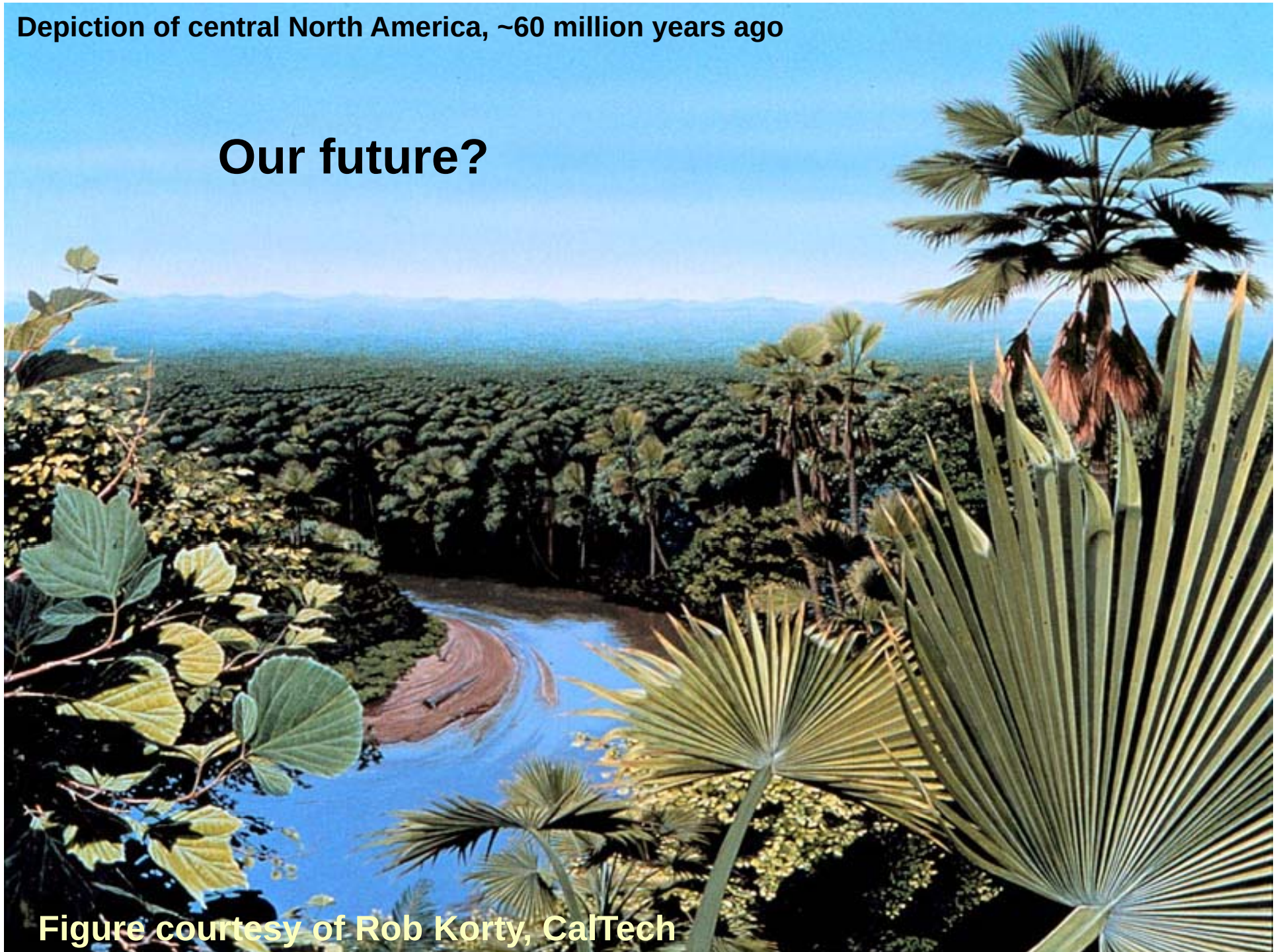
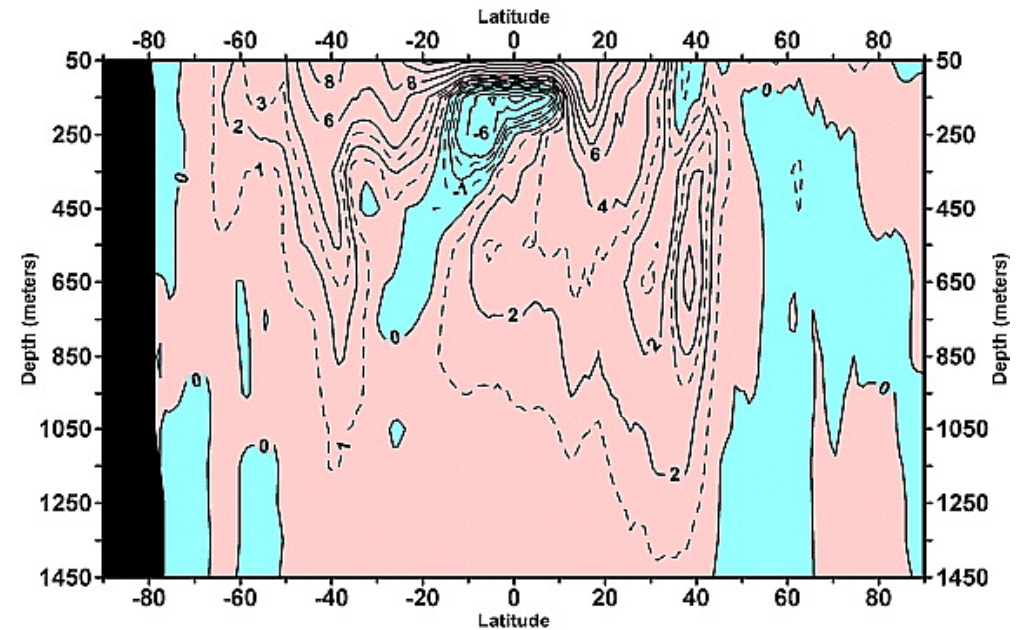
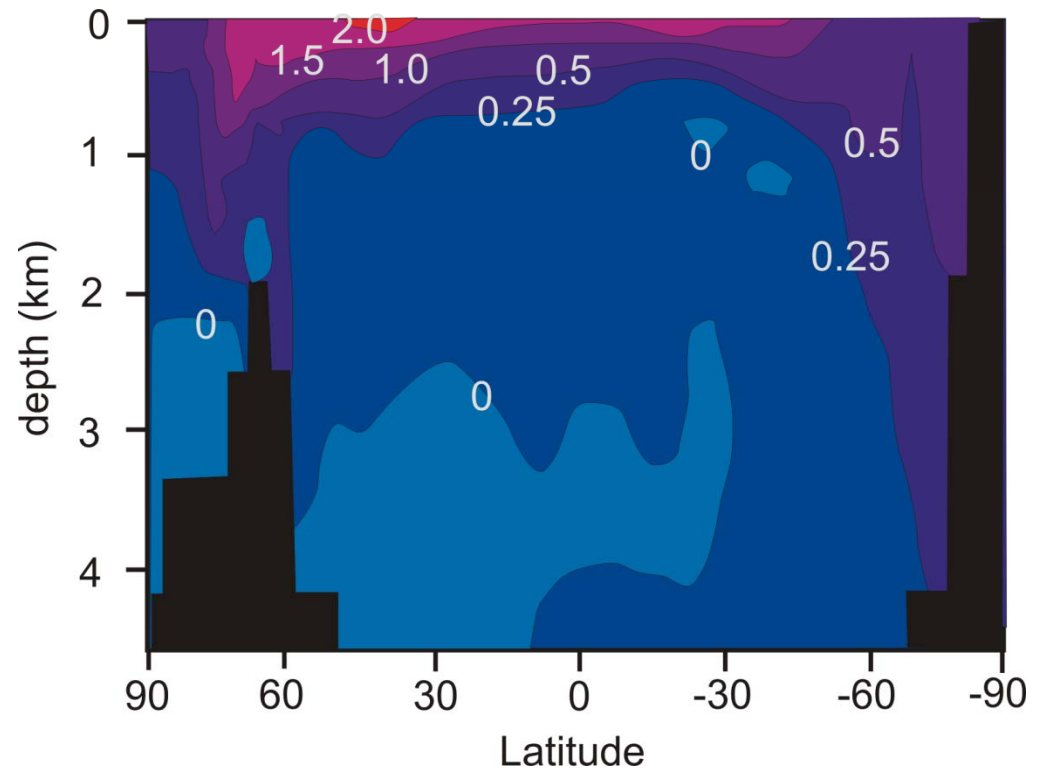


Figure courtesy of Rob Korte, CalTech

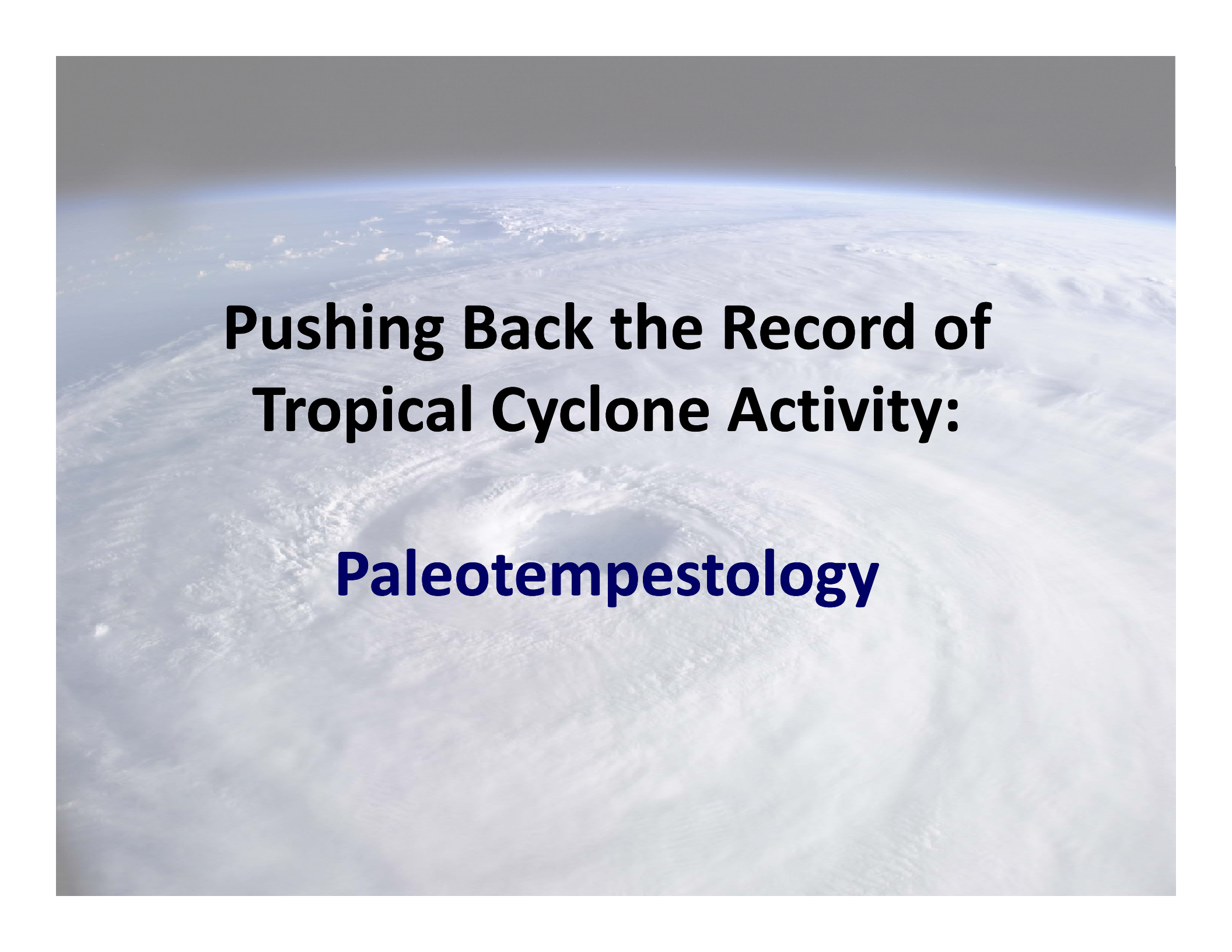
Linear trend (1955–2003) of the zonally integrated heat content of the world ocean by one-degree latitude belts for 100-m thick layers. Source: Levitus et al., 2005



TC-Mixing may explain difference between observed and modeled ocean warming



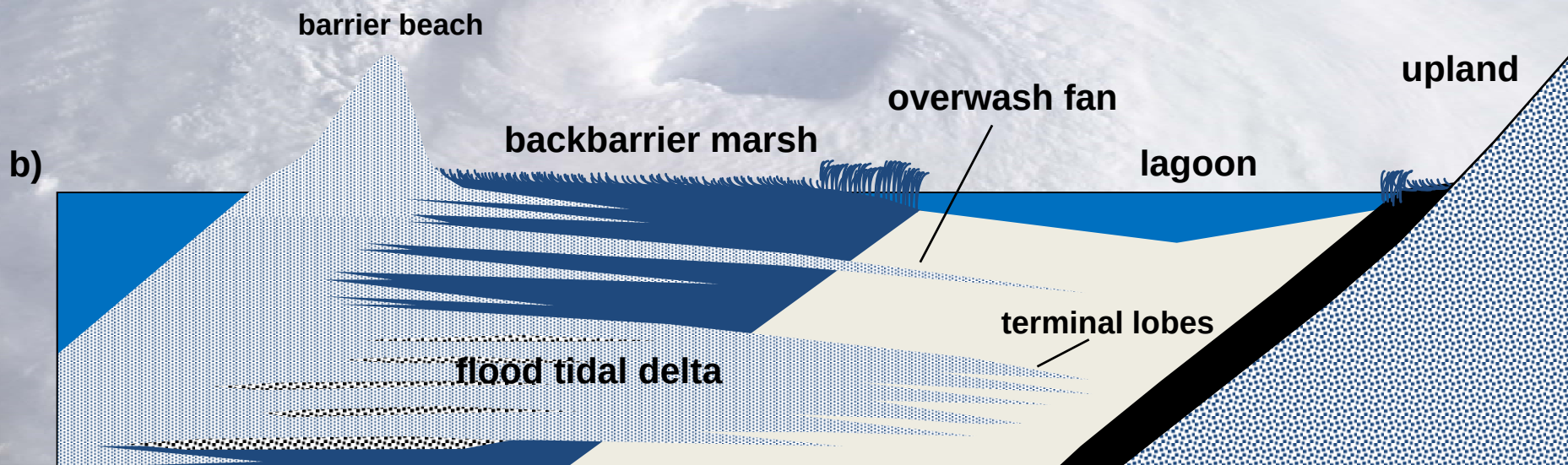
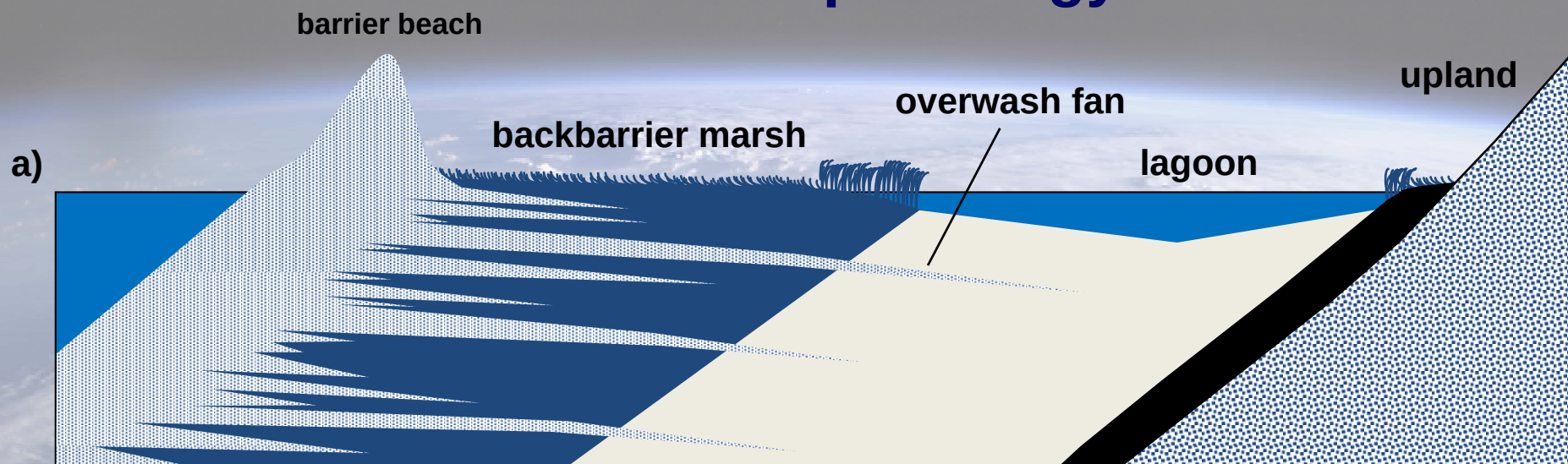
Zonally averaged temperature trend due to global warming in a coupled climate model. Source: Manabe et al, 1991

A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a vast expanse of the ocean. The image is taken from a high altitude, looking down at the storm. The text is overlaid on the right side of the image.

Pushing Back the Record of Tropical Cyclone Activity:

Paleotempestology

Paleotempestology

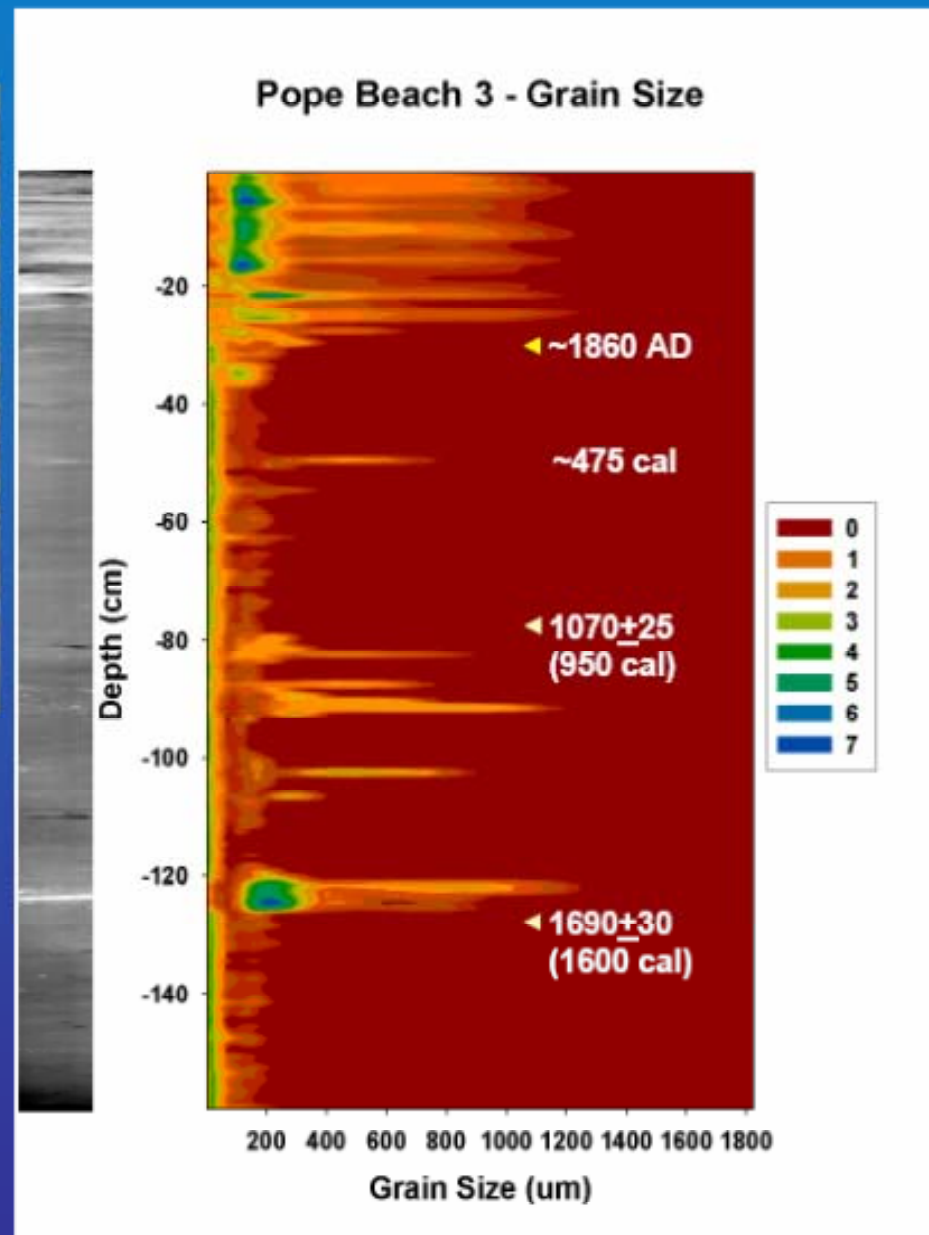


Source: Jeff Donnelly, WHOI

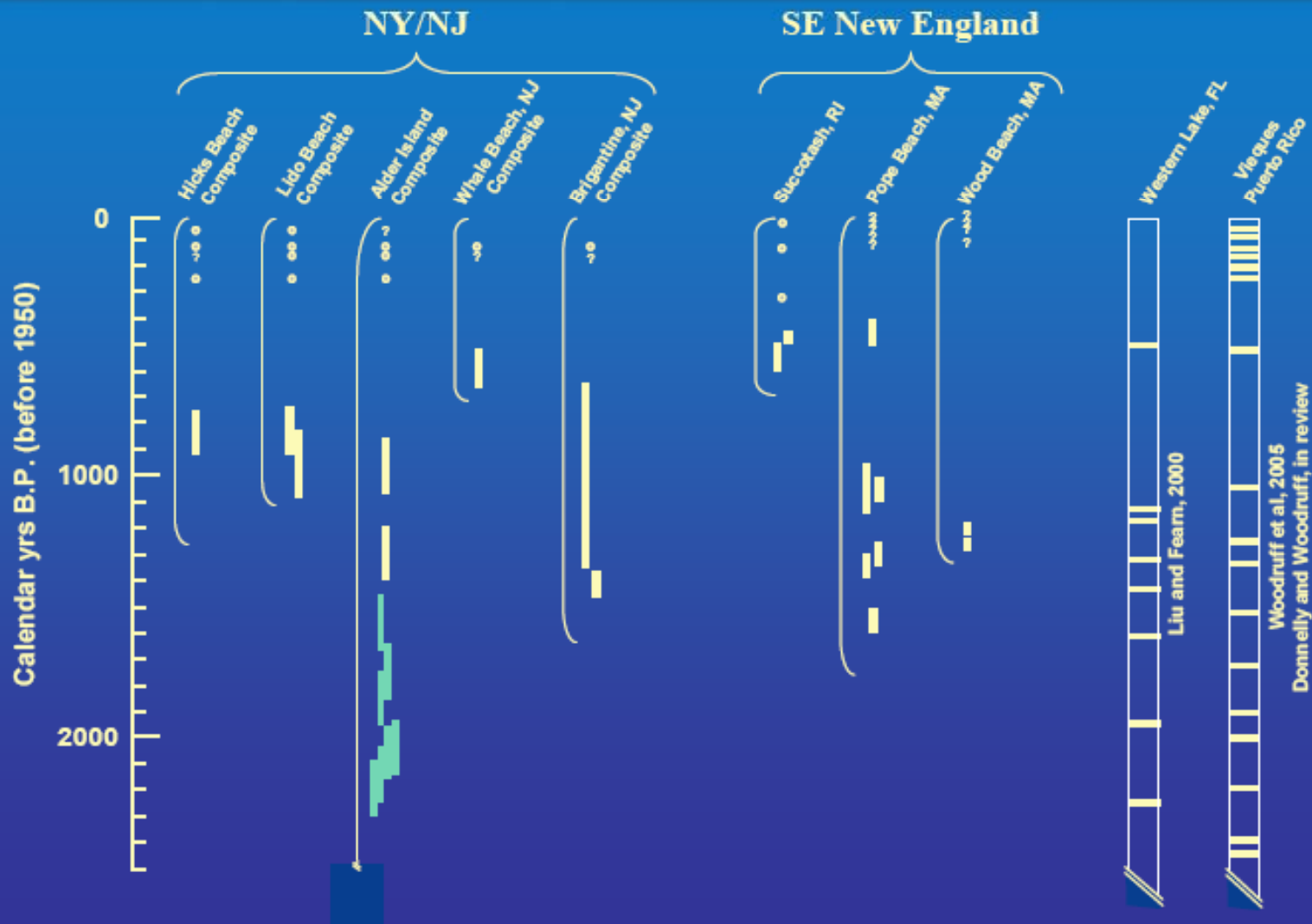
Pope Beach Marsh, Fairhaven, MA



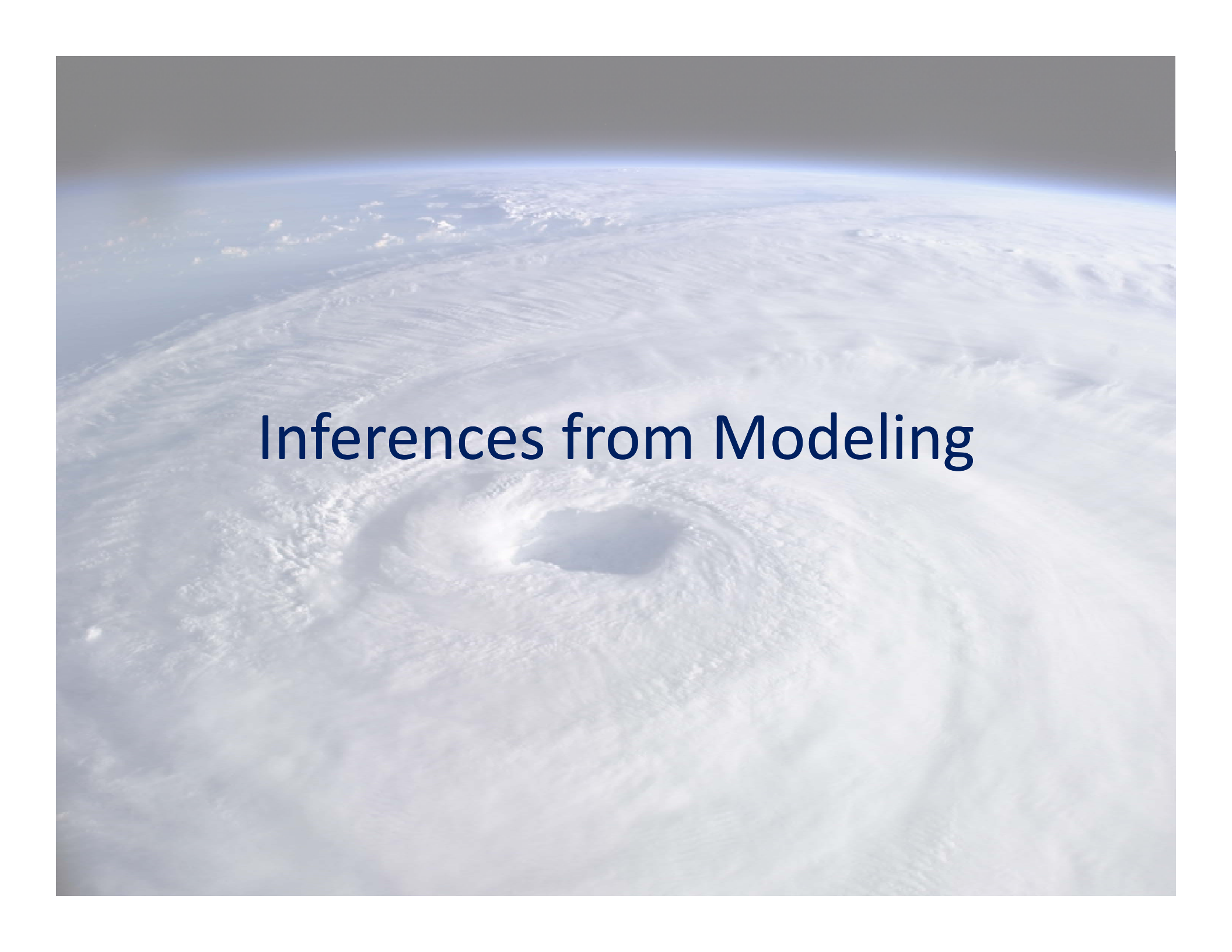
Source: Jeff Donnelly, Jon Woodruff,
Phil Lane; WHOI



North Atlantic Synthesis



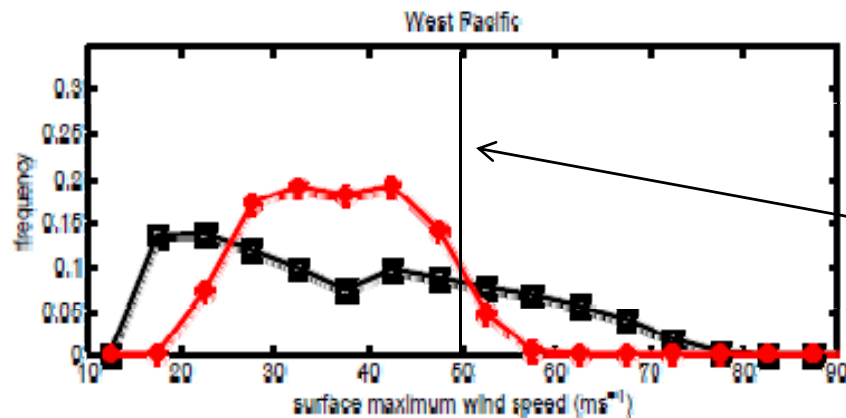
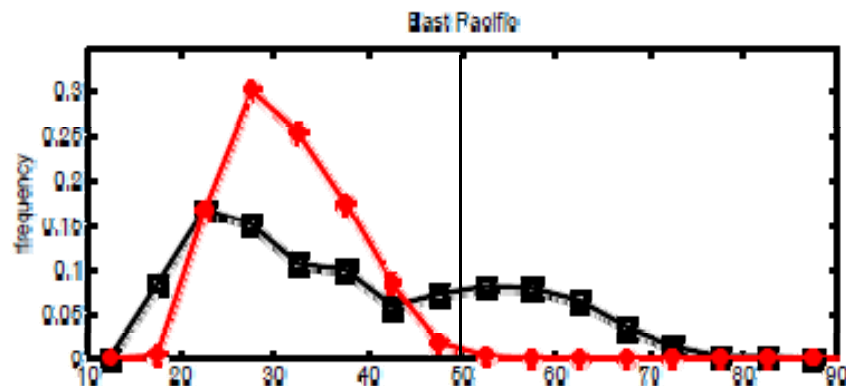
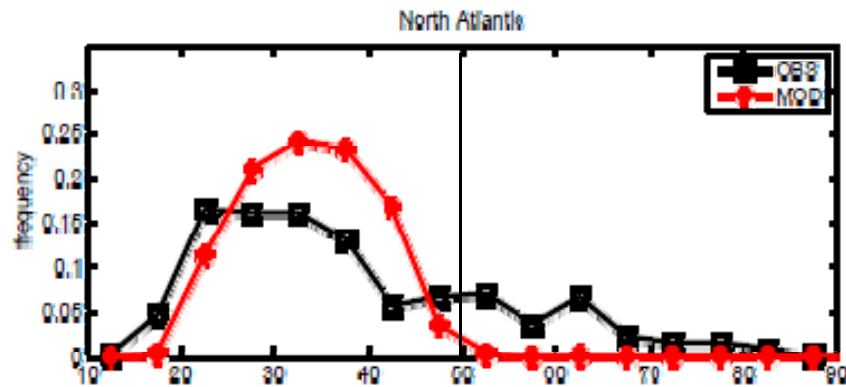
Source: Jeff Donnelly, Jon Woodruff, Phil Lane; WHOI

A satellite image of a hurricane over the ocean. The hurricane's eye is visible as a dark, circular center, surrounded by a dense, swirling ring of white clouds. The surrounding ocean surface shows a textured pattern of waves and smaller cloud formations. The text "Inferences from Modeling" is overlaid in the center of the image in a dark blue, serif font.

Inferences from Modeling

The Problem:

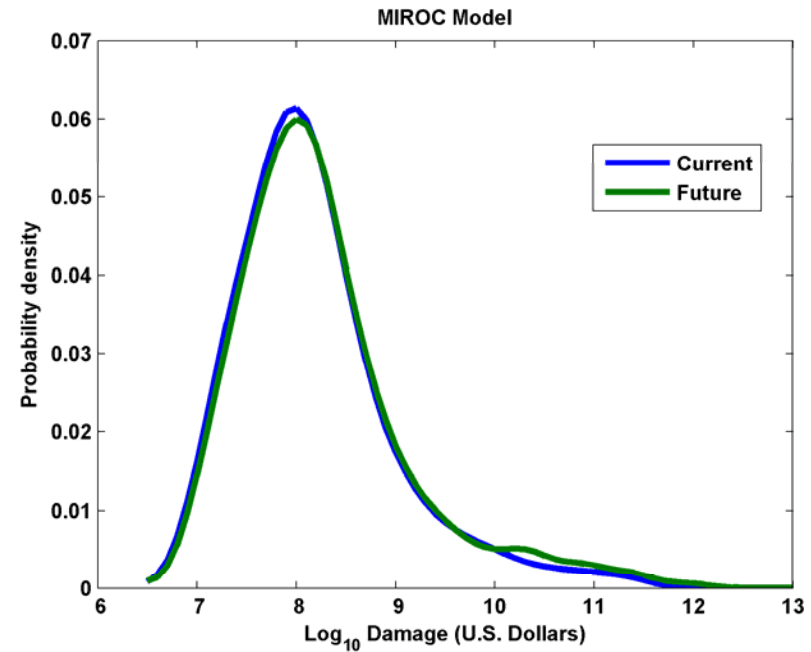
- Global models are far too coarse to simulate high intensity tropical cyclones
- Embedding regional models within global models introduces problems stemming from incompatibility of models, and even regional models are usually too coarse



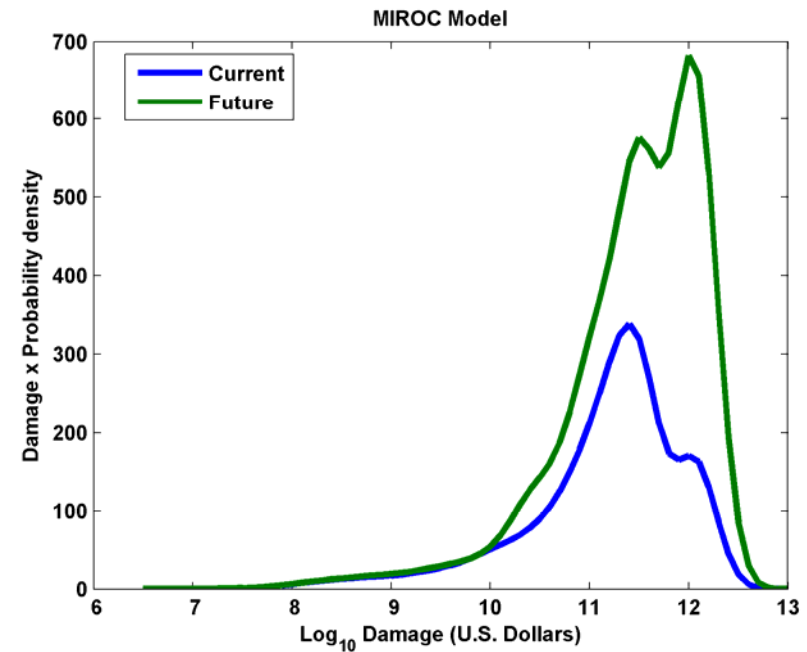
Histograms of Tropical Cyclone Intensity as Simulated by a Global Model with 50 km grid point spacing. (Courtesy Isaac Held, GFDL)

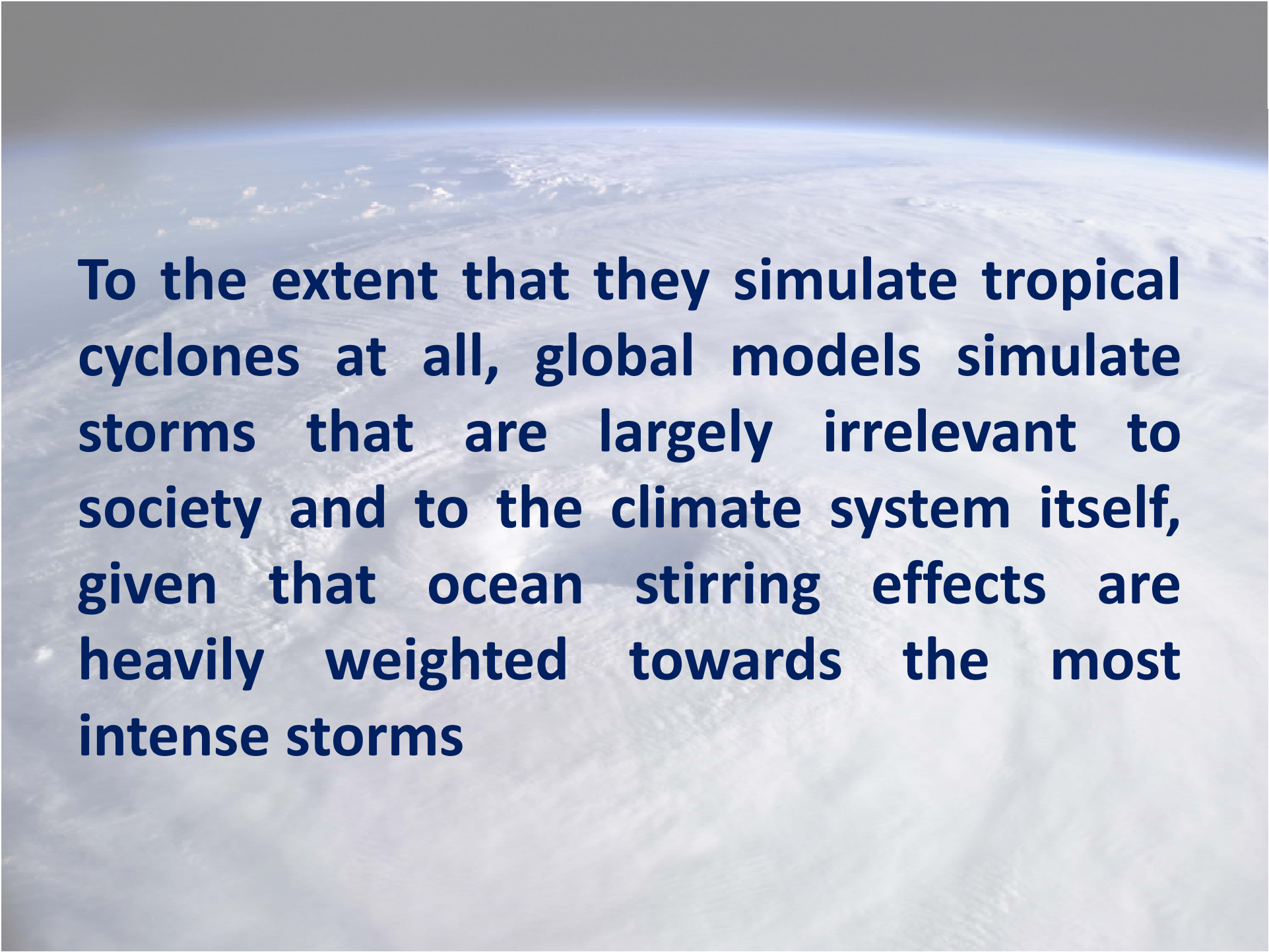
Category 3

Probability Density of TC Damage, U.S. East Coast



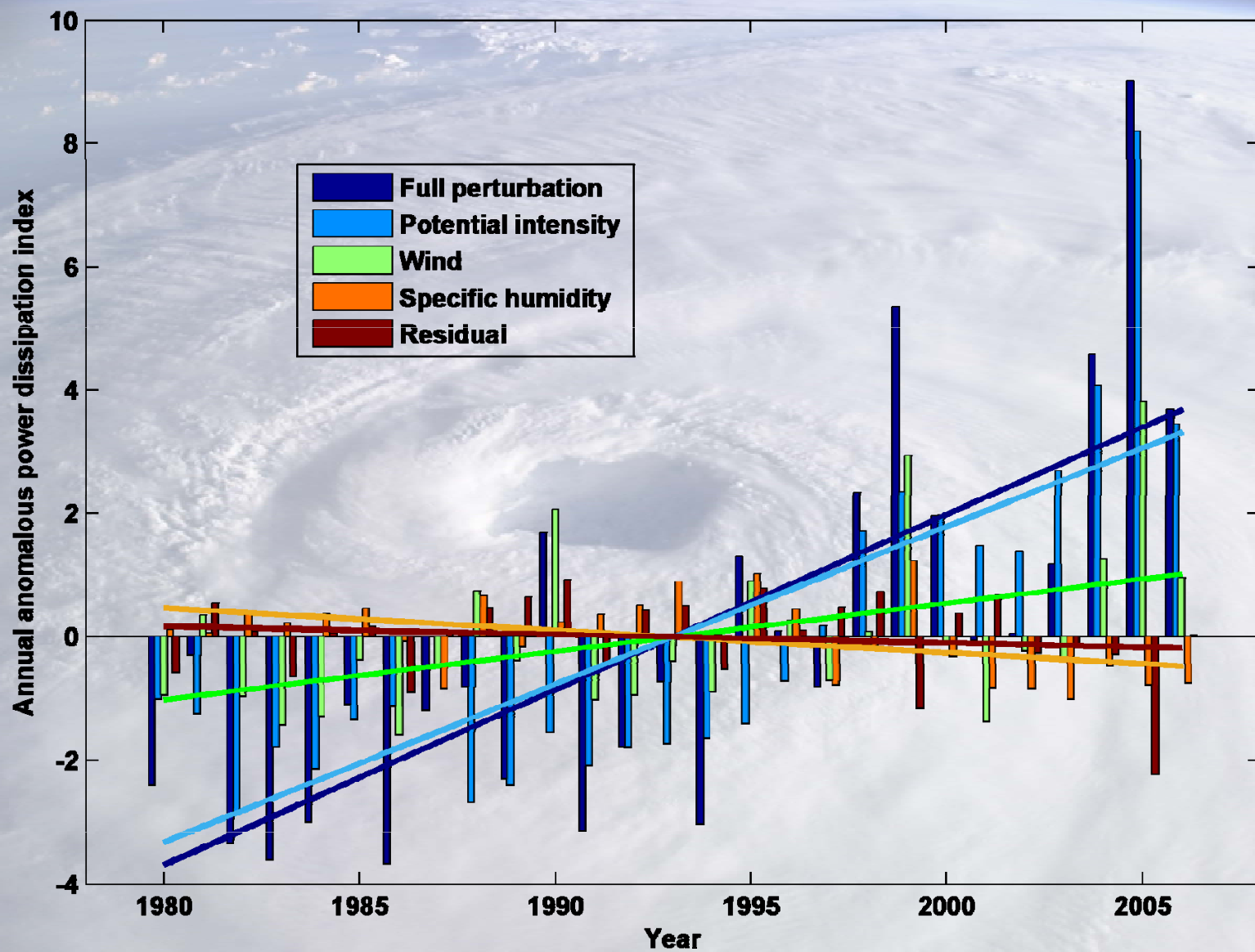
Damage Multiplied by Probability Density of TC Damage, U.S. East Coast



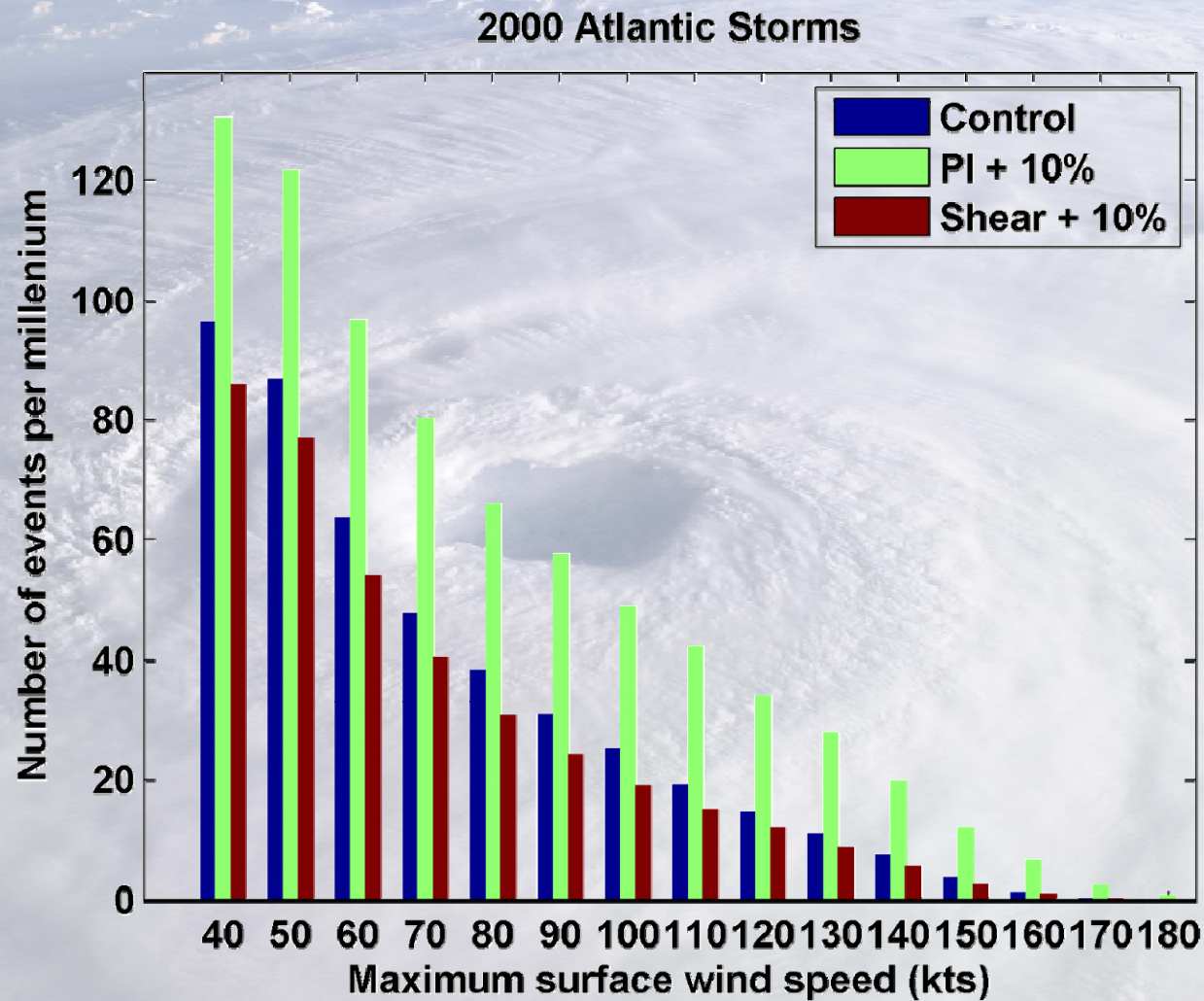


To the extent that they simulate tropical cyclones at all, global models simulate storms that are largely irrelevant to society and to the climate system itself, given that ocean stirring effects are heavily weighted towards the most intense storms

Decomposition of PDI Trends



Sensitivity to Shear and Potential Intensity



Hydrostatic Compensation (following Holloway and Neelin)

Perturbations to moist adiabatic troposphere:

$$\frac{\partial \phi'}{\partial p} = -\alpha' = -\left(\frac{\partial \alpha}{\partial p}\right)_p s^{*'} = -\left(\frac{\partial T}{\partial p}\right)_{s^{*'}} s_b'$$
$$\rightarrow \phi_T' = (T_s - T_T) s_b'$$

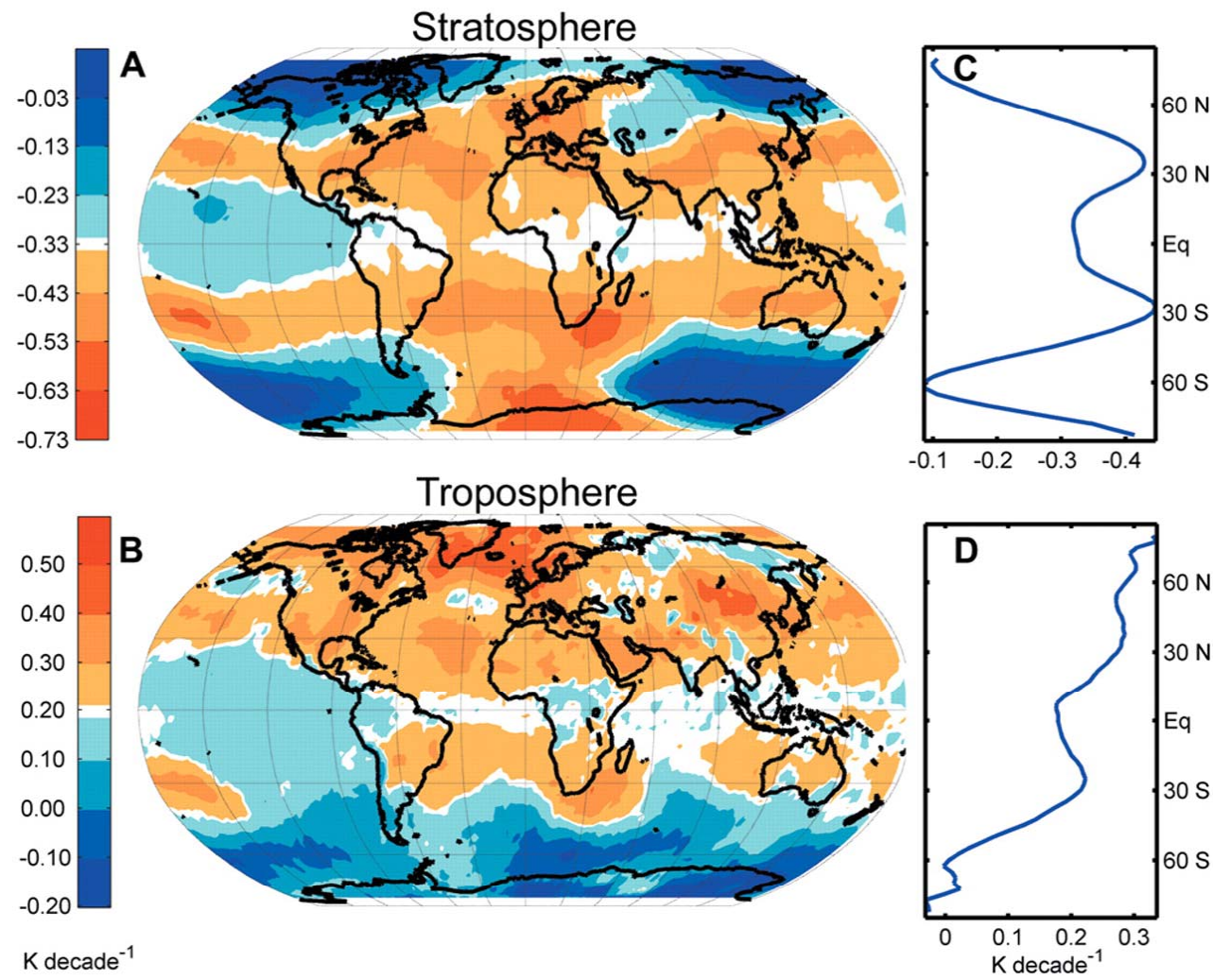
Stratospheric compensation:

$$RT_T' = -\frac{\partial \phi'}{\partial \ln(p)} \cong -\frac{\phi_T'}{\Delta \ln(p)} = -\frac{(T_s - T_T) s_b'}{\Delta \ln(p)}$$

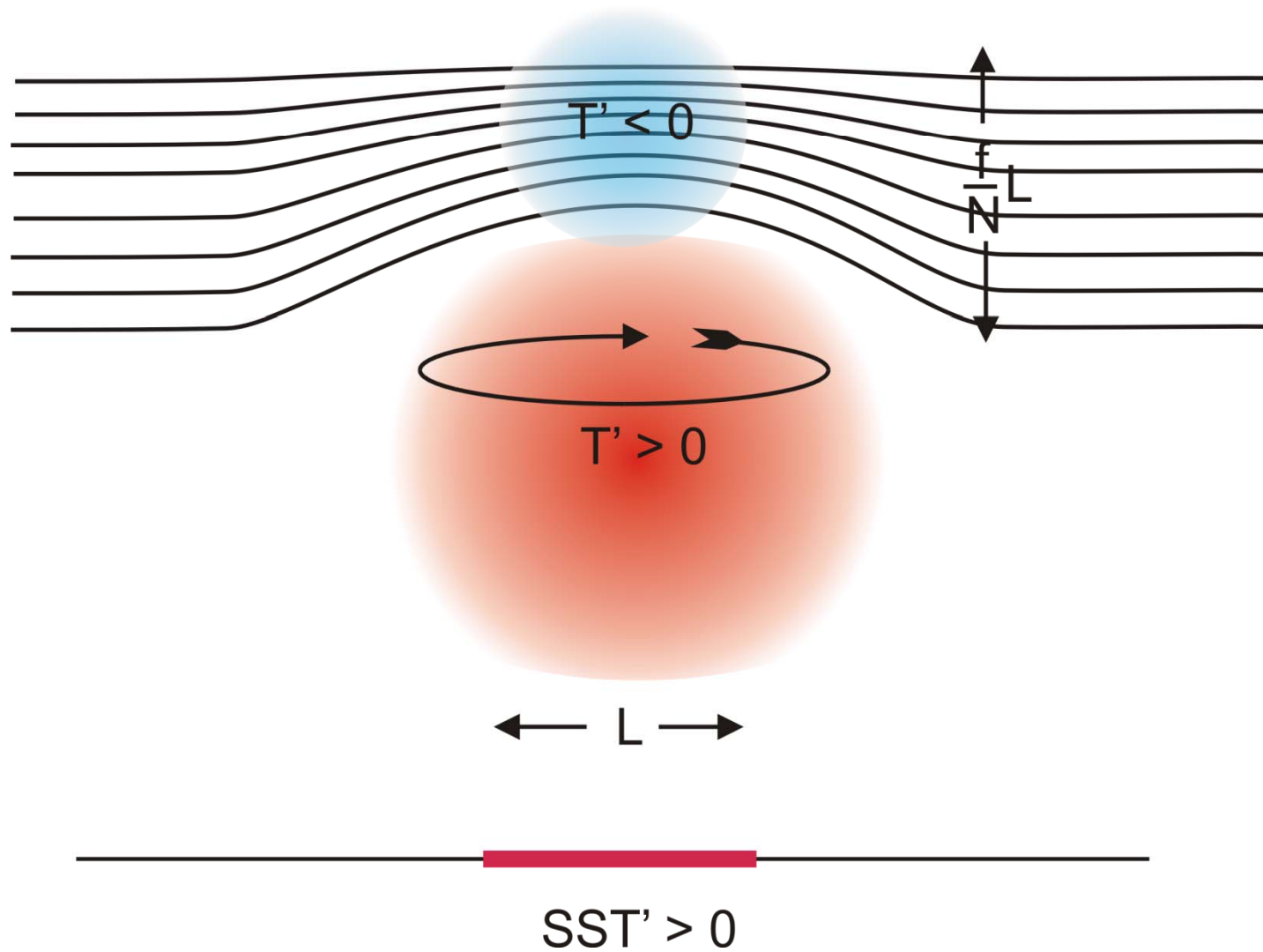
For typical values of the parameters

$$T_T' \approx -T_s'$$

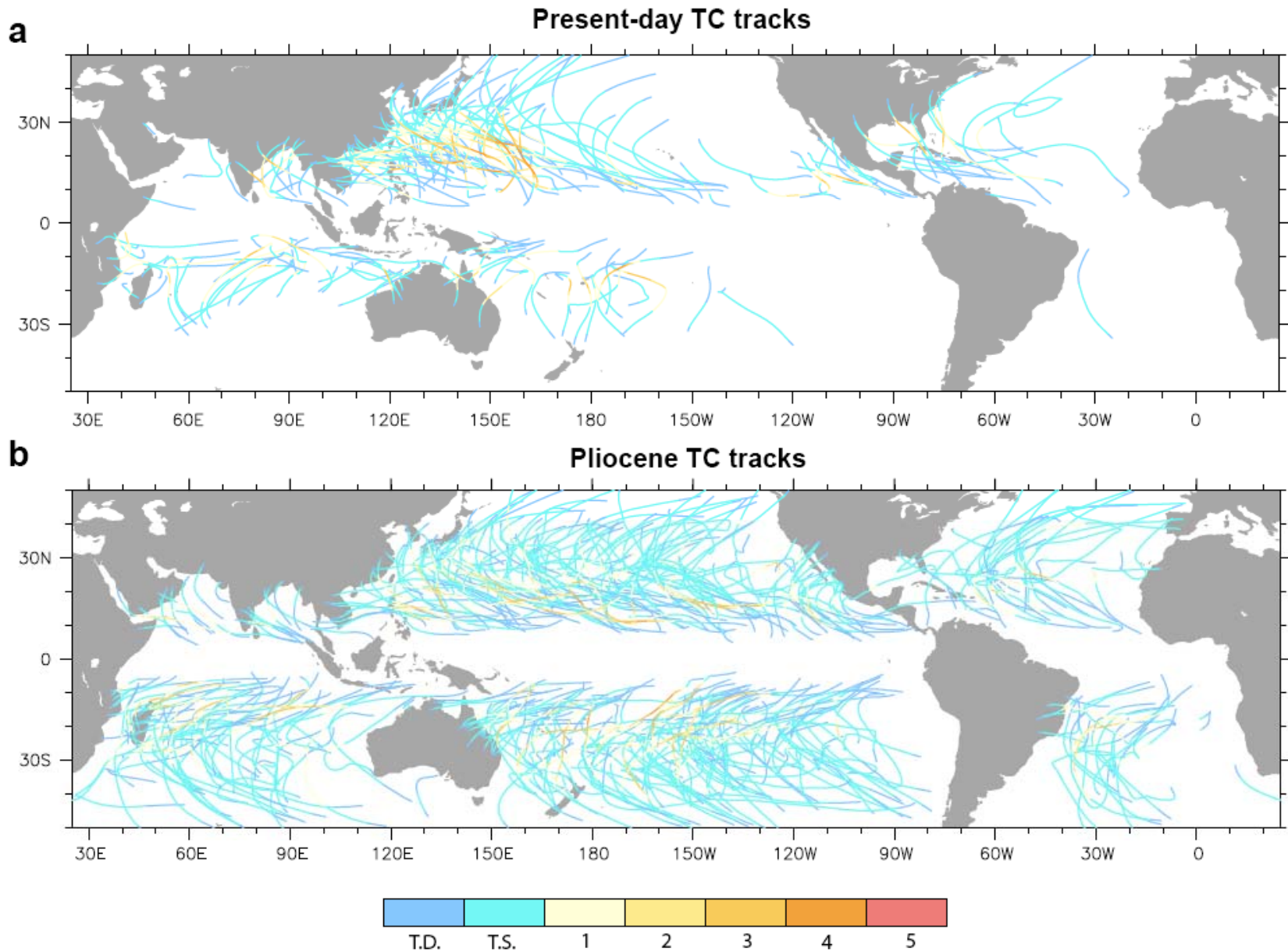
Ozone may not explain spatial pattern of cooling (Fu and Wallace, *Science*, 2006)



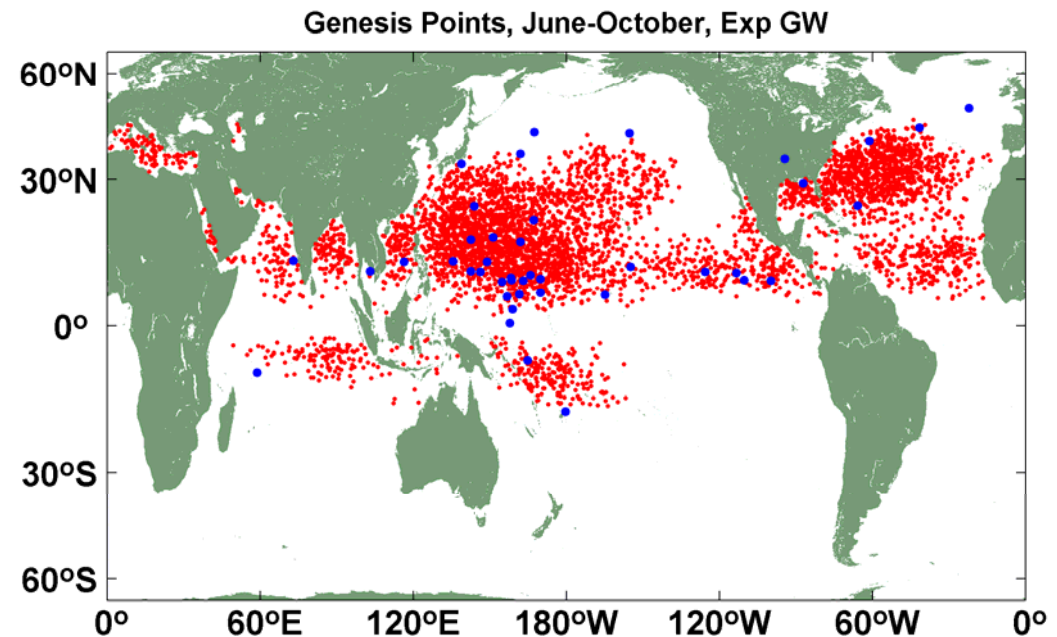
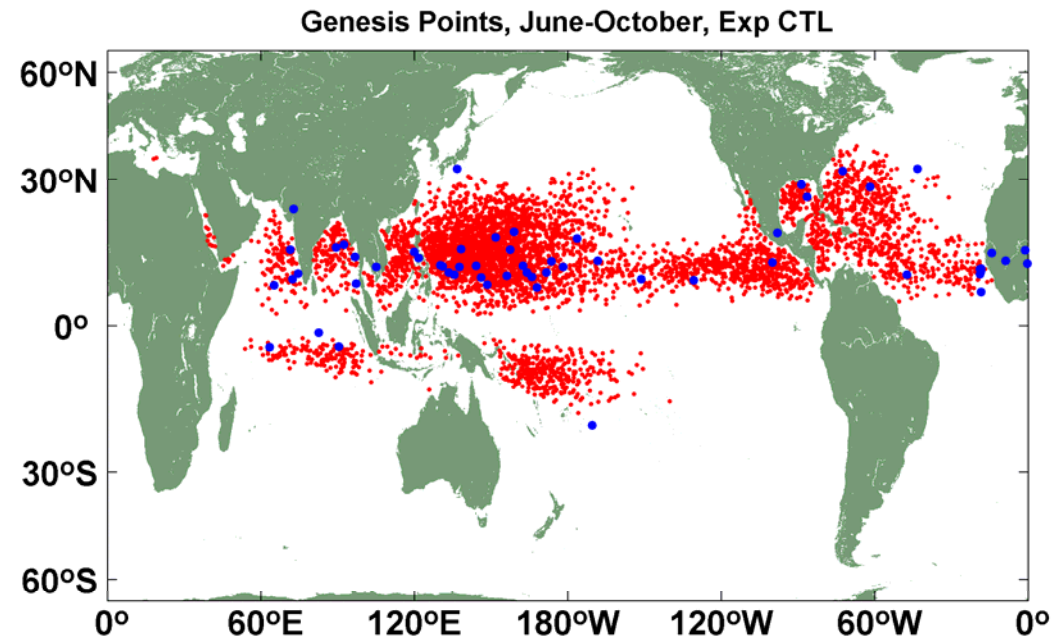
Stratospheric Compensation

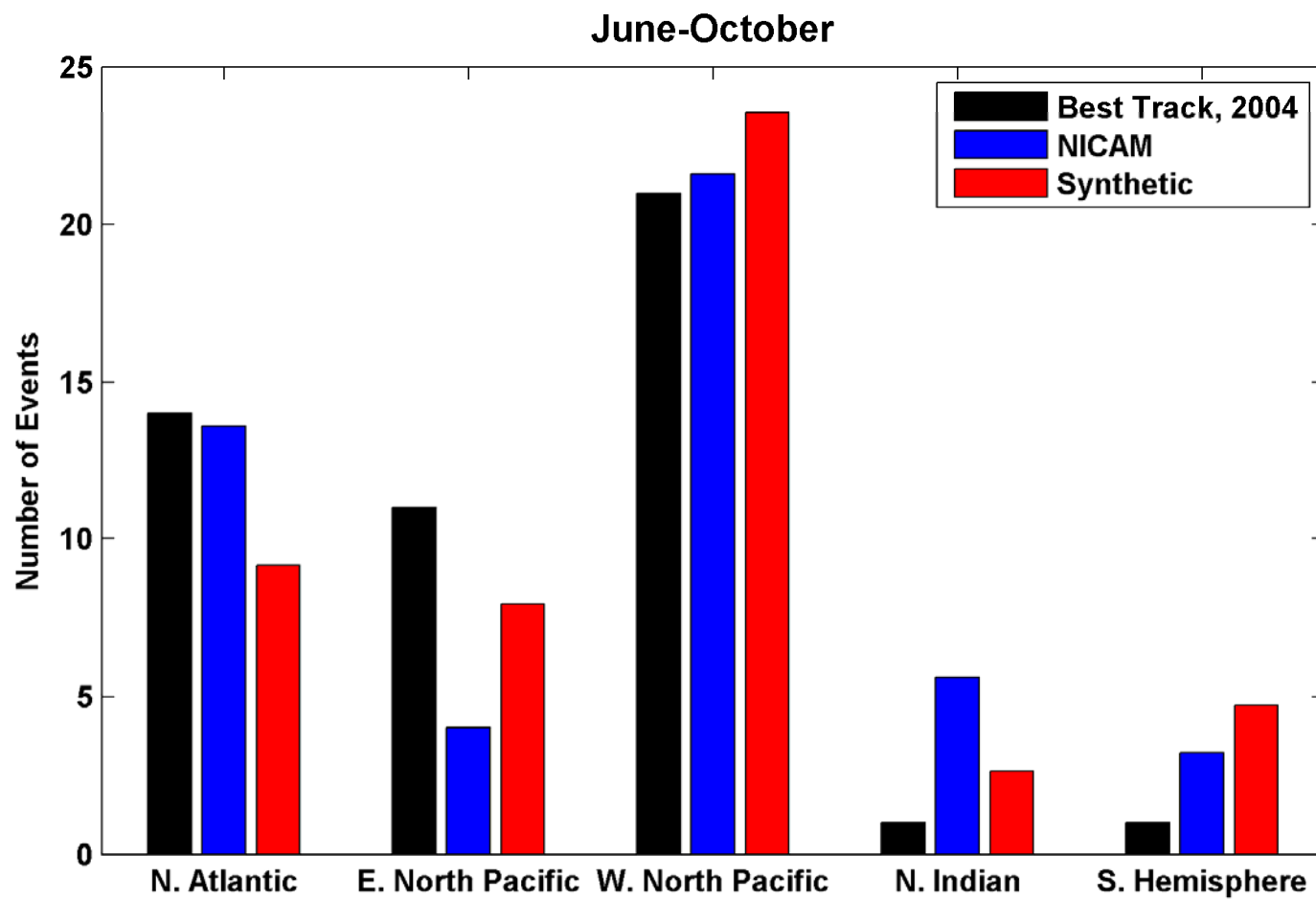


Application to the Climate of the Pliocene

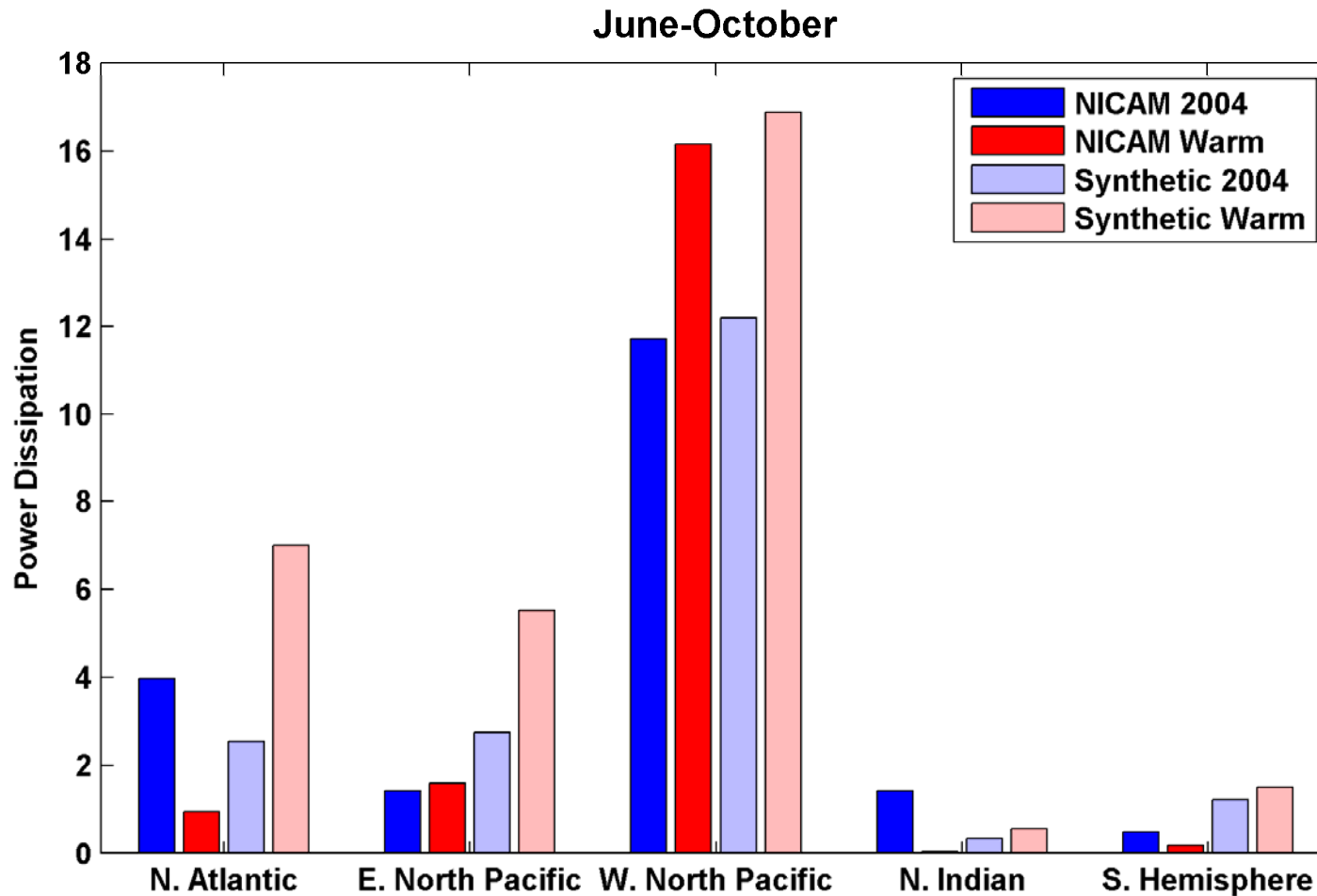


Explicit (blue dots)
and downscaled (red
dots) genesis points
for June-October for
Control (top) and
Global Warming
(bottom) experiments
using the 14-km
resolution NICAM
model. Collaborative
work with K. Oouchi.

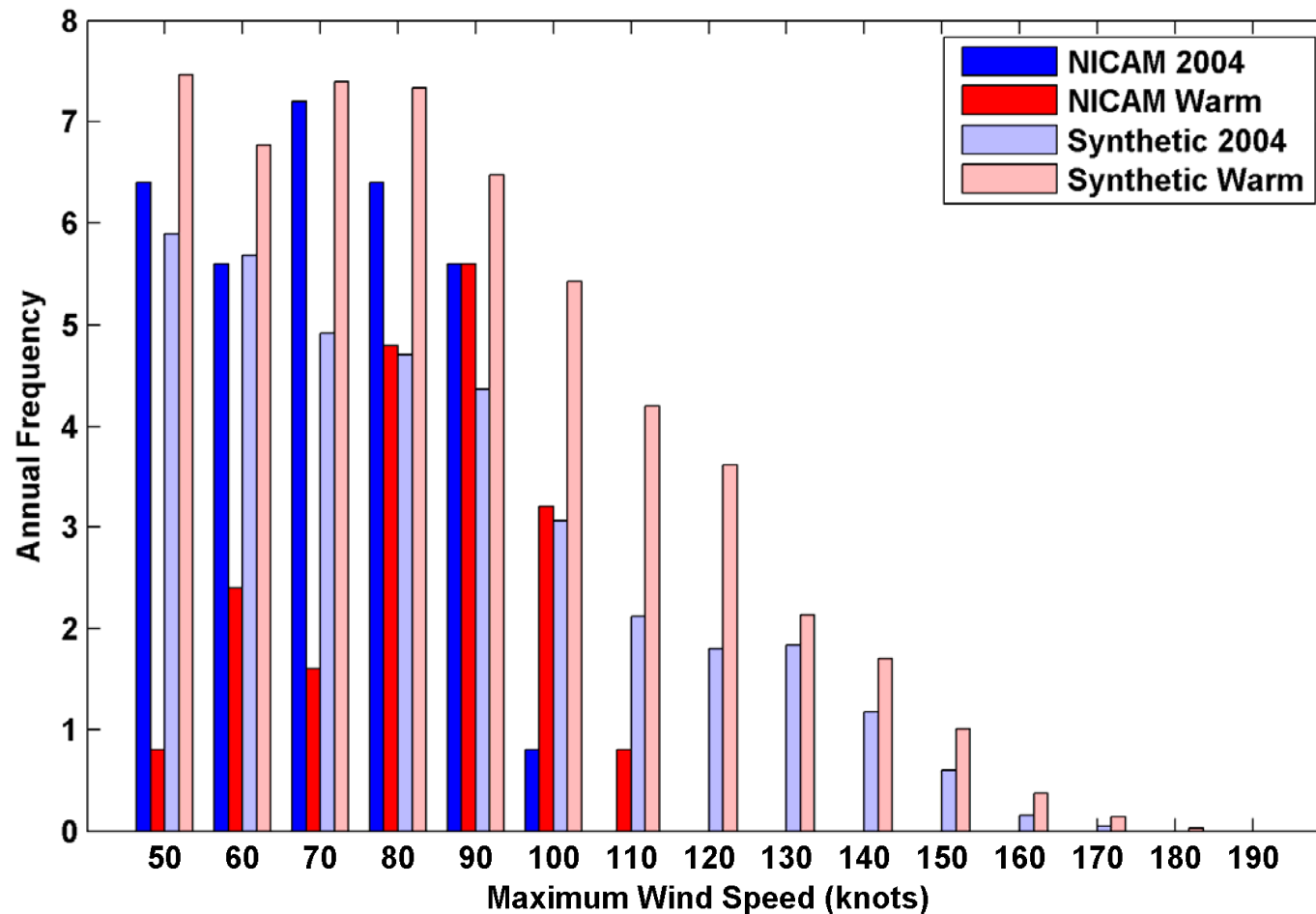


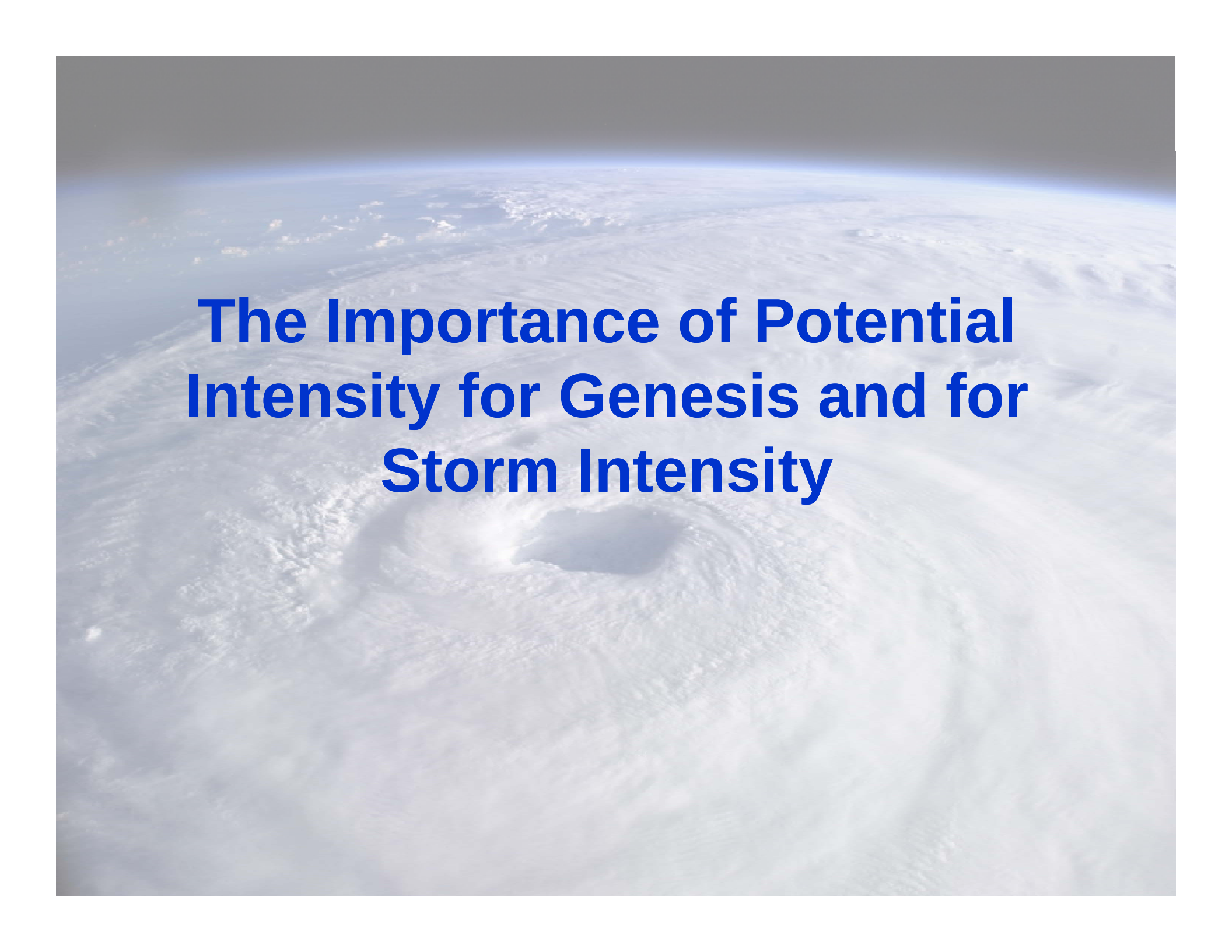


Change in Power Dissipation with Global Warming



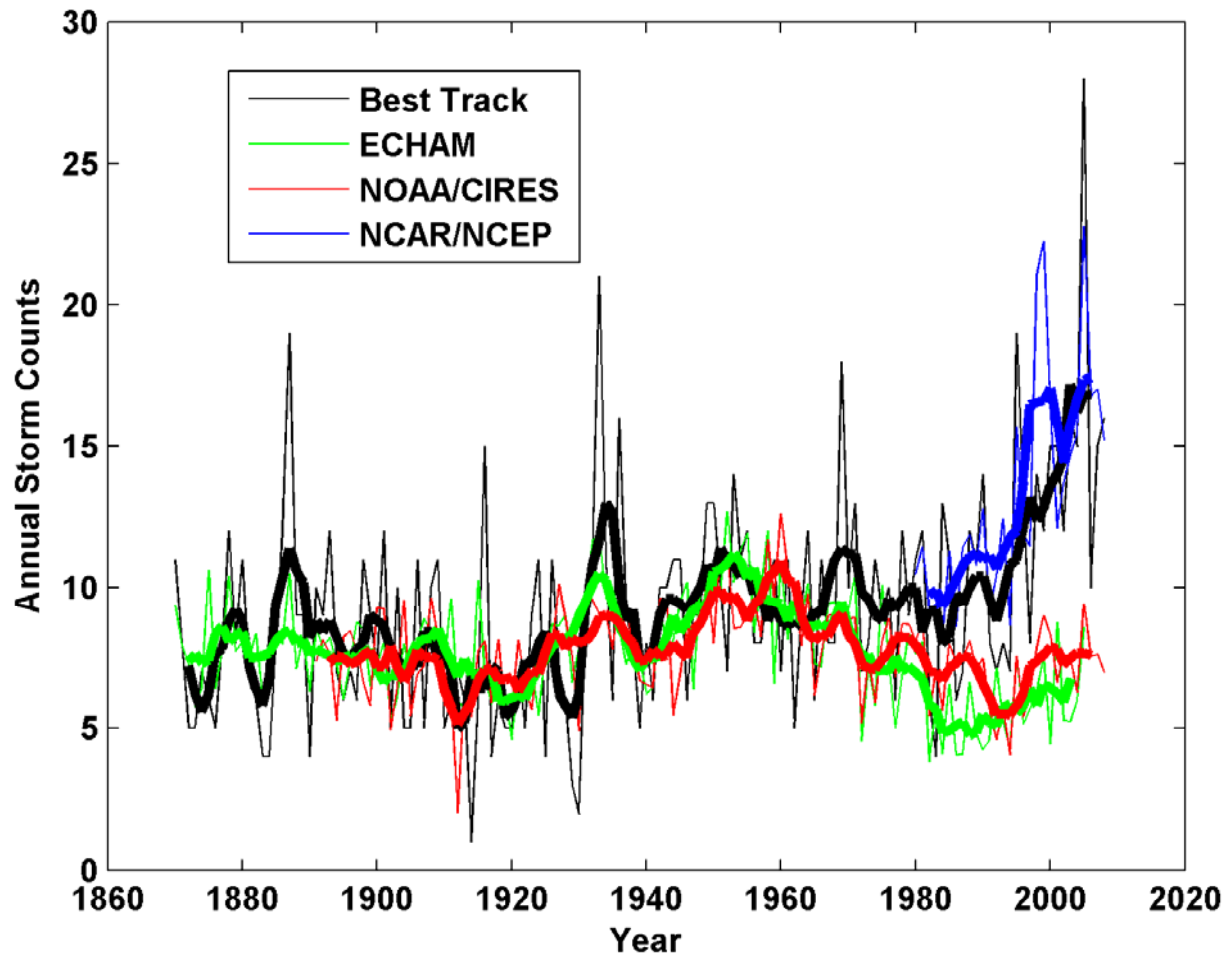
Probability Density by Storm Lifetime Peak Wind Speed, Explicit and Downscaled Events



A satellite image of a tropical cyclone, showing a well-defined eye and spiral cloud bands over a dark ocean. The image is used as a background for the title text.

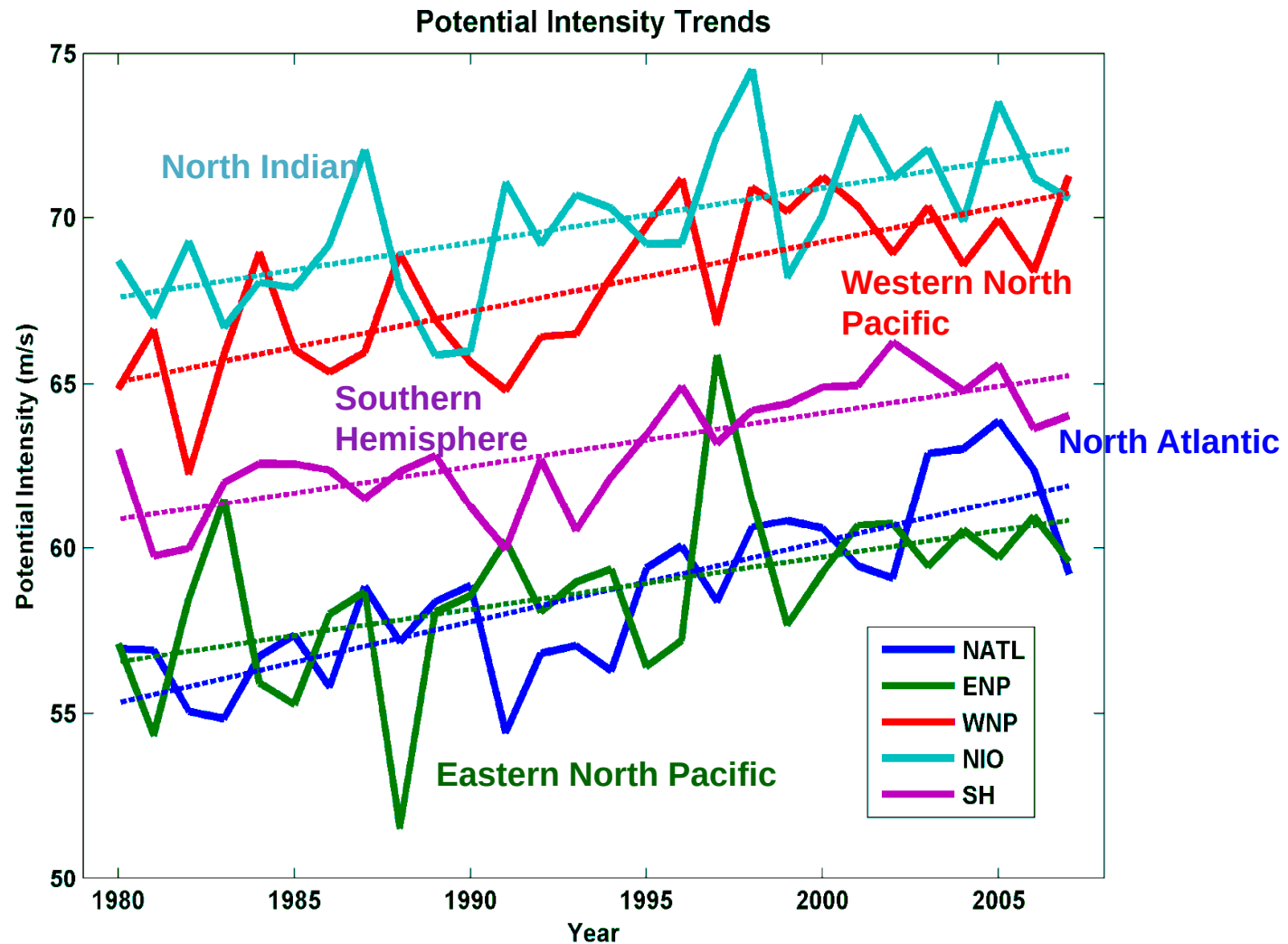
The Importance of Potential Intensity for Genesis and for Storm Intensity

Application to Re-analyses and AGCMs



Annual Atlantic tropical cyclone counts: Unadjusted best-track data (black); and downscaled from the NCAR/NCEP reanalysis, 1980-2008 (blue), the ECHAM 5 simulation, 1870-2005 (green), and the NOAA/CIRES reanalysis, 1891-2008 (red). Thin lines show annual values, thick lines show 5-year running means

Interpretation of Recent Trends in Potential Intensity



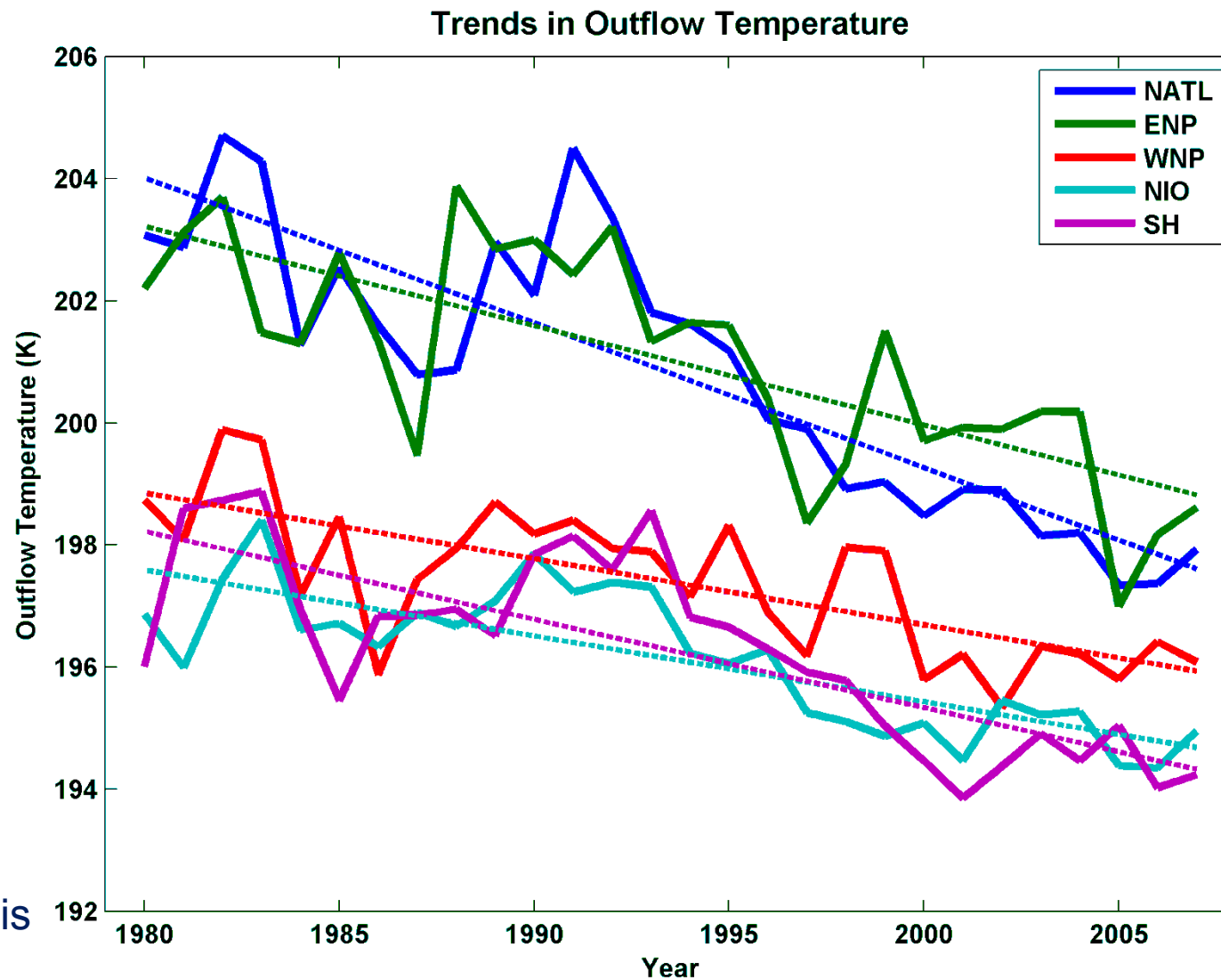
From NCAR/NCEP reanalysis data, 1980-2008

Potential intensity has been increasing by about $12 \text{ ms}^{-1}\text{K}^{-1}$, compared to accepted value of $4 \text{ ms}^{-1}\text{K}^{-1}$. What is the source of this discrepancy?

Surface wind speeds have not changed much since 1980. Key variable: Outflow temperature, which in general decreases with:

- Increasing SST
- Decreasing temperature of lower stratosphere and/or troposphere transition layer

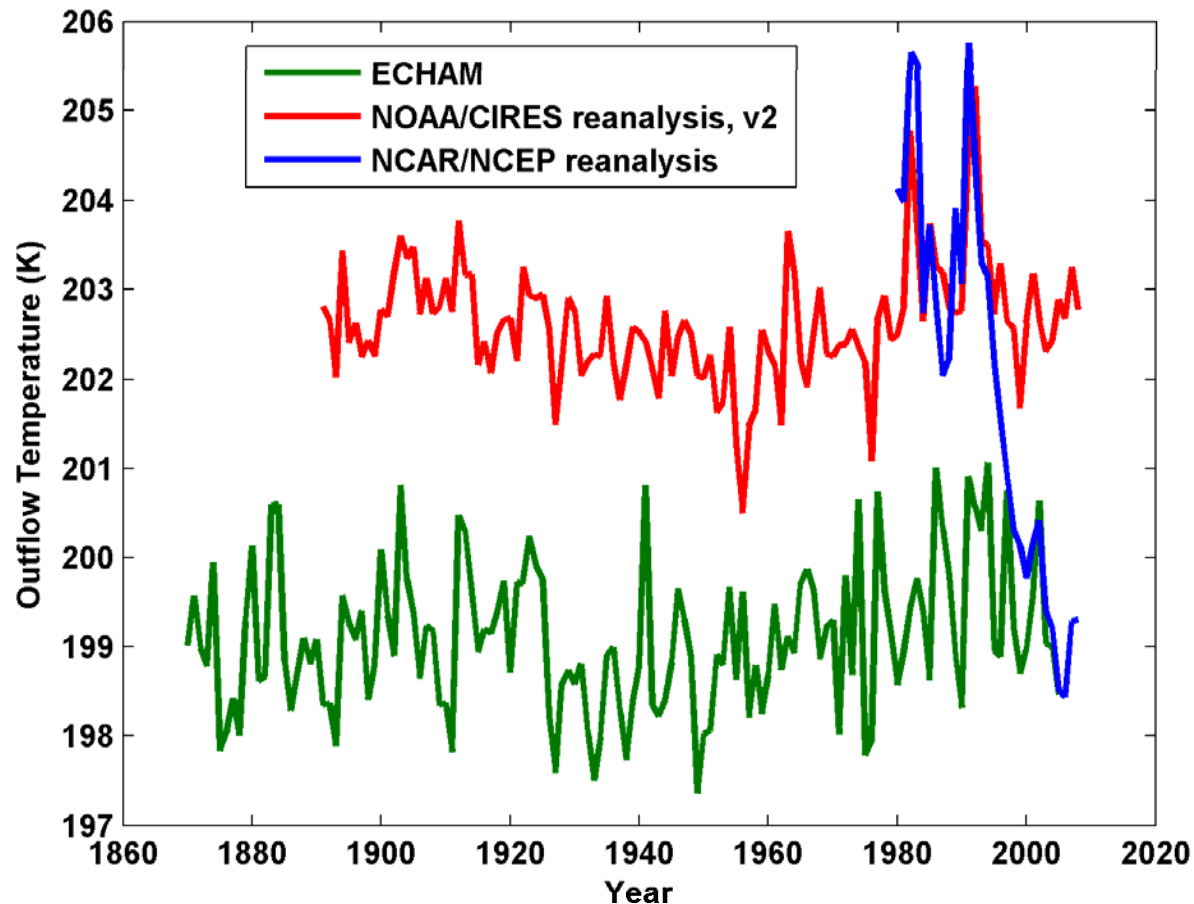
Importance of Trends in Outflow Temperature



From
NCEP
Reanalysis

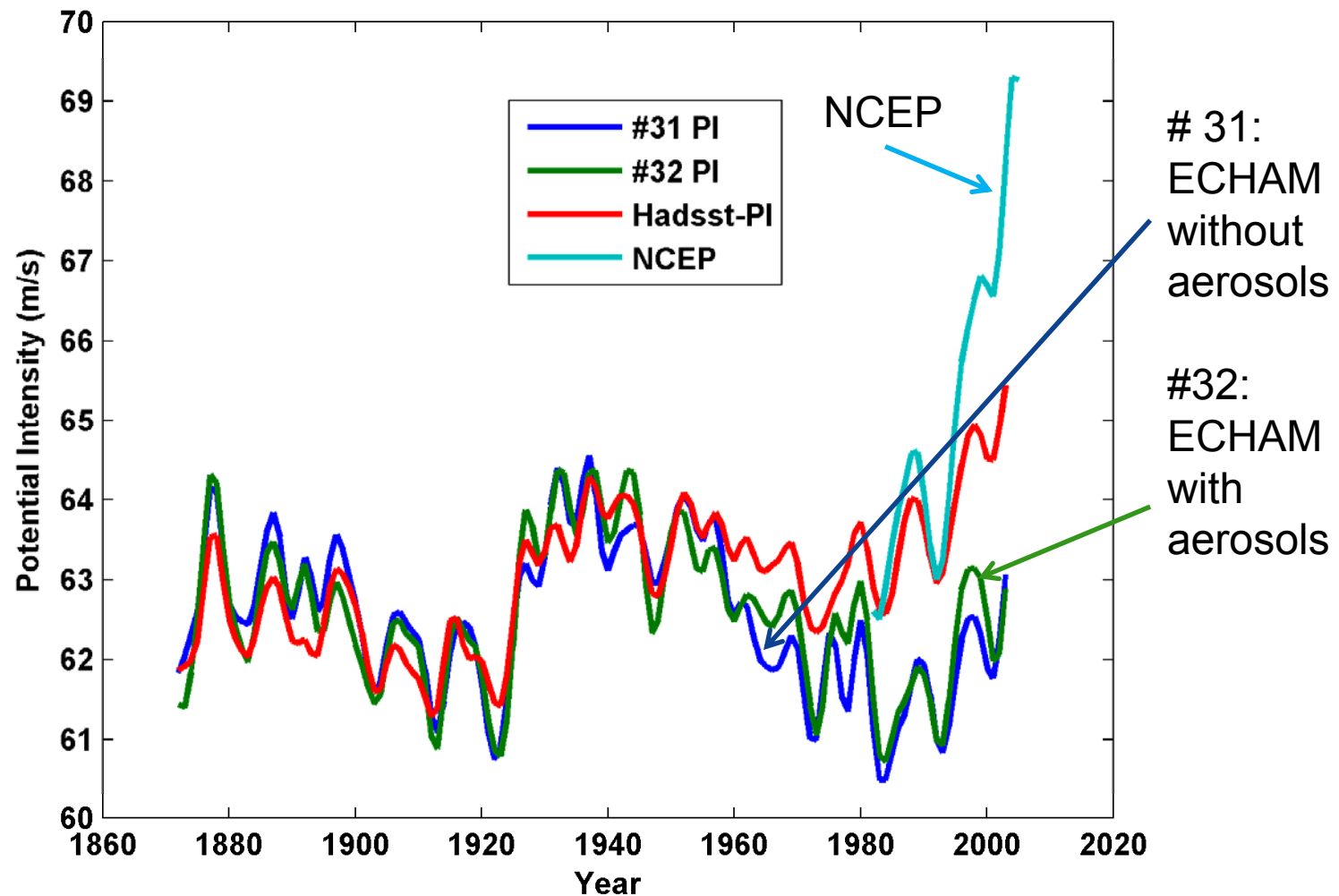
**Do Climate Models Capture Lower
Stratospheric Cooling?**

AGCMs, *driven by observed SSTs*, do not get the cooling!

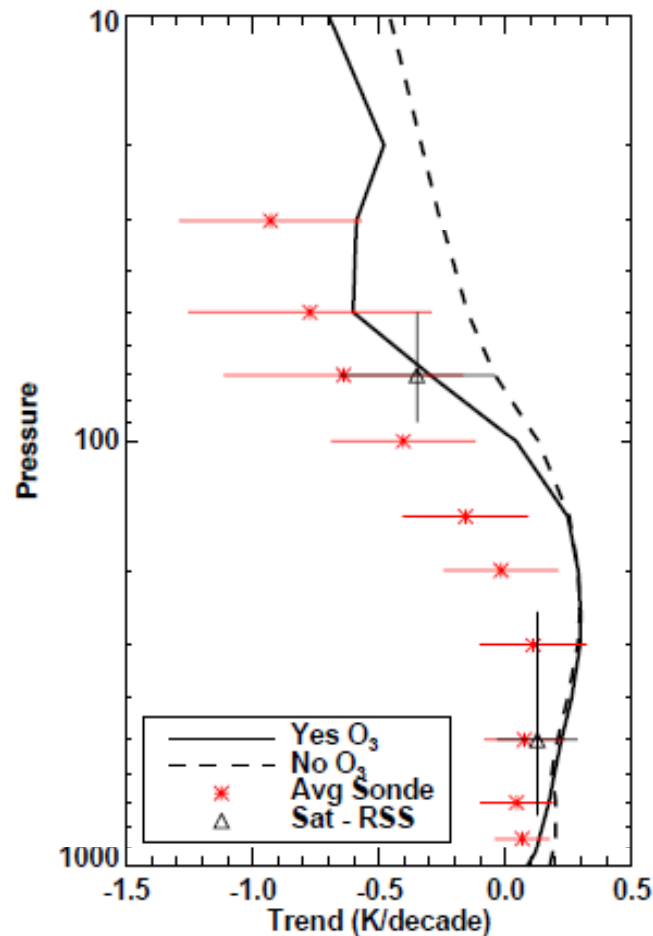


August-October outflow temperatures averaged over the Atlantic MDR from the ECHAM 5 simulation (green), the NOAA/CIRES 20th Century reanalysis, version 2 (red) and the NCAR/NCEP reanalysis (blue)

As a result, they miss the recent increase in
potential intensity



1979-1999 Temperature Trends, 30S-30N. Red: Radiosondes;
Solid Black: Mean of Models with Ozone; Dashed Black: Mean of
Models without Ozone (Cordero and Forster, 2006)



Combine expression for potential intensity, V_{max} ,
with energy balance of ocean mixed layer:

$$V_{max}^2 = \frac{\frac{T_s - T_o}{T_o} \frac{F_{rad} - d \nabla \square \mathbf{F}_{ocean}}{C_D \rho |\mathbf{V}_s|}}$$

SST → T_s Outflow T → T_o Net surface radiative flux → F_{rad} Ocean mixed layer depth → d Mixed layer heat flux → $\nabla \square \mathbf{F}_{ocean}$
 Drag coefficient → C_D Mean surface wind speed → $|\mathbf{V}_s|$

Valid on time scales > thermal equilibration
time of ocean mixed layer (~ 2 years)